

## **Executive Committee**

2021 Executive Committee					
Richard Guo Qing Dong (President)	Ming C. Cha (Vice-President)				
Professional Member	Professional Member				
Feng Li Huang	Pixing Zhang				
Professional Member	Public Member				

The Executive Committee's exercise of Council's powers is subject to Section 12 of the Health Professions Procedural Code, Schedule 2 of the Regulated Health Professions Act, 1991.

The Executive Committee shall be composed of the President, the Vice-President and three (3) Members of the Council. Two of the Members of the Executive Committee shall be Public Members. The President shall be the chair of the Executive Committee.

Between the meetings of the Council, the Executive Committee has all the powers of the Council with respect to any matter that, in the Committee's opinion, requires immediate attention, other than the power to make, amend or revoke a regulation or by-law. If the Executive Committee exercises a power of the Council under subsection (1), it shall report on its actions to the Council at the Council's next meeting.

# Excerpt from the Regulated Health Professions Act, 1991 Schedule 2 Health Professions Procedural Code

#### **Duty of College**

2.1 It is the duty of the College to work in consultation with the Minister to ensure, as a matter of public interest, that the people of Ontario have access to adequate numbers of qualified, skilled and competent regulated health professionals. 2008, c. 18, s. 1.

#### **Objects of College**

- 3. (1) The College has the following objects:
  - 1. To regulate the practice of the profession and to govern the members in accordance with the health profession Act, this Code and the Regulated Health Professions Act, 1991 and the regulations and by-laws.
  - 2. To develop, establish and maintain standards of qualification for persons to be issued certificates of registration.
  - 3. To develop, establish and maintain programs and standards of practice to assure the quality of the practice of the profession.
  - 4. To develop, establish and maintain standards of knowledge and skill and programs to promote continuing evaluation, competence and improvement among the members.
  - 4.1 To develop, in collaboration and consultation with other Colleges, standards of knowledge, skill and judgment relating to the performance of controlled acts common among health professions to enhance interprofessional collaboration, while respecting the unique character of individual health professions and their members.
  - 5. To develop, establish and maintain standards of professional ethics for the members.
  - 6. To develop, establish and maintain programs to assist individuals to exercise their rights under this Code and the Regulated Health Professions Act, 1991.
  - 7. To administer the health profession Act, this Code and the Regulated Health Professions Act, 1991 as it relates to the profession and to perform the other duties and exercise the other powers that are imposed or conferred on the College.
  - 8. To promote and enhance relations between the College and its members, other health profession colleges, key stakeholders, and the public.
  - 9. To promote inter-professional collaboration with other health profession colleges.
  - 10. To develop, establish, and maintain standards and programs to promote the ability of members to respond to changes in practice environments, advances in technology and other emerging issues.

#### Duty

- 11. Any other objects relating to human health care that the Council considers desirable. 1991, c. 18, Sched. 2, s. 3 (1); 2007, c. 10, Sched. M, s. 18; 2009, c. 26, s. 24 (11).
- (2) In carrying out its objects, the College has a duty to serve and protect the public interest. 1991, c. 18, Sched. 2, s. 3 (2).

#### **SCHEDULE 1 TO THE BY-LAWS**

#### Code of Conduct for Members of the Council and All Committees

- 1. This Schedule applies to members of the Council and of all committees of the College.
- 2. Council and Committee Members must, at all times, maintain high standards of integrity, honesty and loyalty when discharging their College duties. They must act in the best interest of the College. They shall:
  - a. be familiar and comply with the provisions of the RHPA, its regulations and the Code, the Act, its regulations, and the By-Laws and policies of the College;
  - b. promote the public interest in his/her contributions and in all discussions and decision making;
  - c. direct all activities toward fulfilling the College's objects as specified in legislation;
  - d. diligently take part in committee work and actively serve on committees as appointed by the Council;
  - e. regularly attend meetings on time and participate constructively in discussions;
  - f. offer opinions and express views on matters before the College, Council and committee, when appropriate;
  - g. participate in all deliberations in a respectful and courteous manner, recognizing the diverse background, skills and experience of members on Council and committees;
  - h. uphold the decisions made by a majority of Council and committees, regardless of the level of prior individual disagreement;
  - i. place the interests of the College, Council and committee above all other interests;
  - j. avoid and, where that is not possible, declare any appearance of or actual conflicts of interest;
  - k. refrain from including or referencing Council or committee positions held at the College in any personal or business promotional materials, advertisements and business cards.
  - I. preserve confidentiality of all information before Council or committee unless disclosure has been authorized by Council or otherwise exempted under s. 36(1) of the RHPA;
  - m. refrain from communicating to Members, including other Council or Committee Members, on statutory committees regarding registration, complaints, reports, investigations, disciplinary or fitness to practice proceedings which could be perceived as an attempt to influence a statutory decision or a breach of confidentiality, unless he or she is a member of the panel or, where there is no panel, of the statutory committee dealing with the matter;
  - respect the boundaries of staff whose role is not to report to or work for individual Council or Committee Members:
  - be respectful of others and not engage in behaviour that might reasonably be perceived as verbal, physical or sexual abuse or harassment; and
  - p. regularly evaluate his or her individual performance, and that of the collective to assure continuous improvement.

#### PROVISION 11.16 OF THE BY-LAWS - Language of Meetings

Meetings of the Council and Committees shall be conducted in English.

#### **SCHEDULE 2 TO THE BY-LAWS**

#### **Rules of Order of the Council**

- 1. In this Schedule, "Member" means a Member of the Council.
- Each agenda topic will be introduced briefly by the person or committee representative
  raising it. Members may ask questions of clarification, then the person introducing the
  matter shall make a motion and another Member must second the motion before it can
  be debated.
- 3. When any Member wishes to speak, he or she shall so indicate by raising his or her hand and shall address the presiding officer and confine himself or herself to the matter under discussion.
- 4. Staff persons and consultants with expertise in a matter may be permitted by the presiding office to answer specific questions about the matter.
- 5. Observers at a Council meeting are not allowed to speak to a matter that is under debate.
- 6. A Member may not speak again on the debate of a matter until every other Member of Council who wishes to speak to it has been given an opportunity to do so. The only exception is that the person introducing the matter or a staff person may answer questions about the matter. Members will not speak to a matter more than twice without the permission of the chair.
- 7. No Member may speak longer than five minutes upon any motion except with the permission of Council.
- 8. When a motion is under debate, no other motion can be made except to amend it, to postpone it, to put the motion to a vote, to adjourn the debate or the Council meeting or to refer the motion to a committee.
- 9. A motion to amend the motion then under debate shall be disposed of first. Only one motion to amend the motion under debate can be made at a time.
- 10. When it appears to the presiding officer that the debate in a matter has concluded, when Council has passed a motion to vote on the motion or when the time allocated to the debate of the matter has concluded, the presiding officer shall put the motion to a vote.
- 11. When a matter is being voted on, no Member shall enter or leave the Council room, and no further debate is permitted.
- 12. No Member is entitled to vote upon any motion in which he or she has a conflict of interest, and the vote of any Member so interested will be disallowed.
- 13. Any motion decided by the Council shall not be re-introduced during the same session except by a two-thirds vote of the Council then present.
- 14. Whenever the presiding officer is of the opinion that a motion offered to the Council is contrary to these rules or the By-Laws, he or she shall rule the motion out of order and give his or her reasons for doing so.
- 15. The presiding officer shall preserve order and decorum, and shall decide questions of order, subject to an appeal to the Council without debate.
- 16. The above rules may be relaxed by the chair if it appears that greater informality is beneficial in the particular circumstances unless the Council requires strict adherence.
- 17. Members are not permitted to discuss a matter with observers while it is being debated.

- 18. Members shall turn off cell phones during Council meetings and, except during a break in the meeting, shall not use a cell phone, blackberry or other electronic device. Laptops shall only be used during Council meetings to review materials related to the matter under debate (e.g., electronic copies of background documents) and to make personal notes of the debate.
- 19. Members are to be silent while others are speaking.
- 20. In all cases not provided for in these rules or by other rules of Council, the current edition of Robert's Rules of Order shall be followed so far as they may be applicable.
- 21. These rules shall apply, with necessary modifications, to meetings conducted by teleconference or any other electronic means permitted by the By-Laws, including audio or teleconference.

#### SCHEDULE 3 TO THE BY-LAWS - Code of Ethics for Registered Members

#### **Code of Ethics for Registered Members**

All registered members of the College shall strive to attain the ideals identified in the College's Code of Ethics. The College's Code of Ethics for registered members is as follows:

- 1. General Responsibility
  - Practise within the scope of TCM practice and abide by the laws of the jurisdiction;
  - Maintain high competence (i.e., skills, knowledge and judgment) at all times;
  - Practise professionally, honestly and with integrity;
  - Respect the authority of the College and uphold the principles of self-regulation;
  - Place the health and care of patients above personal gain.

#### 2. Responsibility to Patients

- Recognize that the primary duty of a practitioner is the health and well-being of their patients;
- Respect a patient's value, needs, dignity and choices;
- Provide care to patients regardless of their race, ancestry, place of origin, colour, ethnic origin, citizenship, creed, sex, sexual orientation, age, marital status, family status or disability;
- Listen and explain to patients the available treatment options, and their goal, risks, effectiveness and cost. Provide the best treatment plan to the patient after the patient understands his or her options:
- Provide timely and quality care that is consistent with the standards of the profession;
- Provide the best care to patients, recognizing one's own limitations and referring patients to other
  practitioners, or other health care providers when the level of care needed is beyond one's
  competence;
- Being honest and fair when charging fees for services and any products or prescriptions;
- Protect patients from unsafe, incompetent and unethical care;
- Respect the physical, emotional or financial integrity of patients;
- Protect the privacy and confidentiality of the health information of patients.

#### 3. Responsibility to Oneself and the Profession

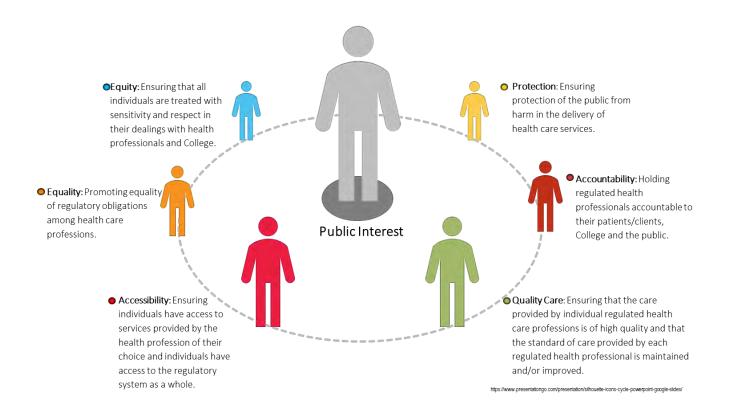
- · Acknowledge the limitation of one's knowledge, skills and judgment;
- State one's qualification and experience honestly and fairly;
- Continually upgrade one's knowledge, skills and judgment to improve one's services to patients;
- Respect other health professionals and members of the TCM profession;
- Refrain from passing judgment on the services of another health professional or another member of the TCM profession, except when required in the interest of the patient and after obtaining appropriate information;
- Collaborate with other members of the TCM profession and with other health professionals in the interest of the patient and the public;
- Be transparent and timely in providing information to patients, or a third party when requested or authorized by the patient or by law;
- Contribute to the ongoing development of TCM practices and pass on one's knowledge and skills to others;
- Uphold the honour and dignity of the TCM profession.

#### 4. Responsibility to the Public

- Contribute to improving the standards of health care in general;
- Contribute in matters of public health, health education, environmental protection and legislation issues that affect the quality of care to the public;
- Offer help in emergency situations, if appropriate;
- Promote and enhance inter-professional collaboration;
- Represent the profession well.

### **PUBLIC INTEREST**

### in the context of the College Performance Measurement Framework



#### **Decision Making Tool for Council**

It is the mandate of the College to regulate the profession of traditional Chinese medicine and acupuncture in the public interest. As such, all decision made by Council must uphold the public interest. Below are a series of considerations for Council members to help guide their decision-making process. Council members should consider each question prior to making any policy decision.

- 1. The proposed policy is related to the practice of traditional Chinese medicine and acupuncture.
- 2. The proposed policy falls within the College's statutory mandate in that it reflects a government directive or the duty, object of the College.
- 3. The proposed policy is related to the public interest.
- 4. The proposed policy is supported by the College's strategic plan, mission or goals.
- 5. The proposed policy impacts on: a) health care system, b) patients, c) College resources, d) College reputation, e) legal, f) stakeholders, or g) members?
- 6. The proposed policy is consistent with current College policies/positions and best practices amongst regulatory colleges.
- 7. The policy is being proposed to address a particular issue or concern.
- 8. There are consequences for NOT supporting this policy at this time.
- 9. After having considered all other alternatives, the policy is the most effective solution at this time.

#### **BRIEFING ON MEETING PROCEDURE**

### **Guideline for Observers Attending a Virtual Council Meeting**

Council meetings are open to the public. However, the public may be excluded from any Council meeting or part of a meeting pursuant to section 7 of the *Health Professions Procedural Code*.

Individuals attending as observers are requested to:

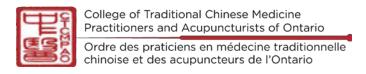
- Turn off or mute all electronic devices;
- Refrain from recording of proceedings by any means, including the taking of photographs, video recordings, voice recordings or via any other means;
- Ensure that your audio is on mute for the duration of the virtual meeting;
- Avoid using any of the virtual meeting features such as chat, reactions, etc.;
- Stop streaming video so that only Council members are visible to the Council;
- Refrain from disruptive behaviour;
- Refrain from addressing or speaking to the Council while the meeting is in process;
- Refrain from lobbying of Council members during the meeting, even during breaks;
- Respect that observers are not allowed to participate in debate of any matter before the Council, or ask any questions of the Council;
- Respect the authority of the presiding officer.

The College reserves the right to remove any observer from a Council meeting if these guidelines are not met. Once removed, you are prohibited from returning to the meeting.

In the event that the Council goes in-camera pursuant to Paragraph (d) of Section 7(2) of the Code, all observers will be returned to the "waiting room/lobby" until the Council completes its in-camera discussions. When Council returns, observers who remain in the waiting room/lobby will be returned to the meeting.

### Robert's Rules of Order - Quick Reference

- 1. All those who wish to speak to an item MUST go through the Chair.
- 2. The Chair will keep a list of who wishes to speak. The Chair will call on you to speak.
- 3. Please raise your hand to let the Chair know you wish to speak.
- 4. To speak more than once to the same item, you need to wait till everyone else has had a chance to speak.
- 5. You may ask only one question at a time.
- 6. Voting is done by a show of hands. If a secret ballot is necessary (i.e. elections) paper ballots are used. For teleconference meetings, members are asked to voice their vote.
- 7. Each item to be decided will have a MOTION. A motion will be moved and seconded prior to discussion.
- 8. Should an amendment be made to the motion, the amended motion will be the item to be discussed and voted upon.
- An amendment to a motion may be done as a "friendly" amendment, meaning the person who made the motion agrees with the change.
   And once again, the amended motion is the one that is voted upon.
- 10. Once the Chair calls an end to the discussion, a vote will be taken on the motion or amended motion.
- 11. Council members will be asked to vote:
  - a. in favour of the motion;
  - b. opposed to the motion; or
  - c. abstain from voting.(Abstentions do not affect the outcome of the vote)
- 12. A simple majority is required to pass a motion. (50% plus 1)
- 13. All votes will be noted by the minute taker.



# COLLEGE OF TRADITIONAL CHINESE MEDICINE PRACTITIONERS AND ACUPUNCTURISTS OF ONTARIO

#### **AGENDA**

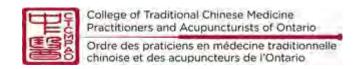
Open Meeting of the Executive Committee
Tuesday, June 22, 2021
9:00 a.m. – 2:30 p.m.
via Webex

Open meetings of the Executive Committee are held in lieu of Council meetings due to Council being unconstituted.

		Open/			
	ltem	In-Camera	Time	Speaker	Action
1.	Welcome and Call to Order	Open Session	9:00 a.m. (10 mins)	Deborah Worrad Chair	Information
2.	Declarations of Conflicts of Interest	Open Session	9:10 a.m. (5 mins)	Deborah Worrad <i>Chair</i>	Information
3.	Briefing on Meeting Procedure	Open Session	9:15 a.m. (5 mins)	Deborah Worrad <i>Chair</i>	Information
4.	Adoption of the Agenda	Open Session	9:20 a.m. (5 mins)	Deborah Worrad <i>Chair</i>	Motion
<ul><li>a)</li><li>b)</li><li>c)</li><li>d)</li><li>e)</li><li>f)</li><li>g)</li><li>h)</li><li>i)</li><li>j)</li></ul>	Consent Agenda  Draft Minutes of March 25, 2021 Open Meeting of the Executive Committee Executive Committee Report Registration Committee Report Inquiries, Complaints and Reports Committee Report Quality Assurance Committee Report Patient Relations Committee Report Discipline Committee Report Fitness to Practise Committee Report Doctor Title Working Group Report Risk Management Report	Open Session	9:25 a.m. (5 mins)	Deborah Worrad <i>Chair</i>	Motion
con one call	onsent agenda is a single item on an enda that encompasses all the things the ancil would normally approve with little nment. All those items combine to become eitem for approval on the agenda to be ed the consent agenda.  a single item on the agenda, the consent agenda is ed on with a single vote - to approve the consent				

agenda. This means that there is no discussion on the items, that are listed in the consent agenda.  However, if a person wishes to speak about any component of the consent agenda, they will alert the Chair. The component will be removed from the consent agenda and discussed at some point in the meeting. The remaining components of the consent agenda can then be approved.	Open Session	9:30 a.m.	Deborah	Information
<ul> <li>Meeting Evaluation Review</li> <li>Review of March 25, 2021 meeting</li> </ul>	Open session	(5 mins)	Worrad Chair	information
7. Registrar's Report	Open Session	9:35 a.m. (10 mins)	A. Zeng Registrar & CEO	Information
8. Council Update	Open Session	9:45 a.m. (10 mins)	A. Zeng Registrar & CEO	Information
9. May 19, 2021 Executive Committee Meeting Decisions	Open Session	9:55 a.m. (5 mins)	A. Zeng Registrar & CEO	Discussion
10. COVID-19 Activity Log	Open Session	10:00 a.m. (5 mins)	A. Zeng Registrar & CEO	Information
BREAK		10:05 a.m. (10 mins)		
11. College Performance Measurement Framework a) Competency Requirements	Open Session	10:15 a.m. (45 mins)	A. Zeng Registrar & CEO  S. Cassman Policy & Governance Analyst	Discussion
a) Governance Reform b) Bill 283	Open Session	11:00 a.m. (45 mins)	Allison Henry Director, Ministry of Health  A. Zeng Registrar & CEO  S. Cassman Policy & Governance	Presentation Discussion Motion

13. Finance  a) Statement of Operations 4 <sup>th</sup> Quarter b) 2020-2021 Financial Audit	Open Session	11:45 a.m. (15 mins)	F. Ortale Director IT, Finance & Corporate Services	Information
IN CAMERA SESSION The meeting will move in-camera in accordance with Section 7.(2)b of the Health Professions Procedural Code, [7.(2) Despite subsection (1), the Council may exclude the public from any meeting or part of a meeting if it is satisfied that, (b) financial or personal or other matters may be disclosed of such a nature that the harm created by the disclosure would outweigh the desirability of adhering to the principle that meetings be open to the public;]		(60 mins) 1:00 p.m. (60 mins)		Motion
15. Workplan Update	Open Session	2:00 p.m. (10 mins)	A. Zeng Registrar & CEO	Information
16. Other Business	Open Session	2:10 p.m. (5 mins)	Deborah Worrad <i>Chair</i>	Information
17. Next Meeting Dates	Open Session	2:15 p.m. (5 mins)	Deborah Worrad <i>Chair</i>	Information
18. Meeting Evaluation Form	Open Session	2:20 p.m. (5 mins)	Deborah Worrad <i>Chair</i>	
19. Adjournment	Open Session	2:25 p.m. (5 mins)	Deborah Worrad <i>Chair</i>	Motion



# COLLEGE OF TRADITIONAL CHINESE MEDICINE PRACTITIONERS AND ACUPUNCTURISTS OF ONTARIO

#### **OPEN MEETING OF THE EXECUTIVE COMMITTEE**

#### **MINUTES**

March 25, 2021 from 9:00 a.m. to 2:17 p.m. Via Webex

#### IN ATTENDANCE

**External Chair** 

Deborah Worrad

**Executive Committee** 

Richard Guo Qing Dong Professional Member Ming C. Cha Professional Member Feng Li Huang Professional Member

Pixing Zhang Public Member (from 9.08 a.m.)

Staff

Ann Zeng Registrar and CEO

Francesco Ortale Director, IT, Finance and Corporate Services

Sean Cassman Policy and Governance Analyst

Felicia Ng Executive Assistant

Temi Adewumi Recorder

Legal Counsel

Rebecca Durcan Steinecke, Maciura LeBlanc

**Observers** 

Matthew Colavecchia Professional Member Joanne Pritchard-Sobhani Professional Member

Deborah Sinnatamby Public Member

Xianmin Yu Professional Member Jin Qi (Jackie) Zeng Professional Member

Regrets

Christine Fung Professional Member Lihui Guo Professional Member

Shiji (Stephen) Liu Public Member

Hai Su Non-Council Professional member

#### 1. Welcome and Call to Order

After calling the meeting to order at 9:03 a.m., the Chair welcomed participants to the March 25, 2021 open meeting of the Executive Committee of the College of Traditional Chinese Medicine Practitioners and Acupuncturists of Ontario.

#### 2. Declarations of Conflict of Interest

The Chair asked if any Executive Committee members had any conflicts of interest with regard to the matters being considered at the day's meeting.

There were no conflicts of interest declared.

#### 3. BRIEFING ON MEETING PROCEDURE

The Chair provided an overview of the meeting procedure.

#### 4. Adoption of the Agenda

CPSO's consultation on complementary and alternative medicine was added under Other Business.

**MOTION:** F. Huang – R. Dong

THAT the Agenda of the March 25, 2021 Open Meeting of the Executive Committee of the College of Traditional Chinese Medicine Practitioners and Acupuncturists of Ontario be adopted as amended.

CARRIED

#### 5. CONSENT AGENDA

- a) December 10, 2020 Open Meeting of the Executive Committee Meeting Minutes
- b) Executive Committee Report
- c) Registration Committee Report
- d) Inquiries, Complaints and Reports Committee Report
- e) Quality Assurance Committee Report
- f) Patient Relations Committee Report
- g) Discipline Committee Report
- h) Fitness to Practice Committee Report
- i) Examination Appeals Committee Report
- j) Doctor Title Working Group Report
- k) Risk Management Report

# Amendment to December 10, 2020 Open Meeting of the Executive Committee Meeting Minutes:

Motion on page 4:

The first mover was Pixing Zhang and not Xianmin Yu.

**MOTION:** P. Zhang – M. Cha

THAT the Consent Agenda of the March 25, 2021 Meeting of the College of Traditional Chinese Medicine Practitioners and Acupuncturists of Ontario, be approved as amended.

**CARRIED** 

#### 6. MEETING EVALUATION REVIEW

a) Review of December 10, 2020 meeting

Three responses were received for the meeting evaluation review. All Council members were encouraged to complete the evaluation in order to help improve the meeting process.

#### 7. COUNCIL UPDATE

The Registrar reported that Council is still unconstituted. At the December 2020 open meeting, the Executive Committee, with input from Council members, directed College staff to draft a letter to the Ministry of Health (MOH) voicing concerns about the Council being unconstituted for more than a year. The letter was signed by most Council members and sent to the Minister's office on February 2, 2021.

As of this date, the College has not received a response from the Minister. The College has also followed up with the Public Appointments Secretariat (PAS), who have relayed the concerns to the Minister's office, but no further direction has been received. Council members were asked to discuss whether the College should continue to follow up with the Minister's office, or with PAS.

The second update is that the government has launched a new e-learning process. All current and future public members will be required to take the course and it must be completed once every five years. Meeting participants were referred to the memo from the Assistant Deputy Minister and Chief Administrative Officer of the Corporate Services Division.

There was agreement about the seriousness of the issue, as it may inhibit the Council's ability to conduct its mandate, and may adversely affect the public and the College. Council members were also asked to provide input.

a) A recommendation was made that the College follow the model instituted by CNO when its Council was unconstituted. The Council was advised that the College's current model is similar to that of CNO's, in that Executive Committee makes decisions, but also seeks Council's input. The Executive Committee has been holding open meetings and inviting Council input on matters in order to gain consensus, and to ensure that Council members are fully informed about concerns. The open meetings have also demonstrated transparency and provided opportunity for feedback and for Council members to attend.

While Council members are currently listed as observers, a consensus model had been developed to allow Council members to provide feedback if allowed by the Executive Committee or Chair. Therefore, their status differs from the status of observers indicated in Schedule 2 of the By-Laws.

The President asked Council members for their input on next steps for the College. Recommendations were made, with legal input provided for each.

Staff were directed to weigh each of these ideas via a risk assessment, after which Council members could pick a response.

#### 8. COVID-19 COMMUNICATIONS LOG

The Registrar reported that staff are continuing to work remotely and Committee meetings are still being conducted virtually. Staff in the office must self screen before going in.

Communications include emails and social media posts, and have focused on a) practice during the shutdown, b) mental health, c) vaccination information.

The MOH has asked the College to support public health units, identify eligible health care professionals and communicate critical information from public health on regional vaccination plans. The communications have also worked to dispel members' misconceptions.

#### 9. GOVERNANCE REVIEW UPDATE

Mr. Cassman reported that Mr. DeRooy met with Council members and senior staff and has now reviewed all submitted material. The College is waiting for the initial report, which will be compared to best practices. The schedule for completion of the report is April.

A constituted Council would be required in order to implement changes on governance practice. It was recommended that the report from Mr. DeRooy be forwarded to the Ministry.

In regard to how competency for professional members is evaluated, it was noted that HPRO colleges worked jointly to draft the competencies. Two colleges, Kinesiologists and

Audiologists, are now starting to use the competencies. The College can now apply the list drafted by HPRO and determine how it applies to this College.

The President asked the Council members for input and support was expressed for equivalent qualifications for both professional and public members. However, a Council member also expressed that due to the unique nature of TCM, the Council should continue to maintain a traditional model.

#### 10. COLLEGE PERFORMANCE MEASUREMENT FRAMEWORK

The Registrar provided an overview of the framework, which is designed to ensure that all 26 colleges are working according to the Ministry's standards. The purpose is to strengthen oversight by ensuring consistency and alignment across the colleges.

Staff have provided a summary for Council and Committee reference. The College has not met all the requirements, but is in a relatively good position, and will focus on the areas that need improvement for the next reporting cycle. The Executive Committee passed a motion to approve the draft report, but further changes have been made to improve the layout and update the language. Staff were thanked for their work.

The report has also been shared with the consultants for the QA enhancement project and the Governance review to ensure the recommendations align with the CPMF framework. Mr. Cassman provided an overview of the document, and the Council members were asked to provide comments.

Council members expressed support for the framework, which helps to build better governance. It is also perceived to be the MOH's way of helping colleges improve their regulation, and is an alternative to the British Columbia model which is now following most of the recommendations of the Cayton report.

Advice was also provided that while some of the framework's domains are not legally required, should the College decide not to participate, the College's reputation could be affected.

At present, there is no intention in Ontario to follow the British Columbia model, where colleges have been absorbed into a larger oversight college. The Council was also informed that a non health regulatory college, the Ontario College of Teachers, has adopted the British Columbia model by introducing appointments for elected and public members.

A professional member expressed concerns about Domain 6, Suitability to practice. Due to the College's size, it is important that actual numbers and not ratios be used. However, permission from the Ministry would be needed to make this adjustment. It was further noted that the Annual Report, which is submitted each year to the Ministry, contains a much wider set of statistics on ICRC cases. Mr. Cassman was asked to contact the

Ministry on this issue.

#### 11. FEB 18, 2021 EXECUTIVE COMMITTEE MEETING DECISIONS

- a) College Performance Measurement Framework
- b) By-Law Review Update
- c) 3<sup>rd</sup> Quarter Financials
- d) Proposed Budget

Ms. Zeng provided a summary of decisions approved at the February 18, 2021 Executive Committee meeting.

a) College Performance Measurement Framework

The CPMF report was addressed in item 10. The report will be sent by March 31, and will be published on the College website.

#### b) By-Law Review Update

Due to the Council's unconstitution, the By-Law review has been postponed. The Governance review may also present some recommendations that could lead to further amendments.

#### c) 3<sup>rd</sup> Quarter Financials

Mr. Ortale presented the 3<sup>rd</sup> quarter financials, providing an overview of net income, expenses and revenue. Revenue is at 98.87%, and given the pandemic, exceeded projections. Overall, also due to the pandemic, expenses were below budget at 20%.

#### d) Proposed Budget

Highlights of the budget include:

- Reduction of the membership fee by \$300 for 2021. This will reduce projected revenue by \$700,000.
- Interest rates are reduced due to the pandemic and also due to 2020's instalment plan.
- Expenses for Council and Committee have been reduced by 3.21%, as virtual meetings will continue in 2021. Expenses for the Examination appeals committee have been reduced by 54.17% due to only one meeting for the committee in 2020. The function is being transferred to CARB-TCMPA.
- A new fund, called Strategic initiatives will now include such items as strategic planning, CPMF, and other collaborations.

- For administrative expenses, there is a CPP and EI increase.
- Funds were allocated for temporary employees that will replace employees going on maternity leave.
- The communications fund has been reduced by 16%, as projects were postponed due to the pandemic.
- Overall the budget is consistent with that of 2020. Funds have been allocated for all current projects.

Executive Committee members expressed approval for the budget. The Chair invited comments from Council members with the President's approval.

i) College office lease: In response to a query about the College leasing a smaller space given remote work, the Registrar reported that the lease will expire in summer 2024. Discussions have been held with smaller colleges to determine if collaboration is possible. The College is also aware that it needs to have a public presence for direct communication with patients and registrants. Other considerations are for the College to purchase its own property.

Mr. Ortale noted that the College had attempted to obtain reductions from the landlord, but was not successful. The College is not eligible for a government program.

- ii) By-law amendments: The proposed list changes had been reviewed at the last January 2020 or March 2020 meetings. Once the governance review has concluded, the list of changes will be finalized after Council discusses the governance review report.
- ii) College investment plan: The College is only relying on bank interest for the funds in the reserve account. Other investment alternatives as stipulated by the By-laws had been presented to Council members in past years, but were not accepted. It was suggested that the alternatives could be reviewed again.

The Registrar reported that more research can be conducted and brought back to the Council when Council is constituted. The reserve policy will also be discussed with the auditor to ensure a solid investment plan is in place.

All Council members indicated their support for the budget and for the CPMF draft.

#### 12. COMMUNICATIONS UPDATE

- a) Webinar
- b) Communication videos
- c) Educational Tips

Ms. Zeng provided an update on the communications projects.

#### a) Webinar

The webinar on Standards for Record keeping will be presented on March 26 by Mr. Cassman and Ms. Lee, the new professional practice advisor. Council members were informed that Ms. Lee had been interviewed by the QAC.

#### b) Communication videos

The College has been working with a company to produce the videos, and two are being finalized by Balmoral Marketing, with a release date of early April. The video, "TCM from a public perspective" is on hold as it will require in person interviews with practitioners. Production will resume after the restrictions are lifted.

#### c) Educational Tips

The tips comprise trends members should be informed of, and answers to questions from members. They have been offered in multiple languages, and include vaccination information and renewal reminders. The communications plan will be reviewed based on upcoming situations.

The Chair gave permission to a professional member to provide a comment on the January 20 email, which appears to point out contradictions in practitioners being asked to encourage patients to obtain the vaccination, but not to discuss the vaccination with them.

Advice was provided that there is no contradiction in the statement. Members can inform patients about the availability of vaccinations, which is being done in the public interest in order to increase immunity. However, discussing the different types of vaccines is outside of the scope of TCM practitioners. Such questions should be directed to a professional with the requisite knowledge, skills and judgment.

It was recommended that the vaccine information be combined with the request for cooperation from public health units, in the format of a flyer or poster, as it would encourage vaccinations. The Registrar will consider this request, and reminded members that information about the vaccine is included on the website, along with resources that patients can be referred to.

#### 13. REGISTRATION COMMITTEE – APPOINTMENT OF A NEW PUBLIC MEMBER

The Executive Committee was made aware that a Public Member has not been actively attending Registration Committee meetings. While the composition of the Panel is not compromised by the member's absence, it is important to have a vocal public voice on the Committee. The Executive Committee was asked to discuss the matter.

It was explained that it is common procedure for the Executive Committee to appoint new members in a separate board room. As the meeting is virtual, Executive Committee

members would move into a separate break out room and then bring the result back to Council.

The meeting was adjourned for lunch at 12:05 p.m. Executive Committee members (with the exception of Mr. Zhang) moved to the break out room with Ms. Zeng, Ms. Durcan, Ms. Ng and Ms. Adewumi.

The break out room session started discussion at 12:11 p.m. to discuss appointments to the Registration Committee.

The break out room meeting adjourned at 12:24 p.m.

After the lunch break, the Registrar informed the Council that Ms. Sinnatamby had agreed to be appointed to the Registration Committee. The President expressed his appreciation to Ms. Sinnatamby.

**MOTION:** R. Dong – M. Cha

THAT the Executive Committee of the College of Traditional Chinese Medicine Practitioners and Acupuncturists of Ontario appoints Deborah Sinnatamby to the Registration Committee effective immediately.

**CARRIED** 

#### 14. QA PROGRAM ENHANCEMENT PROJECT

Ms. Zeng explained that this item had been included in the consent agenda in the QAC report. However, due to the importance of this project, and in order to allow for members' questions, it had been added to the agenda.

The most recent update from the consultant is that the first draft of the final report is now completed. It will be referenced with the CPMF framework before it is presented to the Committee.

A professional member expressed satisfaction with the project.

Advice was provided that if any standards are slated for change, this would be in the control of the Executive Committee or Council, especially if they had been created and approved originally by the Council.

#### 15. MATTERS RELATING TO CARB-TCMPA

- a) Pan-Canadian Examination Language Availability
- b) CARB-TCMPA TCM Program Accreditation Project Update

The Registrar provided the updates.

i) The first online proctored computer-based examination exam has been completed. Positive feedback has been received, including from the OFC.

The retake exam, delivered during the pandemic, was held in December, and is the last paper-based exam. With a smaller number of attendees, the exam was delivered with safety protocols in place. The College will be offering an additional sitting of the Pan-Canadian exam for the first time in spring. The advantage of the second exam is allowing applicants to sit for the exam as soon as they have graduated.

- ii) At the February 18, 2021 meeting, the Executive Committee discussed and sent a letter to CARB regarding its decision to sunset the Chinese language version of the examination in British Columbia. A CARB meeting was held on March 8, 2021 and CTCMA is working with CARB to develop a solution. A final decision is expected in April or May.
- iii) Due to the additional exam, the fee has increased by 10%. The exam fee has now been transferred to CARB, as previously reported. The College will still retain the application fee.

The President thanked Council members who had signed the letter to CARB.

b) CARB-TCMPA TCM Program Accreditation Project Update

The Registrar explained that the accreditation project is an opportunity for collaboration among provincial TCM regulators, to ensure the education of competent practitioners, and public protection. Registration Committee and Executive Committees had approved the project, which is in alignment with the College's strategic plan.

Even though the project is administered by CARB, Council and the Registration Committee make the final decision on what processes will be adopted. Registration Committee members have responded positively to the project. Updates will be obtained from the accreditation working group and the Registration Committee on how to make revisions to the accreditation standard as needed.

Council members were also informed that Ontario educators have been actively involved in discussions regarding the project, and have also participated in virtual meetings with CARB. More feedback from the educators will be obtained moving forward.

Concerns were raised about the use of the word "accreditation," as full accreditation would require a registration regulation amendment, which would require that the Council be constituted.

Legal advice was provided that a full accreditation program is not being considered at this point. The project is simply a way to provide clarity on what the College deems is necessary to meet the requirements set out in the registration regulation.

Further, the College does not have the power to regulate educational institutions or mandate what subjects the schools must teach. However, in order to ensure consistency, objectivity, impartiality and fairness, the College provides a roadmap that outlines the expected competencies.

In order to avoid confusion, it was suggested that CARB can be asked to place a definition of the accreditation program on their website, which will emphasize that the project is to ensure TCM education meets a defined standard.

#### 16. WORK PLAN UPDATE

The Executive Committee agreed that as there is no new strategic plan, projects decided on in 2018 will still continue, with the exception of the Doctor title. The time line of the work plan has changed due to the pandemic, and Council's unconstitution.

In addition, resources have been spent on unexpected projects such as the CPMF and COVID-19 related activities. The College will discuss a new plan once Council is reconstituted.

#### 17. OTHER BUSINESS

a) CPSO consultation on the Complementary and Alternative Medicine policy

The Registrar explained that CMAAC had sent an email to its members directing them to contact the College regarding CPSO's revised policy on Complementary and Alternative Medicine (CAM), which is currently under consultation. The association also requested that the College negotiate with CPSO regarding the policy.

Staff have reviewed the policy and determined that it only applies to members of CPSO who use alternative or complementary medicine as part of their practice. In addition, negotiating with CPSO or other colleges is not part of the College's role. As the policy is under public consultation, any stakeholder can provide feedback directly to CPSO.

An explanation was provided of the exemption allowed to certain colleges who are authorized to provide acupuncture. However, only CTCMPAO is allowed to provide TCM acupuncture, accompanied by a diagnosis. The CAM policy does not impact this College's members, as it only applies to CPSO members.

Council members gave approval for the Registrar to make a statement on the website regarding the policy and to also ask CMAAC to send a correction to their members.

In response to a query, it was clarified that staff are expected to implement a motion as quickly as possible. If Council or a committee, intends to modify or revoke a motion that

has already been passed, another motion would need to be moved in order to change the original motion, and would need 2/3 positive votes of Council for the change to go through.

Should Council not ratify a decision, action on the decision would come to a halt even if had been operating previously. While this action is legally valid, practically, it would have consequences for the College that would impact policy, and costs. This emphasizes the necessity for consensus in order to ensure that the Executive Committee's decisions are supported by a majority of Council members.

Explanation was also provided that only the Registrar can hire staff, and the only staff member on whom the Executive Committee can make a decision is the Registrar. Should a decision regarding the Registrar not be ratified by Council, there could also be financial implications. The need for consensus by Council on the Executive Committee's decisions was further emphasized.

#### 18. NEXT MEETING DATES

The next meeting dates are tentatively scheduled for: June 22, 2021, September 22, 2021, and December 8-9, 2021.

#### 19. MEETING EVALUATION FORM

Executive Committee members were encouraged to complete the evaluation form.

#### 20. ADJOURNMENT

The meeting was adjourned at 2:17 p.m.

MOTION: M. Cha

THAT the Open Meeting of the Executive Committee of the College of Traditional Chinese Medicine Practitioners and Acupuncturists of Ontario of March 25, 2021 be adjourned until the next meeting or at the call of the President.

**CARRIED** 

FOR: Information

**SUBJECT:** Executive Committee Report

#### **Executive Committee Members**

Richard Guo Qing Dong (President)

Professional Member

Professional Member

Professional Member

Professional Member

Professional Member

Professional Member

Pixing Zhang Public Member

Since the previous open meeting of the Executive Committee held on March 25, 2021, the Executive Committee met once on May 19, 2021 for an Executive Committee meeting.

#### **FOR INFORMATION**

#### 1) Items Discussed In-Camera

- a) The EC discussed a civil suit against the College.
- b) The EC discussed matters relating to a Council member.
- c) The EC discussed HR matters.

#### 2) Council Update

The EC was updated on the status of public appointments to the College. Staff have regularly followed up with the Public Appointments Secretariat (PAS) however there are no updates at this time. The Executive Committee reviewed potential ideas to address the urgent need to acquire public appointments.

#### 3) COVID-19

The EC was provided with a summary of steps the College has taken in response to COVID-19, including all communication updates.

#### 4) College Performance Measurement Framework

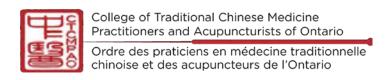
The EC was advised that the completed College Performance Measurement Framework report was submitted to the Ministry of Health in March. The report was also published on the College's website.

#### 5) Governance Review

The EC was advised that the consultant for the College's governance review has concluded his review and is in the process of finalizing the report.

#### 6) Election of Council Members for District 3

The EC was advised that the professional Council Member positions for District 3 are up for election



for a three-year term ending in 2024. The EC was provided with a proposed date and timeline for the election. The Executive Committee directed the Registrar to set October 28, 2021 as the date of the election of District 3, in accordance with the College By-laws.

#### 7) Reassessment of Committee Composition

The EC discussed the need to re-evaluate each Committee's composition to ensure that Committees are current and functioning efficiently. The EC directed staff to reach out to members of Council to inquire about Committee preferences.

#### 8) Finance

An overview of the 4<sup>th</sup> quarter financial statements was provided to the EC.

#### 9) Communications Update

The EC was provided with an update on communication initiatives for the upcoming webinar on the Standard for Fees and Billing, communication videos, and educational tips. The College met with a third-party marketing and advertising agency to discuss strategies relating to the College's reputation and image.

#### 10) CARB TCM Program Accreditation Project and College Program Approval Process

The EC was updated that a response to the survey on draft standards was submitted to CARB-TCMPA following approval from the Registration Committee. The EC was also made aware that the Registration Committee had reviewed concerns from stakeholders regarding the project. It was noted that although the project is administered by CARB, Council and the Registration Committee will make the final decision on what processes will be adopted in Ontario for its program approval process.

#### 11) QA Program Enhancement Project

The EC was advised that College staff and the consultant have been working together to discuss the draft of the final report for the QA Program Enhancement Project.

#### 12) Public Observers for Open Meetings of the EC

The EC reviewed options for public observers to attend open meetings of the Executive Committee if the Council remains unconstituted, and the need to publish a public agenda on the College website for transparency purposes. An open invitation for public observers to attend virtually via Webex, and a public agenda will be published for the next open meeting of the EC.

#### 13) Workplan Update

An overview of the College's workplan and progress were provided to the EC.

This report is current to June 3, 2021 in anticipation of the open meeting of the Executive Committee scheduled for June 22, 2021.

FOR: Information

SUBJECT: **Registration Committee Report** 

#### **Registration Committee Members**

Ming C. Cha (Chair) **Professional Member** Feng Li Huang **Professional Member** Xianmin Yu **Professional Member Public Member** Shiji (Stephen) Liu

Joanne Pritchard-Sobhani

**Public Member** Deborah Sinnatamby (from March 25, 2021)

Since the last quarterly report, the Registration Committee met twice on March 10, 2021 and May 4, 2021. Additionally, two panel meetings were held on March 10, 2021 and May 17, 2021. All meetings were held via teleconference.

**Professional Member** 

#### **FOR INFORMATION**

#### 2021-2022 REGISTRATION RENEWAL

The College opened the renewal process to members on February 1, 2021. Members registered in the General, Student, or Inactive Class were required to renew their registration by April 1, 2021. Due to the COVID-19 pandemic, the College reduced its General Class renewal fee to \$1,000. This was a one-time reduction to assist members in completing their renew during the pandemic.

2553 members have successfully completed their renewal. 35 members were suspended due to failure to renew despite multiple reminders.

#### 2021 APRIL PAN-CANADIAN EXAMINATIONS

The April 2021 Pan-Canadian examinations were held on April 21, 2021, and April 22, 2021. A total of 121 applicants were registered to write the examinations. 45 applicants were registered to write the Traditional Chinese Medicine Practitioner exam, and 76 applicants were registered to write the Acupuncturist exam. Due to the COVID-19 pandemic, the April 2021 Pan-Canadian examinations were delivered in an online proctored format. The April 2021 Pan-Canadian examinations results will be released in mid-June 2021.

#### 3. 2021 OCTOBER PAN-CANADIAN EXAMINTIONS

The application for the October 2021 Pan-Canadian Examinations was made available online starting May 3, 2021. The application deadline is July 15, 2021, 5:00 p.m. EST. The Registration Committee

agreed that the provisional exemption to clinical training would continue to apply for the October 2021 examination.

The Traditional Chinese Medicine Practitioners examination is scheduled for October 6, 2021, and October 7, 2021. The Acupuncturists examination is scheduled for October 27, 2021, and October 28, 2021.

As of June 2, 2021, the College received 16 applications for the examinations.

#### 4. SEMI-ANNUAL MEETING WITH SCHOOLS

A virtual meeting was held with TCM schools on May 26, 2021, to discuss the Pan-Canadian Examinations and the CARB-TCMPA TCM Program Accreditation project. The meeting was attended by 20 representatives from 11 schools.

#### 5. TCM PROGRAM ACCREDITATION/APPROVAL

Earlier this year, CARB-TCMPA conducted a national survey to solicit detailed feedback on the draft accreditation standards. The Registration Committee reviewed the College's draft response to the survey.

#### 6. 2021 PRE-APPROVED REFRESHER COURSES

Under the Registration Regulation, members registered in the General Class who have not completed a minimum of 500 TCM patient visits over each three-year period must complete a refresher program approved by the Registration Committee.

The Registration Committee reviewed and subsequently approved four (4) refresher program proposals submitted by members since the last quarterly report.

In addition, the Registration Committee reviewed refresher courses submitted for approval by a number of Ontario TCM programs. The College's list of approved refresher courses will be updated accordingly.

#### 7. REGISTRATION COMMITTEE PANEL UPDATES (From March 10, 2021 – June 2, 2021)

	Decis	Decisions made by the Registration Committee						
	Approved	Approved with TCLs	Refused	Total				
General Class application	0	0	0	0				
Title Variation	1	0	0	1				
Transfer from Inactive Class	0	2	0	2				
Totals	1	2	0	3				

#### 8. QUATERLY MEMBERSHIP STATS (As of June 2, 2021)

**Registration by District** 

	District	District	District	District	District	Practicing		
	1	2	3	4	5	outside ON	Unknown	Total
General	174	119	1505	487	74	20	64	2443
Inactive	10	8	82	18	3	19	12	152
Student	0	0	10	1	0	0	0	11
Total								
Members	184	127	1597	506	77	39	76*	2606

<sup>\*</sup>Please note that General Class members who recently registered with the College are given 30 days to submit their business address. We are following up with 76 General Class members who did not provide their business address information to the College.

#### Registration updates as of June 2, 2021

	30-Sep-20	31-Dec-20	31-Mar-21	2-Jun-21
General R. Ac	1194	1214	1236	1210
General R. TCMP	1224	1240	1256	1233
Student R. Ac	5	8	8	6
Student R. TCMP	3	5	6	5
Inactive R. Ac	66	66	61	81
Inactive R. TCMP	48	50	49	71
<b>Current Members</b>	2540	2583	2616	2606
	·			
Resigned	352	358	389	414
Expired	658	658	658	658
Revoked	88	88	88	87
Suspended	97	93	89	123
Total Registrants	3735	3780	3840	3888

**Changes within the Quarter** 

	Jul. 1, 2020 – Sep. 30, 2020	Oct. 1, 2020- Dec. 31, 2020	Jan. 1, 2021- Mar. 31, 2021	April. 1, 2021 – Jun. 2, 2021
New members	65	19	48	63
Resignations	1	3	31	24
Revocation	0	0	0	0
Suspensions	10	0	1	35
Expired	0	0	0	0
Net Change	54	16	16	4

Members practicing with terms, conditions and limitations: 299

#### **2021 Jurisprudence Course Tests**

Passed	Failed	Total
115	0	115

#### **2021 Safety Program Tests**

Passed	Failed	Total
119	1	120

This report is current to June 2, 2021 in anticipation of the open meeting of the Executive Committee scheduled for June 22, 2021.

**FOR:** Information

**SUBJECT:** Inquiries, Complaints and Reports Committee Report

#### **Inquiries, Complaints and Reports Committee Members**

#### Panel 1

Pixing Zhang (Chair of ICRC and Panel 1) Public Member

Richard Dong Professional Member Lihui Guo Professional Member

Deborah Sinnatamby Public Member

#### Panel 2

Xianmin Yu (Chair of Panel 2) Professional Member
Jinqi Zeng Professional Member
Matthew Colavecchia Professional Member

Fanny Ip Professional Member (Non-Council Member)

Stephen Liu Public Member

Joanne Pritchard-Sobhani Professional Member

The Inquiries, Complaints and Reports Committee (the "ICRC") is divided into two main panels to accommodate the number of ongoing matters, and to accommodate the selection of panel members, should the need arise for a discipline hearing. Since the last quarterly report, the ICRC met on April 28, 2021, May 20, 2021 and on June 17, 2021.

#### **New Cases and Nature of Concerns**

Note: Some cases may have more than one concern

Complaints		Nature of Concerns	Registrar Report Investigations		Nature of Concerns
		Advertising			Advertising
		Billing and Fees			Billing and Fees
7		Communication	8		Communication
	2	Competence / Patient Care			Competence / Patient Care
	2	Fraud		7	Fraud
		Professional Conduct &			Professional Conduct &
		Behaviour			Behaviour

# TUE AND THE SECOND SECO

#### College of Traditional Chinese Medicine Practitioners and Acupuncturists of Ontario

Ordre des praticiens en médecine traditionnelle

111015	ioise et des acupuncteurs de l'Ontano								
	3	Record Keeping			Record Keeping				
		Sexual Abuse / Harassment / Boundary Violations		1	Sexual Abuse / Harassment / Boundary Violations				
		Unauthorized Practice			Unauthorized Practice				

#### **Completed Cases and Outcomes\***

Note: Some decisions have more than one outcome

Note: Some decisions have more than one outcome							
Complaints	Outcomes		Registrar Reports	Outcomes			
·							
			Investigations				
		1					
		Take no action		Take no action			
	2	Advice		Advice			
	_	Advice		Advice			
		Written Caution		Written Caution			
2		Oral Caution		Oral Caution			
		SCERP		SCERP			
		SCENP		SCERF			
		Refer to Discipline		Refer to Discipline			
		Undertaking		Undertaking			

#### **Complaints cases before Health Professions Appeal and Review Board**

New Cases	Pending Cases	
2	1	

#### **Pending Cases**

Complaints	Registrar Report Investigations	Incapacity Inquiries	Total # cases
17	18	0	35

This report is current as at June 7, 2021 in anticipation of the open meeting of the Executive Committee scheduled for June 22, 2021.

FOR: Information

**SUBJECT:** Quality Assurance Committee Report

#### **Quality Assurance Committee Members**

Feng Li Huang (Chair) Professional Member
Lihui Guo Professional Member
Matthew Colavecchia Professional Member
Ming C. Cha Professional Member

Pixing Zhang Public Member

Since the last quarterly report, the Quality Assurance (QA) Committee met once on June 3, 2021.

#### FOR INFORMATION

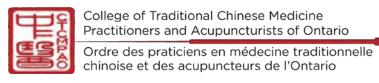
#### 1. Quality Assurance Program Enhancement Project

A Request for Proposals (RFP) was announced in February 2020 for a Quality Assurance Program Enhancement Project. In June 2020, the Committee approved Igor Gontcharov as the Consultant for the QA Program Development project. The project service agreement was finalized on July 22, 2020.

The project includes four inter-connected methods: 1. Policy Review (Jurisdictional and Literature Review), 2. Program Review (QA Program Review/Needs Analysis, Online Self-Assessment Tools and Tracking Solution Review), 3. Key Stakeholder Interviews, 4. Report and Recommendations. A draft of the final report and recommendations were presented to the QA Committee at the June 3, 2021, committee meeting.

College staff have met with the Consultant several times to receive updates on the stakeholder interviews, information gathering, and general project updates. The following documents have been provided to date:

- Summary Report Quality Assurance (QA) in the Context of Regulated Health Professions: Policy Review, Best Practices, and Emerging Trends
- Stakeholder Interview Script and Guide
- Summary Report CTCMPAO QA Program Review Draft
- Stakeholder Interviews Summary Report
- Member survey results
- Draft Final Report and Recommendations
- Draft Final Report and Recommendations Presentation



At their next meeting, the QA Committee Meeting will further discuss the final report and the recommendations. Any changes to the QA framework will be presented for Council approval.

#### 2. Standards and Guidelines

Telepractice Guideline

In April 2020, in response to COVID-19 and the restrictions imposed on members' practice, the QA Committee developed a Telepractice Position Statement. The Committee is now in the process of developing a more comprehensive telepractice guideline to help members understand their accountabilities when providing care using telecommunication technologies.

The draft guideline was approved on June 3, 2021, for key stakeholder consultation.

#### 3. Quality Assurance Program

#### a) 2020 Random Selection

Self-Assessment and Professional Development

253 members received notice of selection by mail and email on December 2, 2020 and had until March 8, 2021 to submit their documents.

The following chart indicates the status of the submissions.

Categories	# of members
Completed and Approved Submissions	235
Deficient PD Hours (To be deferred)	1
Incomplete Submissions	2
Did not submit (Active members)	7
Suspended (Did not complete renewal)	3
Other	5*

<sup>\*</sup>Three of the selected members resigned. The College received notification that two of the selected members had passed away.

Members who have not yet complied the requirements, were provided with a final deadline to submit their documents.

The QA Committee also directed that the non-compliant members who are currently under suspension be referred to undergo a Peer and Practice Assessment when their General Class certificates are reinstated.

Note: There were no members randomly selected to undergo the Peer and Practice Assessments in 2020.

#### b) 2019 Peer and Practice Assessments

In 2019, 11 members were randomly selected for a Peer and Practice Assessment. As of June 2021, four assessments have been completed. The College's Peer and Practice Assessments were put on hold in April 2020 in response to the COVID-19 pandemic. At their last meeting, the QAC approved the resumption of in-person Peer and Practice Assessments when the provincial government moves into Step 2 of the reopening plan.

#### c) Peer and Practice Assessors

One of the responsibilities of the QA Committee is to appoint Peer Assessors, who are qualified members of the College, to conduct Peer and Practice Assessments (PPA). A call for Peer and Practice Assessors was issued on February 19, 2021, with a submission deadline of March 12, 2021. Following interviews conducted by members of the QA Committee, 10 of the applicants have been appointed as Peer and Practice Assessors. The College's current Peer Assessors have also been reappointed. These appointments are pending the candidates' completion of the Peer and Practice Assessment training and their signing of the Peer Practice Assessor Agreement. The training session will take place in July.

#### d) Non-Compliance Declarations

QA staff were provided with a list of members who declared non-compliance with the QA program on their 2021 renewal applications. Ten members were exempt from the 2020 QA Program period, as they had completed their initial registration in the same year. Staff is following up with the remaining 12 members.

In addition, the QA Committee directed that one member (currently under suspension) who declared non-compliance in 2019 be referred to undergo a Peer and Practice Assessment when his General Class certificate is reinstated.

#### e) Peer and Practice Assessment Report

The QA Committee reviewed and subsequently approved updates to the Peer and Practice Assessment Report. The report needed to be updated to reflect the College's new standards.

This report is current to June 8, 2021 in anticipation of the Council meeting scheduled for June 22, 2021.

**FOR:** Information

**SUBJECT:** Patient Relations Committee Report

#### **Patient Relations Committee Members**

Deborah Sinnatamby (Chair) Public Member

Christine Kit Yee Fung Professional Member
Feng Li Huang Professional Member
Jin Qi (Jackie) Zeng Professional Member

The Patient Relations Committee has not held a meeting since the last quarterly report.

FOR: Information

**SUBJECT:** Discipline Committee Report

#### **Discipline Committee Members**

Jin Qi (Jackie) Zeng (Chair)

Ming C. Cha

Professional Member

Shiji (Stephen) Liu Public Member

Joanne Pritchard-Sobhani Professional Member

Deborah Sinnatamby Public Member

Xianmin Yu Professional Member

Pixing Zhang Public Member

Since the last quarterly report, the Discipline Committee did not preside over any matters in this quarter. The Committee did not release any decisions in this quarter.

As of June 7, 2021, there is one open case which has been referred to the Discipline Committee by the Inquiries, Complaints and Reports Committee (ICRC).

	Member Name	Status
1	Esther Yu Kwan	The Notice of Hearing was served on the Member on April 27, 2021. A Pre-
	Cheng	Hearing Conference is scheduled for July 19, 2021.

There is one discipline decision currently under appeal by the Member. This matter relates to the <u>Nathalie</u> <u>Xian Yi Yan</u> decision.

This report is current as at June 7, 2021 in anticipation of the open meeting of the Executive Committee on June 22, 2021.

FOR: Information

**SUBJECT:** Fitness to Practice Committee Report

#### **Fitness to Practice Committee Members**

Ming C. Cha
Professional Member
Matthew Colavecchia
Richard Guo Qing Dong
Christine Kit Yee Fung
Lihui Guo
Professional Member
Professional Member
Professional Member
Professional Member
Professional Member
Professional Member

Shiji (Stephen) Liu Public Member

Joanne Pritchard-Sobhani Professional Member

Deborah Sinnatamby Public Member

Xianmin Yu Professional Member Jin Qi (Jackie) Zeng Professional Member

Pixing Zhang Public Member

Pursuant to the College Bylaw, every member of Council is a member of the Fitness to Practice Committee.

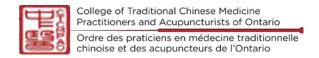
Since the last quarterly report, the Fitness to Practice Committee did not meet.

**FOR:** Information

**SUBJECT:** Doctor Title Working Group Report

#### **Doctor Title Working Group Members**

The appointment of new Working Group members is currently on hold due to Council being unconstituted. The Working Group will not be holding meetings until new members are confirmed.



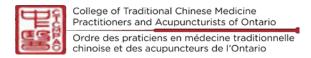
## College of Traditional Chinese Medicine Practitioners and Acupuncturists of Ontario (CTCMPAO) Risk Management Plan

## **Risk Management Vision**

CTCMPAO is committed to building and fostering an enterprise risk management culture that clearly faces reality through systematic process of risk identification, assessment, and management and will affect this through its strategic planning process. CTCMPAO's value of serving and protecting the public interest, providing quality service, accountability and transparency, teamwork and collaboration are the foundation of the organizational risk culture and will guide our actions.

### Stakeholder Roles and Responsibilities

Role	Risk Management Responsibility	Assignment
Council	Responsible for approving Risk Tolerance Evaluation, reviewing Risk Management Plan and periodically reassessing success of Risk Management strategies.	Council Members
President	Responsible for leading Executive Committee and Council in reviewing the Risk Management Plan, implementing certain procedures needed in specified emergency situations, ensuring action is taken as necessary to protect the separation of Council from operations.	Richard Dong
Registrar and CEO	Responsible for ensuring comprehensive risk assessment within the organization, development and implementation of mitigation strategies, and ongoing evaluation of effectiveness of risk management by the organization.	Ann Zeng
Director, IT, Finance and Corporate Services	Responsible for identifying risk factors relating to financial management policies and procedures, adhering to sound financial risk management and mitigation policies and strategies. Champions organization-wide effort to protect the vital assets of the College and engage key stakeholders in risk management activities.	Francesco Ortale
Program Managers	Responsible for complying with obligations outlined in the Health Professional Procedural Code respecting procedure, timelines, transparency, objectivity and fairness.	Ryan Chu, Ania Walsh



## **Risk Management Process and Activities**

The CTCMPAO regularly undertakes risk management activities to protect the interests of the public, its members and the Government of Ontario to which it is accountable. Risk management involves complex processes of risk assessment, development and implementation of mitigation strategies and evaluation of effectiveness, change and opportunity. Effective risk management requires the active engagement of everyone actively engaged in the organization and may include consultation with external experts such as auditors. The College adheres to a Risk Management Policy which requires regular review, evaluation and reporting to Council.

#### Risk Analysis Matrix

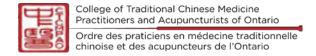
College staff engage in a collective and joint process to identify types of risks that are likely to affect the achievement of business goals. For each risk, staff consider what could happen, how and why it could happen, and the consequences of said risk.

Staff systematically review the following to identify potential risks and to categorize potential risks using a risk occurrence matrix:

- each function performed within the College,
- records and reports generated or retained by the College.
- business processes, policies and procedures.
- resources of the College including knowledge of history, processes and legislative, operational and policy environment, staff, furnishings and equipment.

## **Types of Risk Identified:**

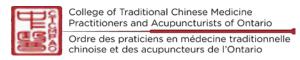
- 1. Governance
- 2. Loss Confidence in CTCMPAO
- 3. Finance
- 4. Information Management
- 5. Facility/Site Safety and Security
- 6. Human Resources
- 7. Statutory Obligations
- 8. Exam



## **Risk Occurrence Matrix**

		Rare	Unlikely	Possible	Likely	Almost Certain
Likelihood (probability of occurring)  Consequence/		The event may occur in exceptional circumstances.	The event has happened at some time.	The event has happened periodically	The event has happened previously and could reasonably occur again.	The event is extremely likely to occur
Impact		(0 – 5 %)	(6-33%)	(34-65%)	(66-79%)	(80-100%)
	Level	1	2	3	4	5
Negligible	1	1	2	3	4	5
Low financial/reputation loss, small impact on operations						
Minor	2	2	4	6	8	10
Some financial loss, moderate impact on business						
Moderate	3	3	6	9	12	15
Moderate financial loss, moderate loss of reputation, moderate business interruption						
Major	4	4	8	12	16	20
Major financial loss, several stakeholders raised concerns, major loss of reputation, major business interruption						
Extreme	5	5	10	15	20	25
Complete cessation of business, extreme financial loss, irreparable loss of reputation						

Risk Rating	Risk Priority	Description
1-3	L	Low Risk: May require consideration in any future changes to the work area or processes, or can be fixed immediately
3-6	M	Medium Risk: May require corrective action, planning and budgeting process

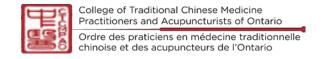


8-12	Н	High Risk: Requires immediate corrective action
15-25	Е	Extreme Risk: Requires immediate prohibition of the work, process and immediate corrective action

#### **Risk Assessment**

This step involved analysing the likelihood and consequences of each identified risk using the measures provided in the table above. The staff looked at the existing controls for each risk and identified what we would do to control the risk. Then, using the chart, they rated the effectiveness of existing controls in preventing the risk from happening or minimising its impact should it occur.

- Likelihood is a qualitative description of probability and frequency, asking the question 'what is the likelihood of the risk occurring?'
- Consequence was described as the outcome of the event, being a financial loss, loss of reputation, or business interruption, asking the question, 'what is the consequence of the risk event?'
- The risk rating was the sum of the consequence rating times the likelihood rating.
- The risk priority chart provides an indication of urgency to how soon the staff needed to implement a strategy to address the risk.



## CTCMPAO Risk Registry - 2019 - 2021

Risk Type	Risk and Description	Risk Likelihood	Risk Impact	Risk Priority	Risk Owner	Mitigation Actions
Governance	Not reaching quorum to constitute a Committee resulting in or Council becomes unconstituted:  • Public perception of not meeting College mandate of public protection • Delays in decision-making affecting applicants (i.e. registration) or members (QA/ICRC/Discipline)	5 Almost Certain	4 Major	20 Extreme	Council, President, Registrar	<ul> <li>Staff try to accommodate Council/committees members' schedules to ensure quorum in all meetings.</li> <li>Continued ongoing communications with Public Appointments Secretariat</li> <li>The Registrar has acted on legal advice for procedures to ensure the College functions properly while the Council is unconstituted.         <ul> <li>Committees continue to function as per section 12.09 of College by-laws. Committees remain constituted as long as there is quorum.</li> </ul> </li> </ul>
						Outreach to TCM associations and schools to reach prospective members to ensure understanding of the College's role and why regulation matters.     Create and advertise opportunities for engagement with the College.     Allow non-council members to sit on statutory committees.
Governance	Council/Committees operating outside of mandate or becoming engaged with operational matters resulting in:  • Poor or inconsistent decisions	3 Possible	4 Major	12 High	President, Council, Registrar	Current Treatment:              Council and Committee orientation to occur annually.             Prepare Terms of References for all statutory/non-statutory committees             Conduct a governance review with a third party consultant to examine governance practices.

Risk Type	Risk and Description	Risk Likelihood	Risk Impact	Risk Priority	Risk Owner	Mitigation Actions
	<ul> <li>Increased likelihood of conflict</li> <li>Inefficiencies in operations</li> </ul>					Additional Proposed Treatment:     Prepare a College governance manual outlining major responsibilities and separation of roles between Council and operations.
Governance	Council/Committee not adhering to Code of Conduct, Conflict of interest, bylaws and other Council policies resulting in:  Inefficiencies Poor decision Negative reporting by stakeholders Decreased morale on Council/Committees	4 Likely	4 Major	16 Extreme	President, Registrar, Council	<ul> <li>Each Council/Committee are properly trained and prepared for their service.</li> <li>Legal Counsel delivers the orientation at the Council/Committee levels.</li> <li>Evaluate Council effectiveness on its performance through a council effectiveness survey after each meeting.</li> <li>Have each Council/Committee member annually complete and signs a statement declaring any known conflicts and agreeing to comply with the Code of Conduct.</li> <li>Additional Proposed Treatment:</li> <li>Conduct regular Council/Committee training.</li> </ul>
Loss of Confidence in CTCMPAO	Applicant/member disengagement resulting in:  • Lack of interest for election to Council	3 Possible	4 Major	12 High	Council, Registrar,	Current Treatment:     Create and advertise opportunities for engagement with College.

Risk Type	Risk and Description	Risk Likelihood	Risk Impact	Risk Priority	Risk Owner	Mitigation Actions
	<ul> <li>Lack of membership on Committees/working groups</li> <li>Reduction in overall registration numbers</li> </ul>					<ul> <li>Additional Proposed Treatment:</li> <li>Continuous outreach to key stakeholders to ensure they are able to keep their members informed and engaged.</li> <li>Continuous outreach to TCM schools to reach prospective members to ensure understanding of the College's role and why regulation matters.</li> <li>Regular outreach meetings and educational sessions through professional associations, TCM schools, and other events as presented.</li> <li>Develop "stories" for publication, describing benefits of engagement.</li> <li>Incorporate simple plain language in all college documents.</li> </ul>
Loss of Confidence in CTCMPAO	Public, government, stakeholders perceive the College as not being transparent and/or fair	3 Possible	3 Moderate	9 High	President, Council, Registrar	<ul> <li>Current Treatment:         <ul> <li>Implementation of bylaws related to transparency i.e. posting additional information on public register.</li> <li>Conduct annual review of bylaws.</li> </ul> </li> <li>Additional Proposed Treatment:         <ul> <li>Adoption of ARGE transparency principles.</li> <li>Update and post all statutory policies on website.</li> <li>Collaborate with other regulatory colleges on strategies to promote transparency.</li> </ul> </li> </ul>
Loss of Confidence in CTCMPAO	Poor customer service/public relations	3 Possible	2 Minor	6 Medium	Registrar, Director, IT, Finance and Corporate Services, Program Managers	One point of contact. An enquirer is provided with the name by respondent and that person commits to and takes necessary action.

Risk Type	Risk and Description	Risk Likelihood	Risk Impact	Risk Priority	Risk Owner	Mitigation Actions
						Additional Proposed Treatment:     Research and develop internal organizational customer service standards and policies (i.e. client services policy part of AODA requirement.     Statutory teams to prepare FAQs for each department.     Invite feedback through customer service surveys.     Review of website material to ensure accessible and easy to understand.
Financial	Insufficient financial resources impact the ability of the College to meets its mandate. This will result in:  • Lack of retained funds to carry out • Low membership in College • Uneven cash flow	3 Possible	4 Major	12 High	Registrar, Director, IT, Finance and Corporate Services	<ul> <li>Current Treatment:</li> <li>Prepare operating budgets using 5-year projections and outlook.</li> <li>Strategies in place for cost savings.</li> </ul> Additional Proposed Treatment: <ul> <li>Prepare multiple scenarios for forecasting and develop plans that are flexible.</li> <li>Calendarize revenue to predict cash flow.</li> <li>Develop reserve funds to cover unexpected expenses.</li> </ul>

Risk Type	Risk and Description	Risk Likelihood	Risk Impact	Risk Priority	Risk Owner	Mitigation Actions
Financial	Poor financial management results in the College being unable to meet strategic initiatives	2 Unlikely	4 Major	8 High	Registrar, Director, IT, Finance and Corporate Services	<ul> <li>Budget is prepared annually and approved by Council.</li> <li>Use of 5-year time horizon for financial planning.</li> <li>Prepare multiple scenarios for forecasting and develop plans that are flexible.</li> <li>Prepared a formal Reserve Fund Policy outlining specific purpose of each internally restricted fund to ensure funds are used for its intended purpose</li> <li>Calendarize revenue to predict cash flow.</li> </ul>
Finance	Risk of Fraud/Theft	2 Unlikely	3 Moderate	6 Medium	Registrar, Director, IT, Finance and Corporate Services	Current Treatment:  Financial audit completed annually by chartered accountants. Finance coordinator reviews and verifies invoices prior to submitting Registrar for approval. Bank cheques require documentation and two signatures Bank statements are reviewed and reconciled monthly. Financial update provided at each Council meeting.  Proposed Treatment:  Prepare formal financial policies to document financial procedures as part of the College's financial policies. Establish a procurement policy through a process that is open, fair and transparent.

Risk Type	Risk and Description	Risk Likelihood	Risk Impact	Risk Priority	Risk Owner	Mitigation Actions
Information Management	Information and computer systems are compromised due to:  • Viruses, worms and malicious software • Security breach/hacking • Loss of power	3 Possible	4 Major	12 High	Director, IT, Finance and Corporate Services	Backup procedures carried out daily on electronic files.     Processes such as encryption, access control procedures, and network firewalls in place.     Adequate cyber security insurance in place.  Proposed Additional Treatment:  Prepare a disaster recovery plan.     Solicit services of an external vendor to conduct an IT audit, vulnerability assessment and security penetration assessment.
Information Management	Improper handling of data by staff or vendors leads to exposure of sensitive data	3 Possible	3 Moderate	9 High	Director, IT, Finance and Corporate Services	Current Treatment:     College ensures that personal information is stored in electronic and physical files that are secure. Physical files are under lock and key.  Additional Proposed Treatment:      Add additional security measures to safeguard information which include restricting access to personal information to authorized personnel.
Information Management	Member/applicant personal information     Public information	3 Possible	3 Moderate	9 High	All	Ourrent Treatment:

Risk Type	Risk and Description	Risk Likelihood	Risk Impact	Risk Priority	Risk Owner	Mitigation Actions
	<ul> <li>Vendor information</li> <li>Council member information</li> </ul>					<ul> <li>Additional Proposed Treatment:</li> <li>Develop protocols for reporting, investigating and correcting security breaches to ensure PHIPA compliance.</li> <li>Require signed commitment to adhere to College confidentiality requirements by Council and College staff.</li> <li>Facilitate regular orientation and training on privacy and confidentiality for Council and College staff.</li> </ul>
Information Management	Unintended destruction or loss of records results in:  Inaccurate info posted on public register Duplication of records Inaccurate information provided to Council/committees	2 Unlikely	3 Moderate	6 High	Director, IT, Finance and Corporate Services	Staff adopt filing protocols for naming, deletion of copies, electronic and paper storage.     Backup procedures carried out daily on electronic files.     File room/cabinets are secured and locked daily.  Proposed Additional Treatment:     Increase security in the College server room.
Facility/Site Safety and Security	Permanent damage to equipment and/or furnishings due to water/fire damage.	2 Unlikely	2 Minor	4 Medium	Director, IT, Finance and Corporate Services	<ul> <li>Current Treatment:         <ul> <li>Office building is code compliant for building and fire standards.</li> <li>Adequate insurance in place to recover replacement.</li> </ul> </li> <li>Additional Proposed Treatment:         <ul> <li>Ongoing annual fire training for all staff, Council and Committee members.</li> </ul> </li> </ul>

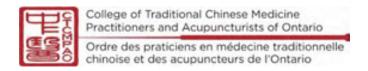
Risk Type	Risk and Description	Risk Likelihood	Risk Impact	Risk Priority	Risk Owner	Mitigation Actions
Facility/Site Safety and Security	Computers, servers and other items of value belonging to the College are stolen	2 Unlikely	1 Negligible	2 Low	Director, IT, Finance and Corporate Services	Security cameras installed at each exit, common hallways and meeting rooms.     College maintains a complete inventory of its electronic equipment, computers and technology systems.     Adequate insurance in place to recover replacement of loss goods.
Human Resources	Disruption in work due to unexpected and/or extended absence of an employee, or employee permanently leave organization resulting in:  Backlog of work Inability to meet required timelines Major interruption in work	2 Unlikely	3 Moderate	6 Medium	Registrar,  Director, IT, Finance and Corporate Services, Program Managers	Job descriptions have been created for all positions.     Regular staff meetings are held to update all staff on work in progress.  Additional Proposed Treatment:     Prepare succession plan for the Registrar position.     All college departments to document procedures for all key functions.
Human Resources	Complaints of harassment     Decrease productivity     Poisoned work environment     Staff discontent and poor morale     High turnover rate in staff	2 Unlikely	1 Negligible	2 Low	Registrar	<ul> <li>Current Treatment:</li> <li>HR policies in place.</li> <li>Staff receive legislated training on violence in the workplace. and this is documented.</li> <li>Team-building events held involving all staff.</li> <li>Registrar addresses all issues of conflict promptly.</li> </ul>

Risk Type	Risk and Description	Risk Likelihood	Risk Impact	Risk Priority	Risk Owner	Mitigation Actions
Statutory Obligations (Patient Relations)	College is not doing everything possible to keep client/patients safe from sexual abuse.	3 Possible	4 Major	12 High	Registrar, Program Manager, Policy and Governance Analyst	<ul> <li>Current Treatment:         <ul> <li>Therapy and counselling forms have been updated to be compliant with legislation.</li> <li>New Standards for Maintaining Professional Boundaries and Preventing Sexual Abuse.</li> </ul> </li> <li>Additional Proposed Treatment:         <ul> <li>Develop a comprehensive and effective Sexual Abuse Plan.</li> <li>Adopt a number of policies and measures that underpin a zero-tolerance approach to sexual abuse.</li> <li>Provide resources to the membership and public to be aware of the measures the College has in place to prevent and deal with sexual abuse;</li> </ul> </li> </ul>
Statutory Obligations (Registration)	Majority of TCM education programs are unregulated eroding public confidence	4 Likely	4 Major	16 Extreme	Registrar, Program Managers	Registration regulations outline entry requirements.     College is working with provincial regulators to develop approval framework.  Additional Proposed Treatment:     Encourage the Ministry to accredit TCM education programs.
Statutory Obligations (Registration)	Ensuring the College's registration process is fair, open and transparent.	3 Possible	2 Minor	6 Medium	Registrar Program Managers	Current Treatment:     College underwent an audit of its registration practices from the Office of the Fairness Commissioner (OFC).     Recommendations from the OFC have been implemented

Risk Type	Risk and Description	Risk Likelihood	Risk Impact	Risk Priority	Risk Owner	Mitigation Actions
Statutory Obligations (QA)	Quality Assurance Program is not an effective tool for ensuring the continuing competency	4 Likely	3 Moderate	12 High	Program Manager, Policy and Governance Analyst	Only small percentage of members randomly selected to submit paper-based self-assessment form.     Current Peer and Practice Assessment are only done for members ordered by an ICRC/Discipline program.     QA policies developed to support current QA program.  Additional Proposed Treatment:  Develop standards of practice that outline practice expectations of members  Develop a new QA program that supports member engagement
Statutory Obligations (ICRC)	Lack of tools for members who must complete additional education or remediation	4 Likely	3 Moderate	12 High	Registrar, Program Managers	Current Treatment:  Record-keeping guideline developed and webinar developed.  Additional Proposed Treatment:  Develop working group to establish TCM specific standards of various modalities i.e. Acupuncture
Statutory Obligations (ICRC)	Complaints received are not resolved in a timely manner. Backlog of Registrar's reports.	2 Unlikely	3 Moderate	6 Medium	Registrar, Program Managers	Additional Proposed Treatment:     Fast track complaints that are high risk to public safety (i.e. sexual abuse) using a risk chart.
Statutory Obligations (ICRC/Discipline)	Ensuring fairness to member who receives a complaint or is going through discipline.	3 Possible	2 Minor	6 Medium	Registrar, Program Managers	Additional Proposed Treatment:

Risk Type	Risk and Description	Risk Likelihood	Risk Impact	Risk Priority	Risk Owner	Mitigation Actions
Statutory Obligations (CPMF)	The College must be able to demonstrate to the Ministry that it is meeting its statutory obligations in a clear and transparent way.	3 Possible	3 Moderate	9 High	All	<ul> <li>Current Treatment:         <ul> <li>Staff have conducted a full review of the College based on CPMF requirements and to identify where we are deficient.</li> <li>Some deficiencies have been identified. Steps have been taken to either address the deficiency in the previous reporting period, or to address it in future reporting periods.</li> </ul> </li> </ul>
Exam	Exam security is breached	3 Possible	4 Major	12 High	Registrar Program Managers	Current Treatment:  Examination and Item-Writing Committee sign confidentiality agreement and are provided with training from ASI.  Computer-based examination developed with provincial regulators  Additional Proposed Treatment:  Strict protocols should be in place for handling examination materials.  Any report of a breach of agreement will be referred to registration/ICRC for immediate action.  No hard copies or electronic copies of the examination or items are retained by the College or any other person involved in the development of the exam.
Exam	Validity of the administration of the exam sitting is challenged due to:  • Hydro failure • Illness • Medical Emergency	2 Unlikely	2 Minor	4 Medium	Registrar, Program Manager	Research sites to ensure stability of sites.     Procedures in place for invigilators to deal with emergencies.  Additional Proposed Treatment:     Educate exam candidates on withdrawing prior to exam

Risk Type	Risk and Description	Risk Likelihood	Risk Impact	Risk Priority	Risk Owner	Mitigation Actions
Exam	Validity of examination is challenged	2 Unlikely	2 Minor	4 Medium	Registrar, Program Manager	Current Treatment:  Examination development and administration conducted by highly qualified vendor with extensive experience and highly credible.  Each exam sitting undergoes extensive psychometric analysis and further review by examination committee.  Additional Proposed Treatment:  Performance of vendor is reviewed annually and any concerns addressed at that meeting.  Clear separation between non-statutory committee (Examination/Item Writing Committee) and Council members so no perceived conflict of interest.  Work with provincial counterparts to establish proper governance channels.



## **Committee Meeting Evaluation Results**

	Item	Yes	Most of the time	No	Other	Please provide comments
1.	The agenda and supporting materials were provided one week prior to the meeting.	8				
2	The materials were presented in a clear, succinct, and timely manner to allow meeting preparation.	8				
3.	The meeting agenda was well planned and allowed for adequate time to deal with the necessary committee business.	7			1	The meeting agenda was well planned. That is different than dealing with Committee business. I strongly disagree with a consent agenda that tends to promote silios and understanding within the committee a member participates. There is a tendency to read items of interst, instead of reviewing details of other committees in writing. When a committee Chair presents, then Council members can ask questions and promotes understanding across different Committees.
4.	The Chair managed the meeting well allowing each member an adequate opportunity to participate in discussion and decision-making.	6	2			<ul> <li>The chair did exception well in terms of giving members enough time to raise and discuss questions.</li> <li>Excellent! Refreshing and relaxed.</li> <li>I found that our chairperson didn't mute herself when she was not speaking many times.</li> </ul>

5.	5. The treatment of all persons was courteous, dignified and fair.		7	1		
6.	6. I received sufficient information and training to participate in deliberations and decision-making.		8			
7.	Technology: I was able to access the material on the Cloud easily. Webex Meetings and other communication devices worked well.		8			
		Any further comments?  • Good discussion an	d helnful	innuts		
	<ul> <li>I felt the meeting was productive and we made progress on many issues</li> </ul>					

Meeting Date: March 25, 2021

Meeting Date:	June 22, 2021
Subject:	Registrar's Report
Reported By:	Ann Zeng
Action:	Information

#### **Regulatory Reform**

The College has been keeping abreast of, and participating in provincial regulatory modernization activities. HPRO continues to support collaborative initiatives to assist colleges in fulfilling their regulatory roles. Bi-weekly meetings have been held for Registrars and other college representatives and a CPMF working group continues to meet on a monthly basis. The CPMF report was submitted to the Ministry of Health in March, and was also published on the College's website.

#### **COVID-19 Updates**

Staff continue to attend weekly COVID-19 meetings with the Ministry to stay informed on the latest COVID-19 updates. The College continues to operate remotely, with all meetings being held virtually and will do so for the foreseeable future.

The College continues to provide communications outreach through emails, website announcements, and social media posts to keep all of the College's stakeholders informed on important matters during the pandemic, such as updates to Ministry directives, and changes to the requirements to write the Pan-Canadian Examinations due to COVID-19. The College also assisted Public Health Units (PHUs) in their effort to communicate vaccination information to members of the College.

The Quality Assurance Committee recently reviewed a more comprehensive telepractice guideline to help members understand their accountabilities when providing care using telecommunication technologies. The draft guideline is under consultation with key stakeholders.

#### **Communications**

The College regularly hosts webinars to educate members on the standards of practice, professional responsibilities, and safe and ethical practice. As the Standard for Fees and Billing came into effect on April 1, 2021, a webinar on this standard will be presented by the College legal counsel Rebecca Durcan, Practice Advisor Amy Lee, and College staff on June 25, 2021.

The College continues to work with third-party video production companies to produce videos in alignment with the College's communications plan. The College is working on a video on "How to Become a Registered Traditional Chinese Medicine Practitioner and/or Acupuncturist in Ontario?". The video aims to outline all the necessary requirements for registration, make the College registration process easier to understand for applicants and the public, and to remind the public that registration with the CTCMPAO is required by law to practice TCM and acupuncture in Ontario.



The College also continues to release educational tips to members.

#### **TCM Education Programs**

In past years, it has been the College's practice to meet with TCM and acupuncture education programs in Ontario once a year to release the Pan-Canadian examination results, and provide them with information and updates. However, the College made the decision to meet with schools semi-annually subsequent to the Pan-Canadian examination being offered twice a year. The College completed its first semi-annual meeting on May 26, 2021. The educators found the meeting informative and thanked staff for their efforts to enhance open communication and engagement with the TCM and acupuncture programs. College staff also provided presentations to individual schools and their students regarding the registration process and Pan-Canadian examinations.

### **CARB-TCMPA TCM Program Accreditation Project**

The College continued to collaborate with CARB-TCMPA on the CARB-TCMPA TCM Program Accreditation Project. The College prepared a response to the survey on draft standards which was submitted to CARB-TCMPA following approval from the Registration Committee. The College responded to concerns from stakeholders regarding the project after being reviewed by the Registration Committee.

#### **QA Program Development Project**

The College continued to work with the consultant for the QA Program Development Project to develop a QA Program that meets both the College's legislative requirements and supports professional development and continued competence of the profession. The Consultant presented the draft final report to the QA Committee for further discussion.

The Consultant will be submitting a plain-language summary of the final report and recommendations. The QA Committee will further discuss the final report and the recommendations at the next meeting. The approval of the recommendations will determine Phase 2 of the project, and any changes to the QA Program framework will be presented for Council approval.

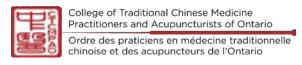
#### **Registration Renewal**

Members registered in the General, Student, or Inactive Class were required to renew their registration by April 1, 2021. Due to the COVID-19 pandemic, the College reduced its General Class renewal fee to \$1,000. This was a one-time reduction to assist members in completing their renew during the pandemic.

About 98.6% of eligible members (2553 members) have successfully completed their renewal.

#### **Financial Audit**

The financial audit for the College is being conducted this week. The audited reports will be presented at the next scheduled Council meeting.



#### **Human Resources**

The College frequently handles sensitive and confidential information on a daily basis and as such, staff received privacy training from Kate Dewhirst, a Health Lawyer specializing in healthcare law and health privacy on how to properly care and handle such information.

The College welcomed Katie Mac, Registration and Examinations Associate who is replacing a member of staff on maternity leave.

Meeting Date:	June 22, 2021
Issue:	Council Update
Reported By:	Ann Zeng
Action:	Information

#### Issue

An update regarding public appointments to Council.

#### **Background**

The TCM Act, 2006 sets out that the Council shall be composed of at least five and no more than eight persons appointed by the Lieutenant Governor. Council has been unconstituted for over a year with a total of three Public members currently sitting on Council. Out of the three Public members, only two are actively attending Committee meetings.

College staff have continued to regularly follow up with the Public Appointments Secretariat (PAS) for public appointments to Council however the PAS has advised they are still awaiting direction from the Minister's office and have no updates for the College at this time. Staff have also followed up with the Minister's office, however no response has been received.

At the March 25, 2021 open meeting of the Executive Committee, Council members (observers) provided suggestions to address the urgent need to acquire public appointments. The Executive Committee discussed the suggestions and received advice from legal counsel at the Executive Committee meeting on May 19, 2021. There was consensus that the Registrar continue to follow up with the PAS.

Meeting Date:	June 22, 2021
Issue:	May 19, 2021 Executive Committee Decisions
Reported By:	Ann Zeng
Action:	Discussion

#### Issue

On May 19, 2021, an Executive Committee meeting was held. The EC passed a motion to direct the Registrar to set October 28, 2021 as the date of the election of Professional members to Council in District 3 in accordance with the College By-Laws.

#### **Background**

As per section 4.06 of the College By-Laws, the professional Council Member positions for District 3 are up for election in 2021 for a three-year term ending in 2024. Section 4.07 of the By-Laws state that the Registrar, as directed by Council, shall set the date for the election to Council of candidates in each electoral district.

#### **District 3**

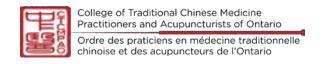
There are 3 available positions on Council from Electoral District 3. There are approximately 1627 members eligible to vote in District 3 – Central, which is comprised of the city of Toronto and the regional municipality of York.

#### **Dates and Time Lines**

The chart below shows the timelines along with the schedule of dates in line with By-Laws 4.01 - 4.26. These dates may be subject to change based on the governance review report.

Members eligible to vote may cast their ballot beginning September 28, 2021 and ending October 28, 2021 at 5:00 p.m. The electronic ballot will contain a link to the member's profile on the public registry, their biographical statement and if desired a head shot photograph.

ELECTION DATE	October 28
Nomination Package Out (minimum 90 days prior to election date)	July 14
Nominations due (minimum 60 days prior to election date)	August 18
Option to withdraw nomination deadline (minimum 30 days prior to election date)	September 20
Voting instructions sent to members	September 24
Voting Ballots sent to members (no more than 30 days prior to election date)	September 28
End of Voting timeline Election Day 5:00 p.m. EST	October 28
Ballot Report	October 29
Notification of candidates	October 29
Deadline for recount (no more than 15 days after the date of election)	November 12
Completion of recount, if required (no more than 10 days after receiving request)	November 22
Notification of candidates of results of recount	November 22
Notification to members & posting of results on website (if no recount is requested)	November 12



The first Council meeting following the elections if held on October 28, 2021:

Council Training Day Wednesday, December 8, 2021
Council Meeting Thursday, December 9, 2021

Meeting Date:	June 22, 2021
Issue:	COVID-19 Activity Log
Reported By:	Ann Zeng
Action:	Information

#### Issue

The College is providing a summary of the steps it has taken up until June 14, 2021 in response to COVID-19.

#### **Background**

- A Business Continuity Plan (BCP) was drafted to address action plans in the event leading up to, during, and after an emergency pandemic or disaster.
- A business continuance department function guide was developed to anticipate and plan for, the needs of each department during the event of a pandemic or disaster.
- A dedicated response team was temporarily formed, comprised of key personnel at the College.
   The purpose of this team is to ensure a coordinated response from the College on multiple fronts during the pandemic.
- Moved the CTCMPAO office to remote operations on March 17, 2020 and staff are adhering to physical distancing requirements.
- Attends daily meetings with the Ministry, and hosts daily meetings with the College response team and weekly meetings with all staff.
- Suspended all work-related travel for staff and Council members.
- All Council, Committee, staff meetings are held by teleconference/virtual meetings.
- Postponed General Conference, Safety and Jurisprudence tests, and practice assessments.
- Created a <u>webpage</u> dedicated to COVID-19 containing useful information for the public and the
  practitioners, links to the Ministry of Health, and other reliable sources of COVID-19
  information.
- An emergency preparedness plan was developed and shared with staff on March 13, 2020. It
  included response plans in the event staff was required to quarantined, what and how to gain
  access to key functions of the company during the pandemic to minimize disruptions to core
  business functions.
- Sent regular communications to membership on COVID-19 updates and announcements via email, College website updates and <u>frequent</u> social media updates.
- Completed Ministry of Health Request for Information survey.
- Verified the Volunteers list for the MOH
- Drafted FAQ based on questions asked by members to be posted on the College website.
- Drafted financial support statement to be shared with individuals who ask specific questions and separate statement that was posted on the website.
- Drafted College position statements on telepractice and essential services.
- Registration team drafted a procedure for transfer to and from the Inactive class.

- Registration Committee approved interim changes to the application and registration process. Drafted a notice to applicants regarding these changes.
- Drafted letter to applicants/candidates regarding online courses and the Pan-Canadian examinations eligibility requirements.
- As a result of advertising concerns received to the College during the Pandemic, the College sent
  out cease and desist, along with educative letters to members that were found to be advertising
  inappropriately.
- Implemented the three-installment payment plan for members facing financial hardship.
- The Registrar attends bi-weekly video conference with other regulators to explore and discuss potential opportunities to collaborate with other regulators regarding a post-pandemic infection control plan.
- In the process of drafting a return to practice guidance for members.
- Worked in collaboration with other regulators to provide a unified response to insurance provider Sun Life regarding insurance claims that did not appear to be in compliance with the current guidelines of Ministry Directives and emergency regulations.
- Scheduled a virtual meeting with schools to address their questions regarding interim changes in the registration and application process due to COVID-19.
- Announced reduction of renewal fees for 2021-2022.
- Circulated draft return to practice guidance with MOH, professional associations and Council members for feedback.
- Allowed members who are unable to make the renewal fee payment in full to email the College
  with details of their financial situation by June 1, 2020 and the College will consider appropriate
  accommodations on a case by case basis.
- Shared the ministry directory of PPE suppliers in Ontario with the membership.
- Shared the 1-page return to practice guidance summary with membership before amended Directive 2 was released.
- Compiled a reference guide for ministry recommendations on PPE
- Revised the College's telepractice position statement to be reviewed by QAC
- Compiled an FAQ for members' return to practice
- Published updated telepractice position statement
- Published Return to Practice Guidance
- Announced full details of the reduction of the Registration renewal fee for 2021-2022
- Shared updated the COVID-19 Operational Requirements: Health Sector Restart document with members and public
- Shared webinar on COVID-19 Infection Prevention and Control for Regulated Health Professionals with members and public
- Shared updated Return to Practice Guidance and COVID-19 FAQ
- Reshared Ministry's operational requirements and the College's return to practice guidance document in light of Ontario regions entering modified Stage 2
- Announced that TCM practitioners and acupuncturists in Toronto and Peel regions may continue to operate amidst lockdown stage
- Summarized the Ontario Government's Stay-at-Home Order and reminded members to follow all Ministry directives and requirements and the College's guidance document

- Shared COVID-19 vaccination information and resources on College website
- Acted as a communicator on behalf of the Public Health Units (PHUs) to relay information regarding COVID-19 vaccination to CTCMPAO registrants in the respective areas
- Shared requirements for Pan-Can exams and supervised clinical training during COVID-19
- Informed of emergency brake shutdown, stay-at-home order, and updates to the Ministry of Health's Directive 2
- College continues to operate virtually as we monitor the COVID-19 situation. All meetings will continue to be held virtually until further notice
- Communicated to members regarding Directive 2 which was rescinded by the Ministry of Health, and reminded members that the College's return to practice guidance and telepractice position statement are still in effect
- Continued to assist Public Health Units (PHUs) in their effort to communicate second dose vaccination information to members of the College

## **COVID-19 Website Updates:**

Published Date	Article Name
May 20, 2021 (New Update)	<u>Directive 2 Rescinded – Practitioners Must Continue to Follow All COVID-19</u> <u>Guidance</u>
Apr 28, 2021 (New Update)	Clarification from Ministry of Health on Updated Directive 2
Apr 22, 2021 (New Update)	<u>Directive 2 Updated – No Change to Current CTCMPAO Guidance</u>
Apr 7, 2021 (New Update)	Ontario Government Issues Stay-at-Home Order – No Changes for Regulated  Health Professionals
Apr 1, 2021 (New Update)	Regulated Health Professionals May Continue to Operate During Emergency  Brake Shutdown
Mar 18, 2021 (New Update)	Pan-Canadian Examinations and the Supervised Clinical Training Requirement during the COVID-19 Pandemic
Feb 17, 2021	COVID-19 Vaccination Prioritization of Health Care Workers
Jan 14, 2021	COVID-19 Vaccination Information and Resources
Jan 12, 2021	Ontario Government Issues Stay-at-Home Order and Introduces Enhanced Enforcement Measures to Reduce Mobility
Dec 21, 2020	Regulated Health Services May Continue to Operate During Shutdown
Dec 18, 2020	Recording and FAQs for Standard for Infection Control Webinar

Dec 14, 2020	Regulated Health Services Remain Open in Regions Under Lockdown
Nov 23, 2020	Regulated Health Services Remain Open in Regions Under Lockdown
Oct 22, 2020	Ontario Regions Entering Modified Stage 2
Aug 17, 2020	Updated Return to Practice Guidance and COVID-19 FAQ
June 29, 2020	Recording Now Available: COVID-19 Infection Prevention and Control for Regulated Health Professionals
June 18, 2020	Reduction of Registration Renewal Fees for 2021-2022
June 3, 2020	Return to Practice Guidance and Updated Telepractice Position Statement
June 3, 2020	Revised Telepractice Position Statement
May 27, 2020	<u>Directive 2 Amendment – Non-Essential Services May Restart</u>
May 26, 2020	Return to Practice Guidance Summary Document
May 25, 2020	Directory of Ontario PPE Suppliers Available
May 15, 2020	Reduction of Renewal Fees for 2021-2022 Coming
Apr 24, 2020	COVID-19 Screening Documents for Healthcare Workers
Apr 24, 2020	2020 Annual Registration Renewal Update
Apr 21, 2020	Interim Changes to the Application Process due to COVID-19
Apr 15, 2020	Education and Supervised Clinical Training Requirements during the COVID-19  Pandemic
Apr 14, 2020	Ministry of Health Directives, Memos and Other Resources
Apr 8, 2020	Ontario Health Workforce Matching Portal
Apr 2, 2020	College Position Statements on Essential Services and Telepractice
Mar 30, 2020	Advertising Claims Regarding COVID-19 Products, Treatments or Therapies
Mar 24, 2020	Request for Personal Protective Equipment (PPE) Donations
Mar 23, 2020	Ministry of Health Recruitment of Health Care Providers for COVID-19

Mar 20, 2020	Chief Medical Officer of Health Directive #2 for Health Care Providers
Mar 18, 2020	Announcement on the Suspension of Non-Essential Services
Mar 17, 2020	College Updates & Guidance for COVID-19
Mar 16, 2020	CTCMPAO Providing Services Remotely Due to COVID-19
Mar 13, 2020	<u>Updated Coronavirus (COVID-19) Information and Resources Webpage</u>
Mar 6, 2020	Coronavirus (COVID-19) Information and Resources Page
Jan 30, 2020	Ministry of Health Website for Health Workers and Health Sector Employers
Jan 29, 2020	Ministry of Health Website on Novel Coronavirus
Jan 24, 2020	Novel Coronavirus Advisory

# **COVID-19 Mailchimp Emails:**

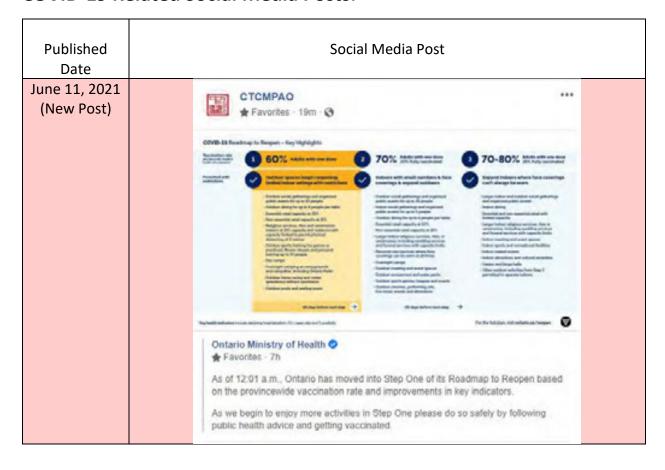
Published Date	Email Heading
Jun 3, 2021	Booking A Second Dose Of The COVID-19 Vaccine
(New Email)	(COVID-19 Vaccine Information from Eastern Ontario Health Unit)
May 20, 2021	<u>Directive 2 Rescinded – Practitioners Must Continue To Follow All COVID-19</u>
(New Email)	<u>Guidance</u>
May 4, 2021	COVID-19 Vaccine: Dose 2 Appointments
(New Email)	(COVID-19 Vaccine Information from Simcoe Muskoka District Health)
Apr 19, 2021	Eastern Ontario Health Unit (EOHU) Job Opportunity - Vaccinators Needed
(New Email)	(COVID-19 Vaccine Information from Eastern Ontario Health Unit)
Apr 14, 2021	Halton Region update: All HCW not working at home, now eligible to book
(New Email)	COVID-19 vaccine appointment
	(COVID-19 Vaccination Pre-Registration from Halton Region Public Health)
Apr 6, 2021	Health Care Worker Vaccination Instructions for Those Working/Residing in HKPR
(New Email)	<u>District Health Unit Area</u>
	(COVID-19 Vaccine Pre-Registration from Haliburton, Kawartha, Pine Ridge District Health Unit)

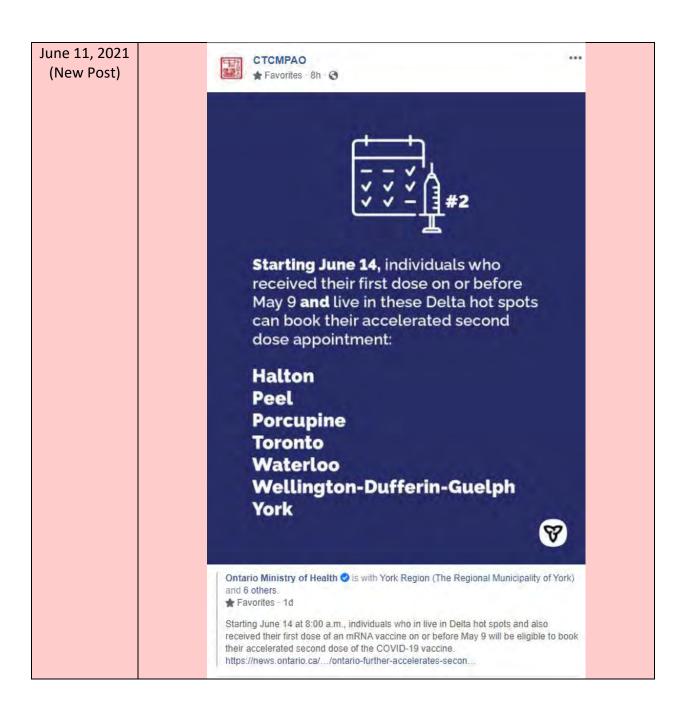
Mar 21 2021	Vaccina Confidence Information and Tools for Healthcare Workers
Mar 31, 2021	Vaccine Confidence Information and Tools for Healthcare Workers
(New Email)	(COVID-19 Vaccine Information from Eastern Ontario Health Unit)
Mar 26, 2021	Moderate Priority Health Care Workers (HCWs) in Simcoe Muskoka District Now
(New Email)	Eligible For COVID-19 Vaccination
	(COVID-19 Vaccination Pre-Registration from Simcoe Muskoka District Health)
Mar 24, 2021	Peterborough Public Health COVID-19 Vaccination Info For 'Moderate Priority'
(New Email)	Health Care Workers
	(COVID-19 Vaccination Pre-Registration from Peterborough Public Health)
Mar 19, 2021	COVID-19 Vaccine Appointments For Health Care Workers In The Huron Perth
(New Email)	Public Health Region
,	(COVID-19 Vaccination Pre-Registration from Huron Perth Health Unit)
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Mar 19, 2021	COVID-19 Vaccine Appointments for Health Care Workers in the Eastern Ontario
(New Email)	Health Unit Region
(NCW Lillall)	(COVID-19 Vaccination Pre-Registration from Eastern Ontario Health Unit)
	(COVID-13 Vaccination Fre-Negistration from Eastern Officino freatth Offic)
Mar 18, 2021	COVID 10 Vaccination Pooking Instructions For Real Region Health Care Workers
	COVID-19 Vaccination Booking Instructions For Peel Region Health Care Workers
(New Email)	(COVID-19 Vaccination Pre-Registration from Peel Region Public Health)
NA . 46 2024	Manager Table 20 - 12 - 12 - 13 - 14 - 14 - 15 - 15 - 15 - 15 - 15 - 15
Mar 16, 2021	Memo To Invite High Priority Health Care Workers In York Region For Vaccination
(New Email)	(COVID-19 Vaccination Pre-Registration from York Region Public Health)
Mar 15, 2021	'High Priority' Health Care Workers in Halton Region Now Eligible for COVID-19
(New Email)	<u>Vaccine</u>
	(COVID-19 Vaccination Pre-Registration from Halton Region Public Health)
Mar 12, 2021	Toronto COVID-19 Vaccine Call Centre and Website Announcement
(New Email)	(COVID-19 Vaccination Pre-Registration from Toronto Public Health)
Mar 11, 2021	Peterborough Public Health COVID-19 Vaccination Information For Health Care
	<u>Workers</u>
	(COVID-19 Vaccination Pre-Registration from Peterborough Public Health)
Mar 8, 2021	IMPORTANT INFORMATION: Pre-Registration Process For COVID-19 Vaccine
	(COVID-19 Vaccination Pre-Registration from Wellington-Dufferin-Guelph Public
	Health)
Mar 8, 2021	Community Healthcare Workers Can Now Register For COVID-19 Vaccination In
, -	Hamilton
	(COVID-19 Vaccination Pre-Registration from Hamilton Public Health)
	(== 1.3 == 1 100
Mar 8, 2021	Vaccination Booking Process: Email 1 of 2
14101 0, 2021	Vaccination Booking Process: Email 1 of 2  Vaccination Booking Process: Email 2 of 2
	(COVID-19 Vaccination Pre-Registration from Niagara Region Public Health)

Feb 17, 2021	COVID-19 Prioritization of Health Care Workers
Jan 20, 2021	Can I discuss vaccines with my patients?
Jan 14, 2021	COVID-19 Vaccination Information and Resources
Jan 12, 2021	Ontario Government Issues Stay-At-Home Order And Introduces Enhanced
	Enforcement Measures To Reduce
Dec 21, 2020	Regulated Health Services Remain Open During Lockdown
Dec 7, 2020	Keeping Common Areas Clean
Nov 20, 2020	Infection Control: Clinic Environment and Equipment
Oct 22, 2020	Ontario Regions Entering Modified Stage 2
Aug 31, 2020	COVID-19 Update: Mandatory Mask Orders
Aug 17, 2020	Updated Return to Practice Guidance and COVID-19 FAQs
July 10, 2020	Infection Control: Clinic Environment and Equipment
June 30, 2020	Recording Now Available: COVID-19 Infection Prevention & Control For Regulated  Health Professionals
June 25, 2020	Updated COVID-19 Operational Requirements: Health Sector Restart
June 22, 2020	Patient Screening Guidance Document Update
June 18, 2020	2020-2021 Registration Renewal Fee Reduction
June 10, 2020	Members Must Meet the Return to Practice Requirements Before Restarting <u>Practice</u>
June 3, 2020	Return to Practice Guidance and Updated Telepractice Position Statement
May 27, 2020	<u>Directive 2 Amendment – Non-Essential Services May Restart</u>
May 26, 2020	Return to Practice Guidance Summary Document
May 25, 2020	Directory of Ontario PPE Suppliers Available
May 15, 2020	Reduction of Renewal Fees for 2021-2022 Coming
May 7, 2020	COVID-19 Update and College Development of Return to Practice Guidelines

Apr 24, 2020	COVID-19 Screening Documents For Healthcare Workers
Apr 14, 2020	Ministry Of Health Directives, Memos And Other Resources
Apr 8, 2020	Ontario Health Workforce Matching Portal
Apr 2, 2020	College Position Statements On Essential Services And Telepractice
Mar 30, 2020	Advertising Claims Regarding COVID-19 Products, Treatments Or Therapies
Mar 23, 2020	Ministry Of Health Recruitment Of Health Care Providers For COVID-19
Mar 20, 2020	Chief Medical Officer Of Health Directive #2 For Health Care Providers
Mar 18, 2020	Announcement On The Suspension Of Non-Essential Services
Mar 16, 2020	College Updates & Guidance For COVID-19 To Applicants
Mar 16, 2020	College Updates & Guidance For COVID-19
Mar 13, 2020	Updated Coronavirus (COVID-19) Information And Resources Webpage - Mar 13
Mar 6, 2020	Postponement Of CTCMPAO General Conference
Feb 27, 2020	Coronavirus 2019 (COVID-19) New Case Definition And Self-Isolation Guides
Jan 30, 2020	Ministry Of Health Website, Hotline For Healthcare Providers And Situation  Report #5
Jan 29, 2020	Situation Report #4: 2019-nCoV (Novel Coronavirus)
Jan 28, 2020	Situation Report #3: 2019-nCoV (Novel Coronavirus)
Jan 27, 2020	Novel Coronavirus Advisory Update 2
Jan 24, 2020	Novel Coronavirus Advisory

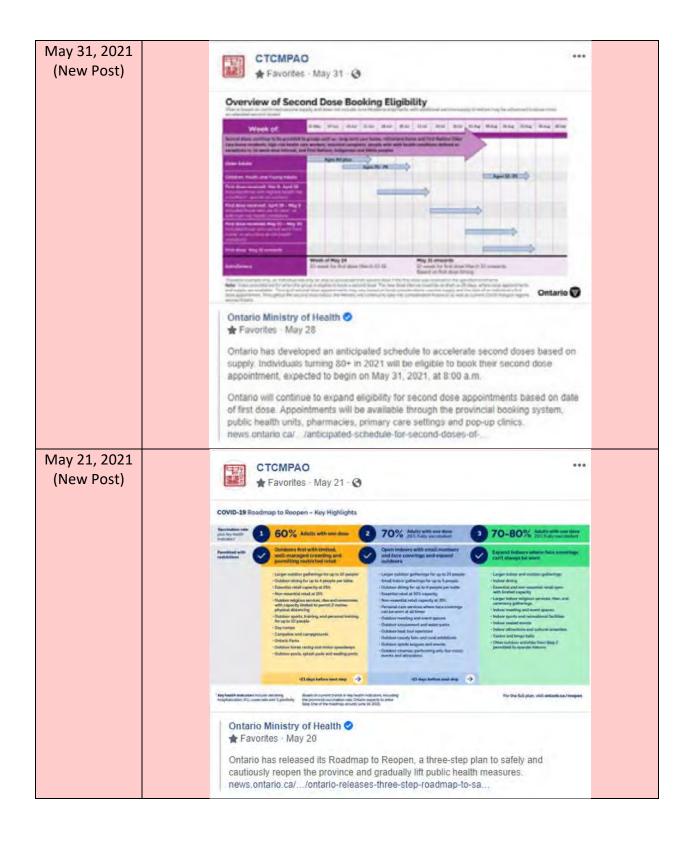
## **COVID-19 Related Social Media Posts:**

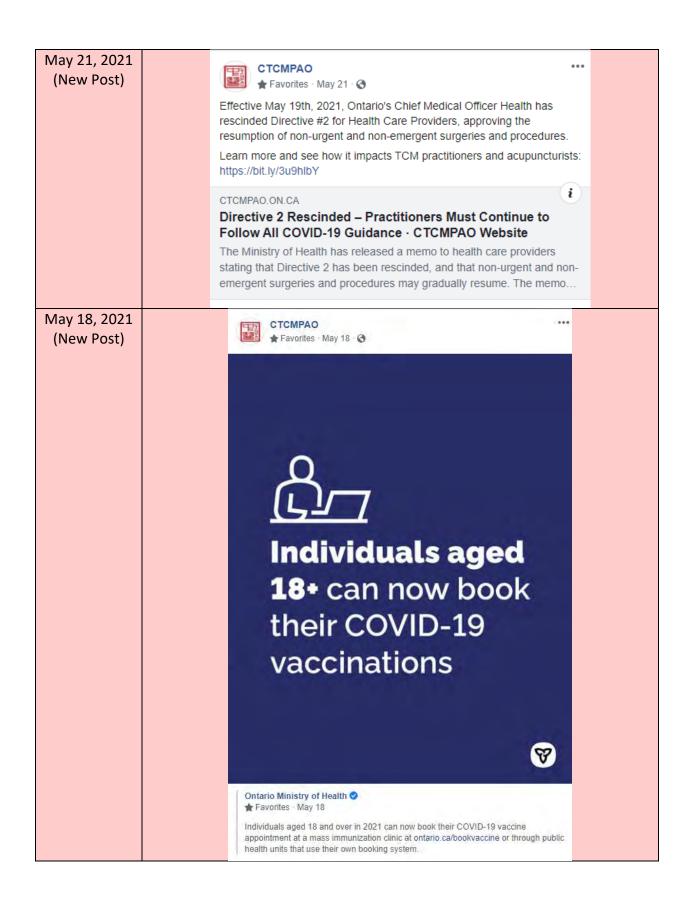


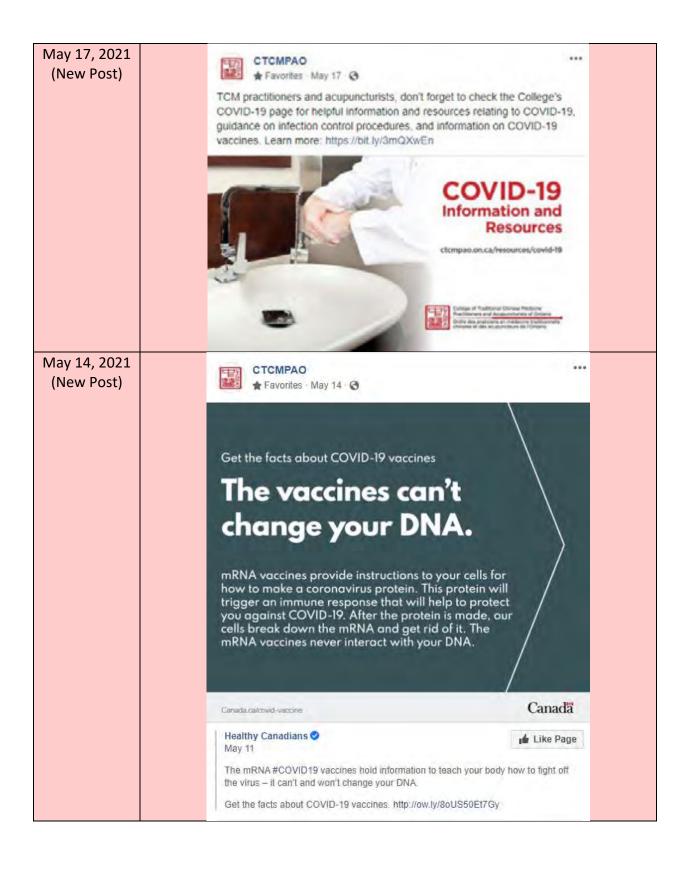




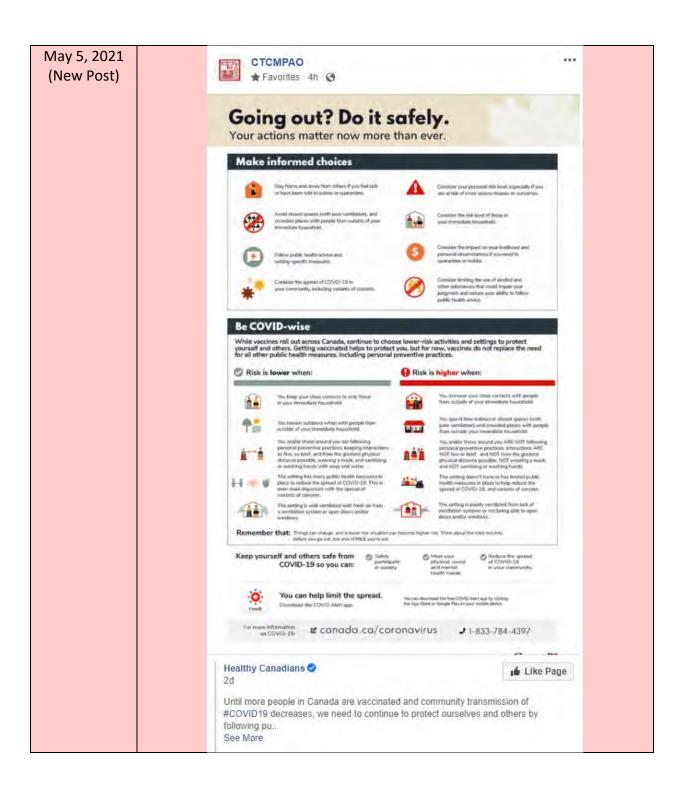
https://news.ontario.ca/.../ontario-expanding-accelerated-sec...



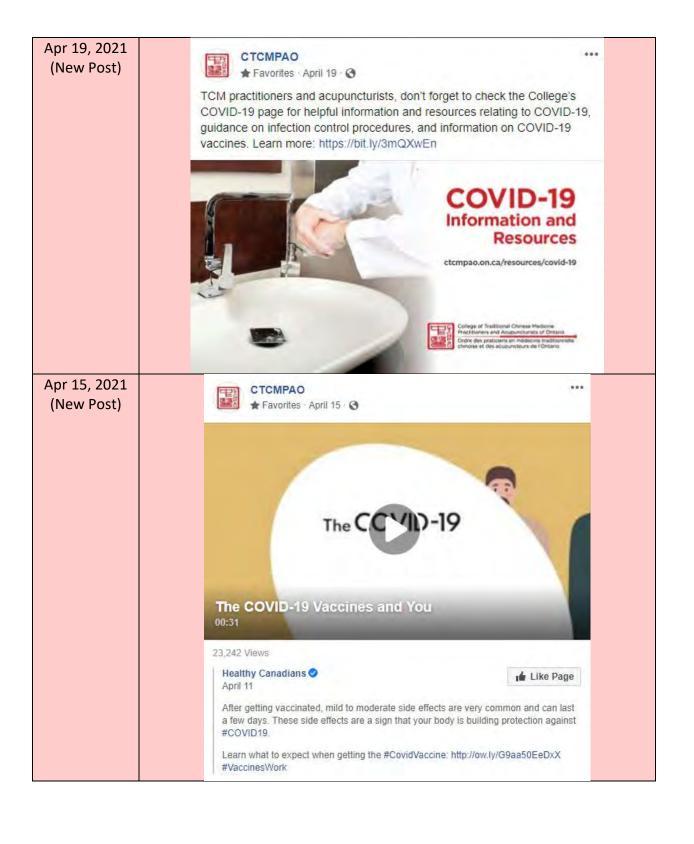


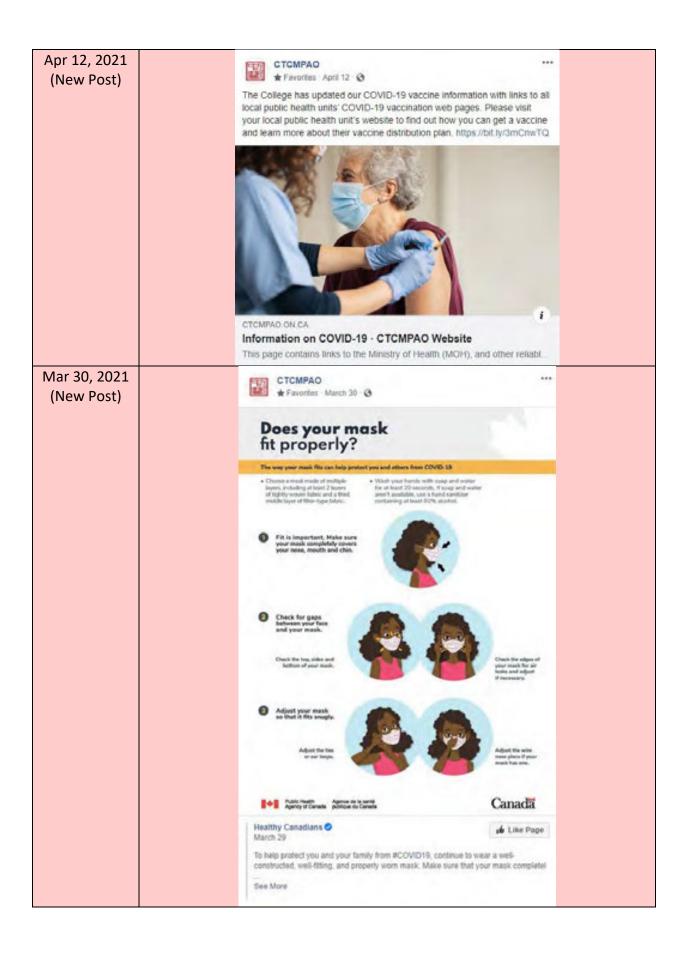




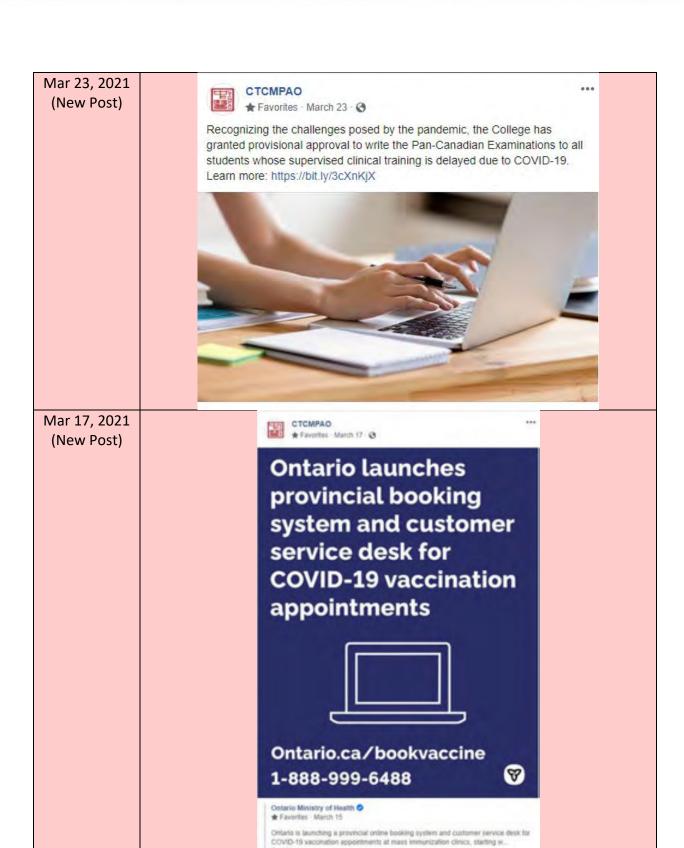




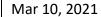








See More





For the latest information on Ontario's COVID-19 vaccination efforts, please visit the government's COVID-19 vaccines for Ontario web page for more details: https://covid-19.ontario.ca/covid-19-vaccines-ontario



#### COVID-19 vaccines for Ontario

Learn about Ontario's vaccination program to help protect us against...

# Mar 5, 2021



The College has updated the COVID-19 Vaccination Information section of our COVID-19 Information webpage. This page will continue to be updated as more Public Health Units begin their vaccination registrations. Please visit the webpage for more details: https://bit.ly/2MJ03mc









With the recent distribution of the COVID-19 vaccine in Canada, you may be asked as a practitioner about your opinions on the subject of vaccines. You may be wondering, "Are vaccines an appropriate topic for me to discuss with my patients?" See the answer and more in our latest Educational Tip: https://bit.ly/3iwLi1x



# Jan 15, 2021



Be sure to check the Public Health Ontario website regularly for COVID-19 related resources. You can find a variety of FAQs, fact sheets, webinars, and other resources supporting the current public health, and health care guidance. https://bit.ly/2LtZ8Fw



These are posted on our website for reference but the most current...





The Ministry of Health has released new COVID-19 vaccine-relevant information and planning resources. TCM Practitioners and Acupuncturists can find education documents on vaccines for health care providers, and more information about the approved COVID-19 vaccines: https://bit.ly/3shPPJu

In particular, members are recommended to review the Guidance for Prioritizing Health Care Workers for COVID-19 Vaccination document: https://bit.ly/38G4G92



# Jan 13, 2021



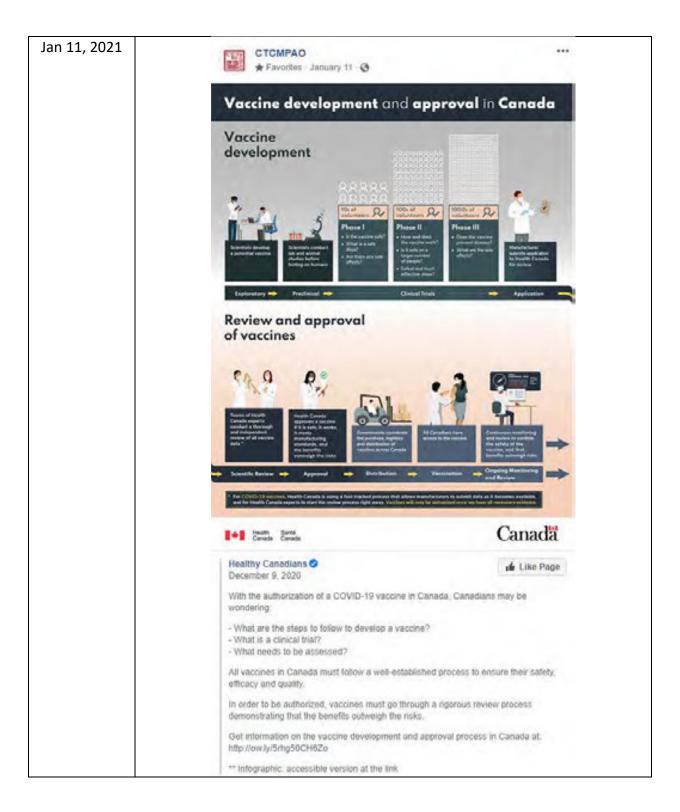
Effective Thursday, January 14, 2021, at 12:01 a.m., the Ontario government will impose a Provincial Stay-at-Home Order and enhanced enforcement measures to reduce mobility to slow the spread of COVID-19

TCM Practitioners and Acupuncturists may continue to operate with all safety precautions in place. See the full announcement: https://bit.ly/35DYRGZ

#### CTCMPAO.ON.CA

Ontario Government Issues Stay-at-Home Order and Introduces Enhanced Enforcement Measures to Reduce Mobility · CTCMPAO Website

Effective Thursday, January 14, 2021 at 12:01 a.m., the Ontario government will impose a Provincial Stay-at-Home Order and...









#### CTCMPAO



The College is here to help you stay informed. Don't forget to check the College's dedicated COVID-19 information and resources webpage for COVID-19 related guidance and answers to frequently asked questions. Learn more: https://bit.ly/38hq1nJ



### Dec 21, 2020



#### **CTCMPAO**

★ Favorites · December 21, 2020 · 🔇

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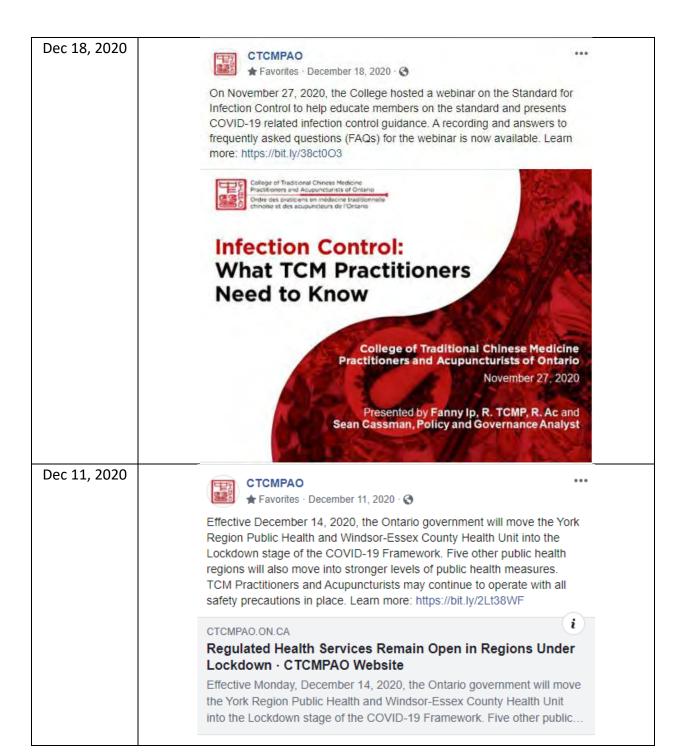
Effective 12:01 a.m. on Saturday, December 26, 2020, the Ontario government will impose a Provincewide Shutdown for 14 days in Northern Ontario and 28 days in Southern Ontario. Regulated health services continue to remain open, and TCM Practitioners and Acupuncturists may continue to operate with all safety precautions in place. Learn more: https://bit.ly/2LYWNT9

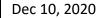
#### CTCMPAO.ON.CA



# Regulated Health Services May Continue to Operate During Shutdown · CTCMPAO Website

Effective 12:01 a.m. on Saturday, December 26, 2020, the Ontario government will impose a Provincewide Shutdown for 14 days in Northern Ontario and 28 days in Southern Ontario. Please see the...



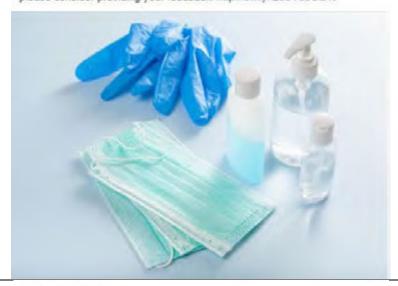




#### CTCMPAO

\* Favorites - December 10, 2020 - 3

Statistics Canada would like to hear about the impact of COVID-19 on Canadian health care workers with a focus on access to PPEs and infection prevention and control measures in the workplace. R. TCMPs and R. Acs, please consider providing your feedback: http://ow.ly/QcUY50CtJ1t



# Dec 9, 2020



#### CTCMPAO

\* Favorites - December 9, 2020 - 3

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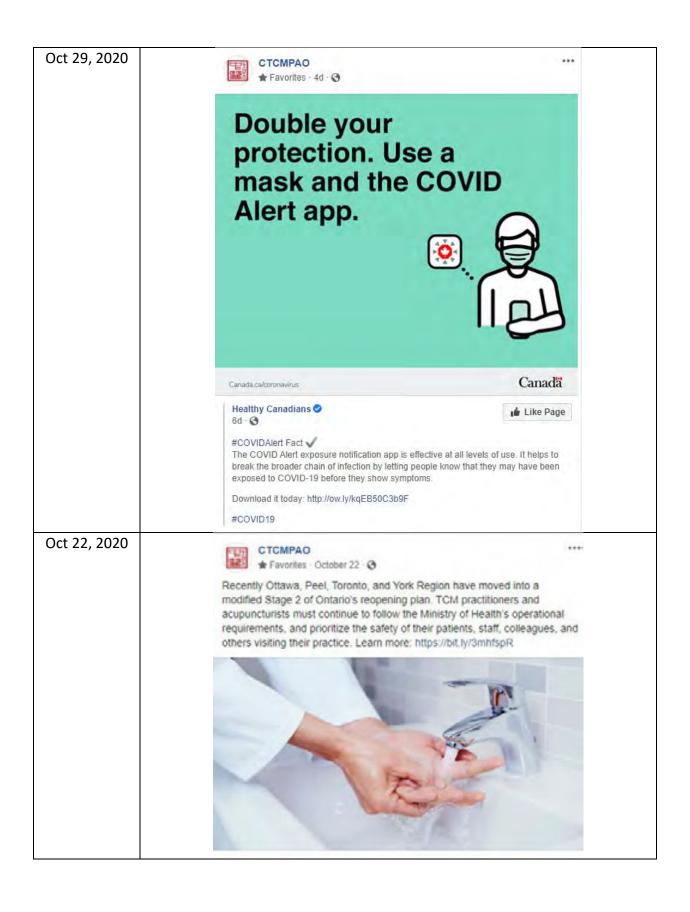
...

As COVID-19 continues to spread, it's more critical than ever that TCM Practitioners and Acupuncturists continue taking extra precautions to ensure the risk of transmission in their practice is kept to a minimum. See the latest Educational Tips to learn more about maintaining a clean clinical environment: https://bit.ly/3iSdags

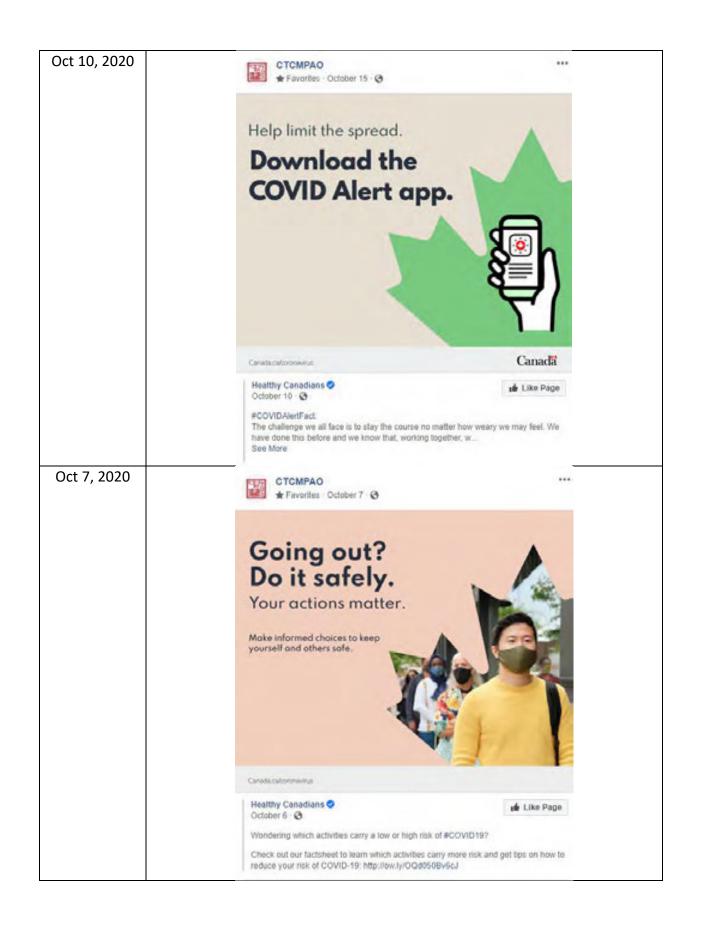


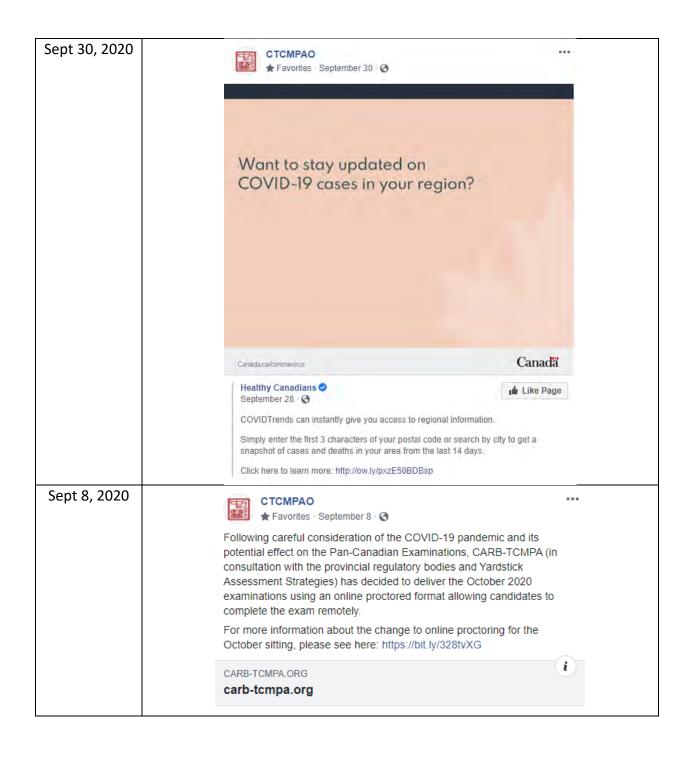


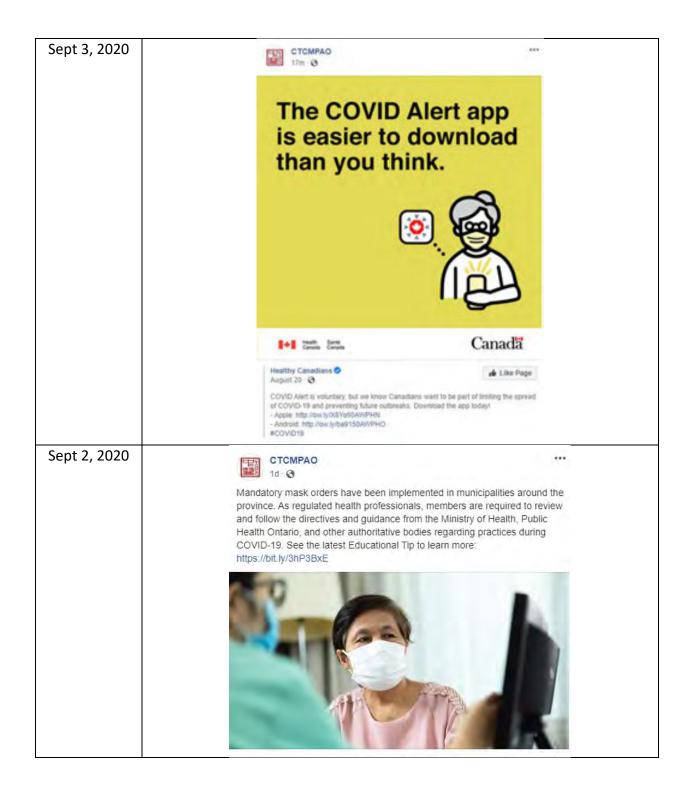


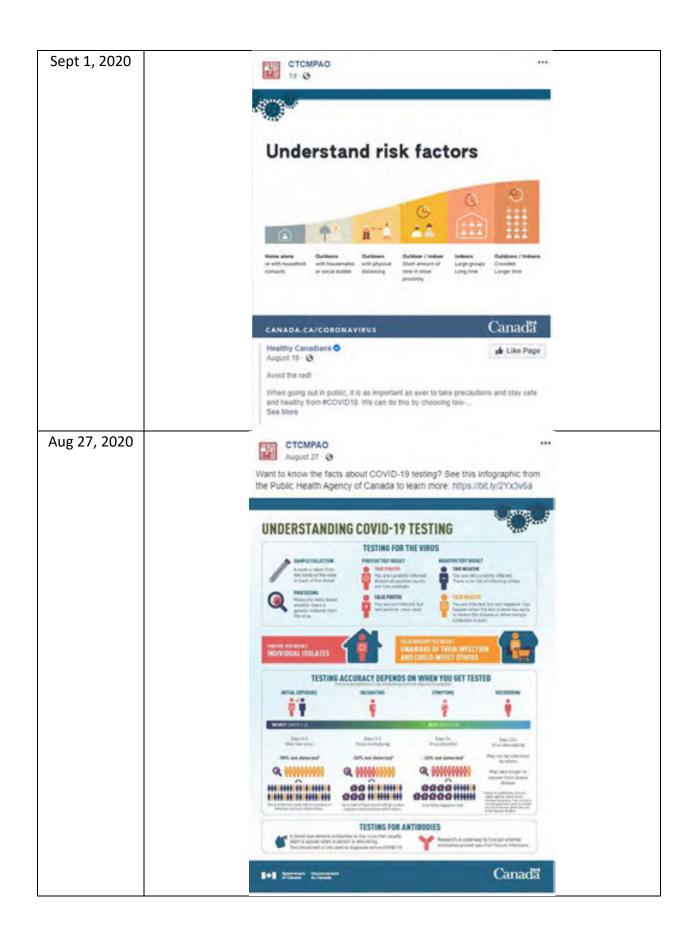




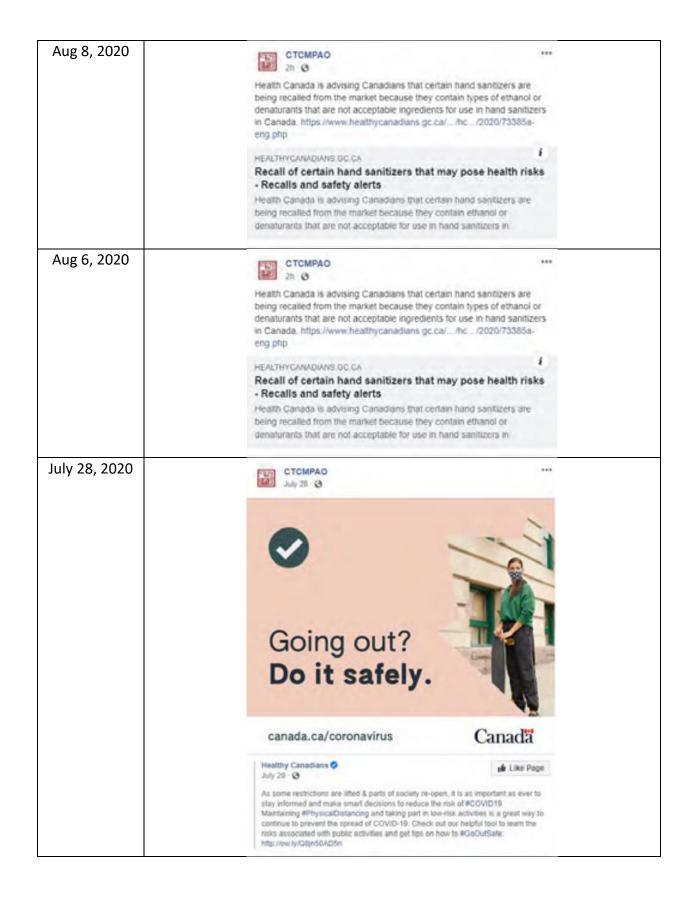






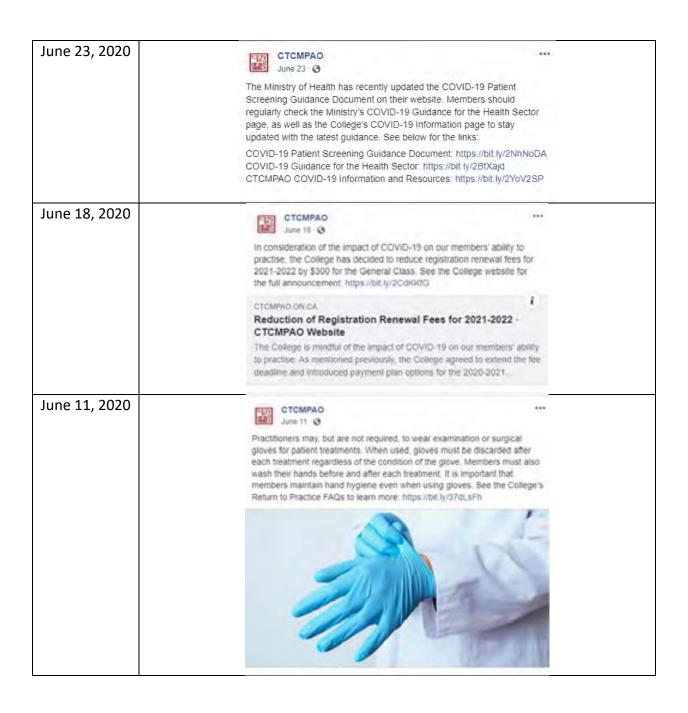


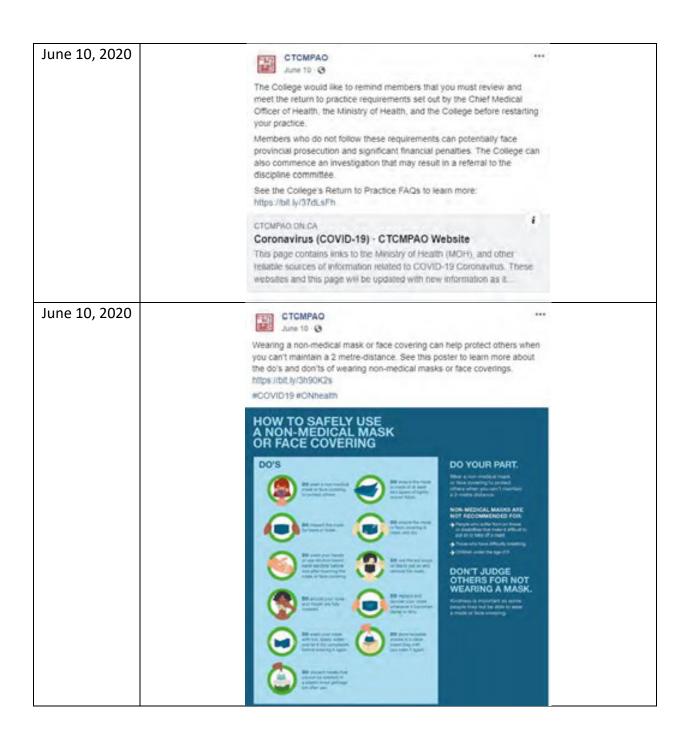


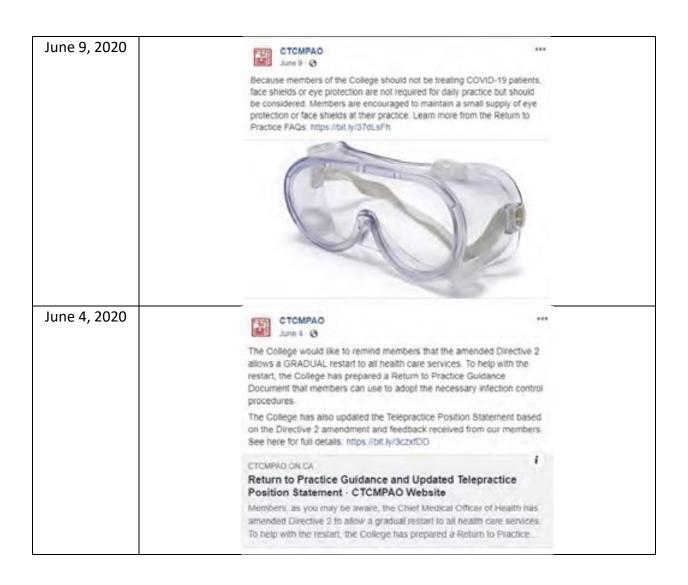


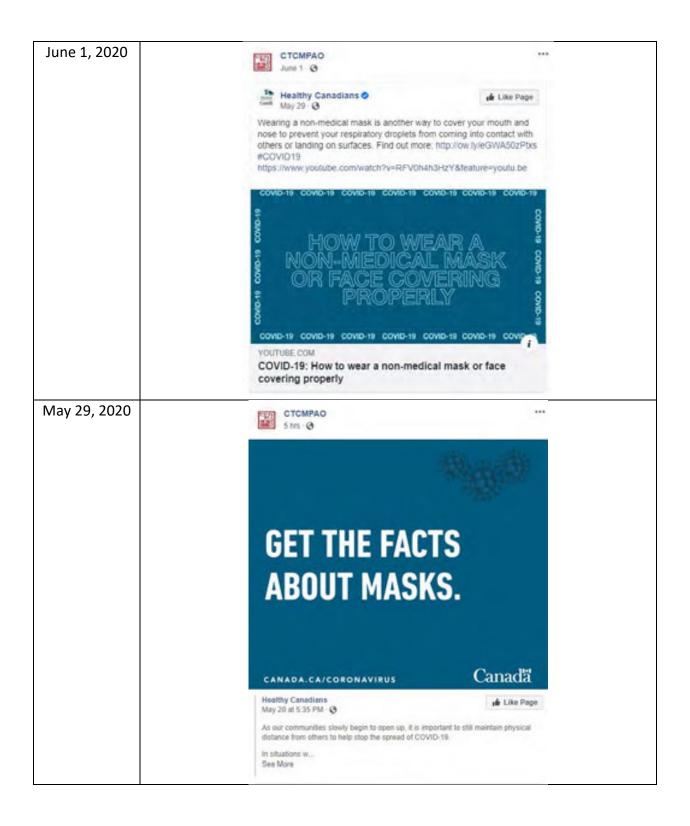


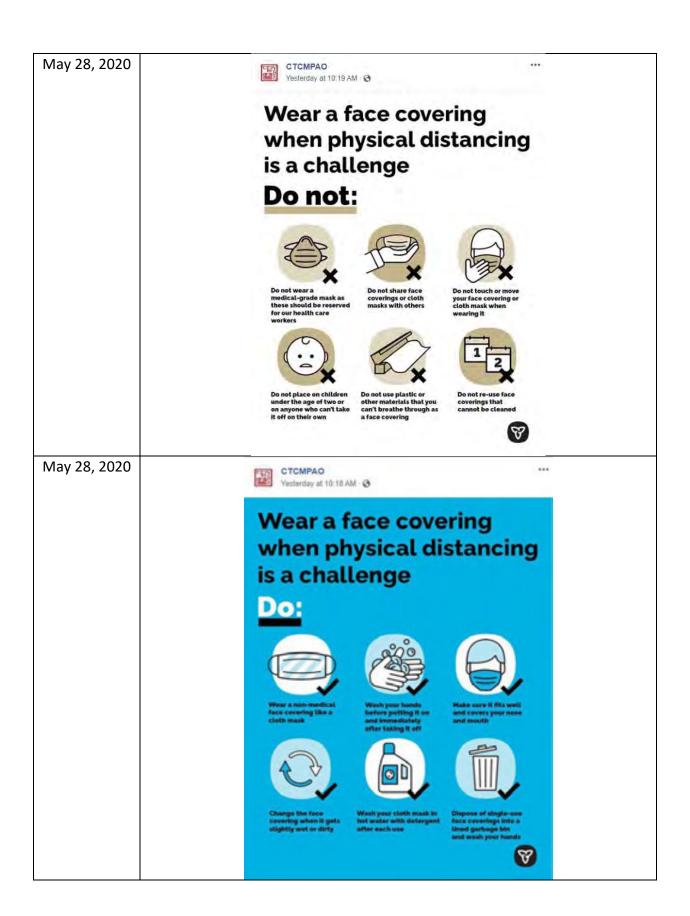


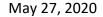














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The Chief Medical Officer of Health has amended Directive 2 to state that Health Care Providers, which includes members of this College, may gradually restart non-essential and elective services. Members must review the amended Directive 2, COVID-19 Operational Requirements, and the College return to practice guidance to ensure compliance. See the College website for full details: https://bit.ly/3epU2TA



### May 26, 2020

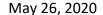


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The College has created a one-page return to practice guidance summary document of the critical information members should be aware of upon returning to practice. This document is intended to be a convenient resource for members to post in their practices and reference when necessary. Please note that this document may change as the situation involving COVID-19 continues to change.

A more detailed guidance document is in the final stages of development, and will be released to members shortly. See the document on the College website: https://bit.ly/2XuSFwik









Healthy Canadians May 25 at 7:00 PM - 3



It can be really hard for kids to understand why they have to #stayhome and practice #physicadistancing.

Find mental health resources, support for parents, activities for kids and much more on our #COVID19 Resources for Parents and Children page: http://dw.ly/#D850zHrjA.

### May 25, 2020



While the date for when members can resume their practice as normal has not yet been announced; the Ministry of Health continues to plan for the eventual reopening of the entire health sector. In anticipation of this, The College will soon be releasing return to work guidance documents to help members minimize the risk of infection in their practice.

Members may wish to begin preparing now by reviewing their personal protective equipment (PPE) supplies. If you are looking to increase your supply of PPE, but are having difficulty sourcing it, the Provincial Government has put together a directory of PPE suppliers in Ontario. Please check this directory for the alternative options available to you: https://bit.ly/3bZdRje



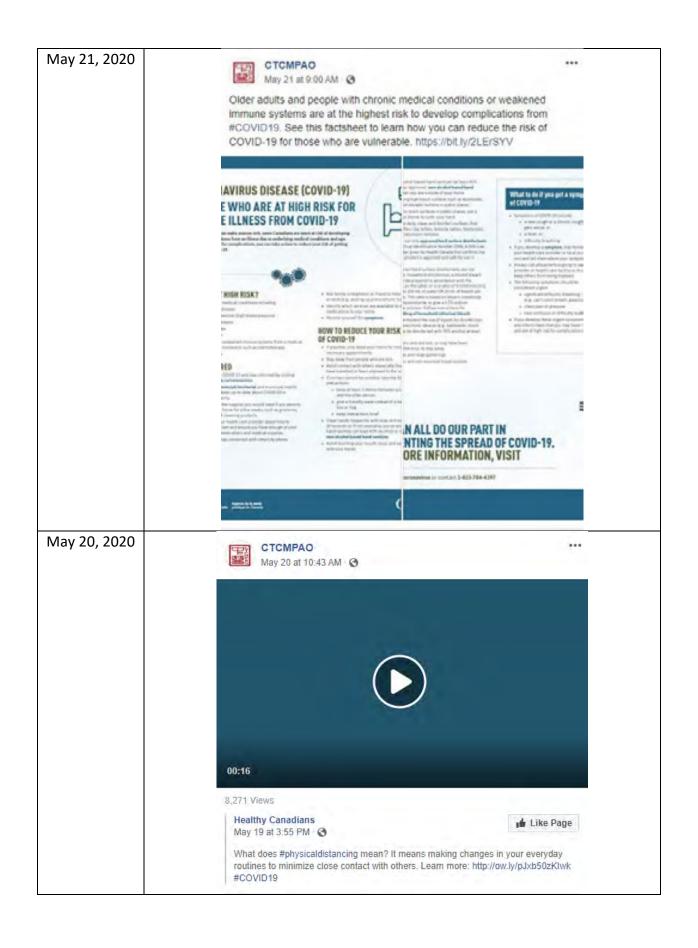


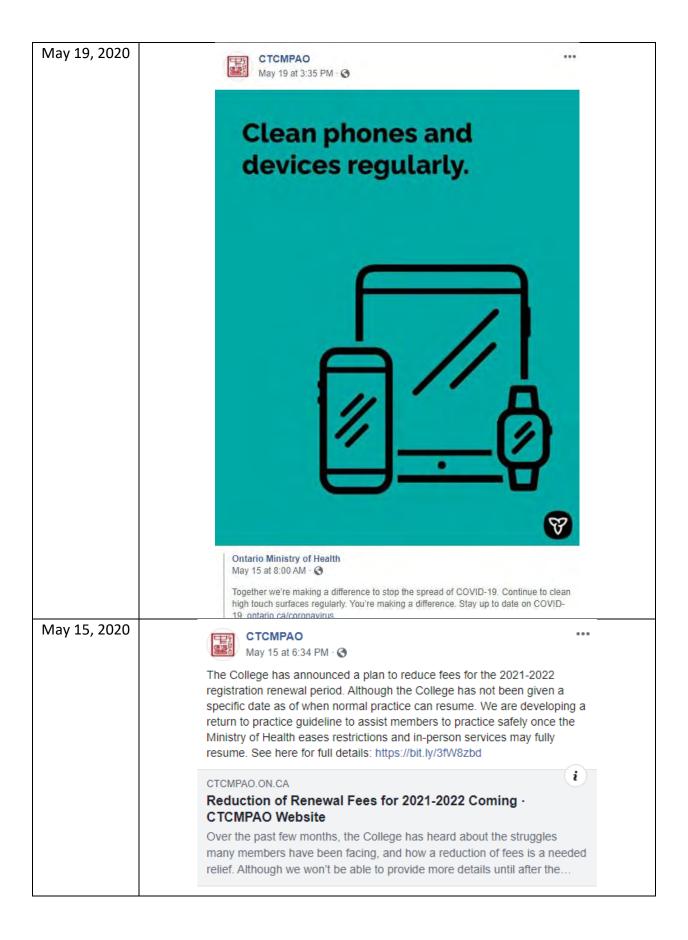
practice physical

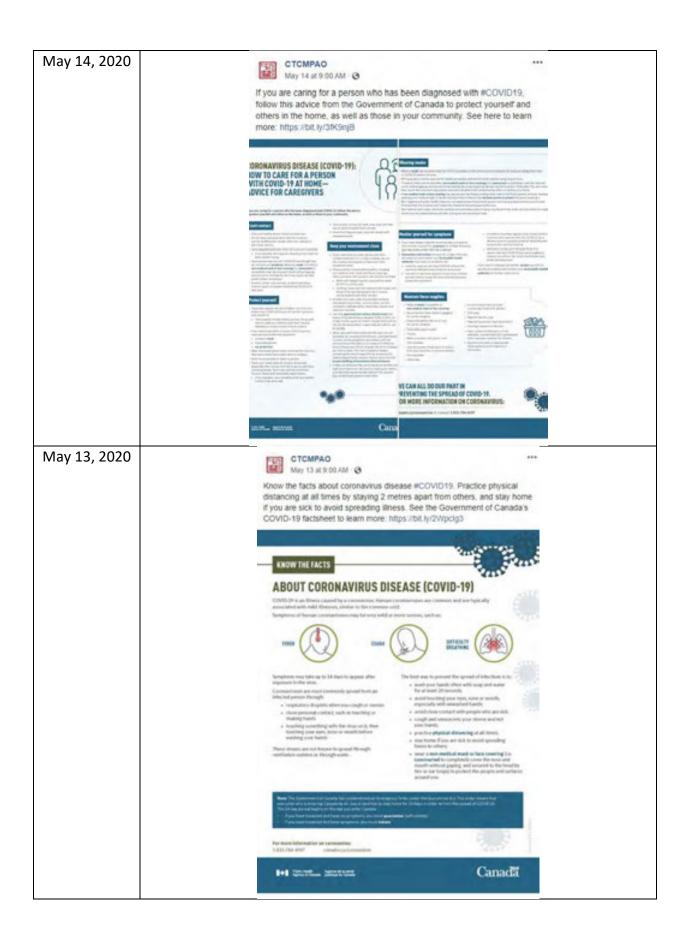
distancing

physical distancing

(approximately 2m)







### May 12, 2020



...

Want to see the latest #COVID19 data in #Ontario? Public Health Ontario's COVID-19 data tool provides epidemiological information of COVID-19 activity in Ontario to-date. Users can explore the most recent COVID-19 data by public health unit, age group, sex, and view trends over time. See the data tool here learn more: https://bit.ly/35Sofri



May 8, 2020



...

Parents, caregivers, and children are facing new challenges as the #COVID19 pandemic affects our daily work and home routines. The Government of Canada has some ideas and tips that can help parents and caregivers adjust to this new and unexpected situation.







#### **CTCMPAO**

May 7 at 9:00 AM - 3

The Government of Canada's website contains an informative section about non-medical masks and face coverings. You can learn about the proper ways to use non-medical masks and face coverings, as well as useful instructions on how to create your own to help prevent you from spreading your respiratory droplets. Learn more: https://bit.ly/2L3Kz7S



# May 6, 2020



### CTCMPAO

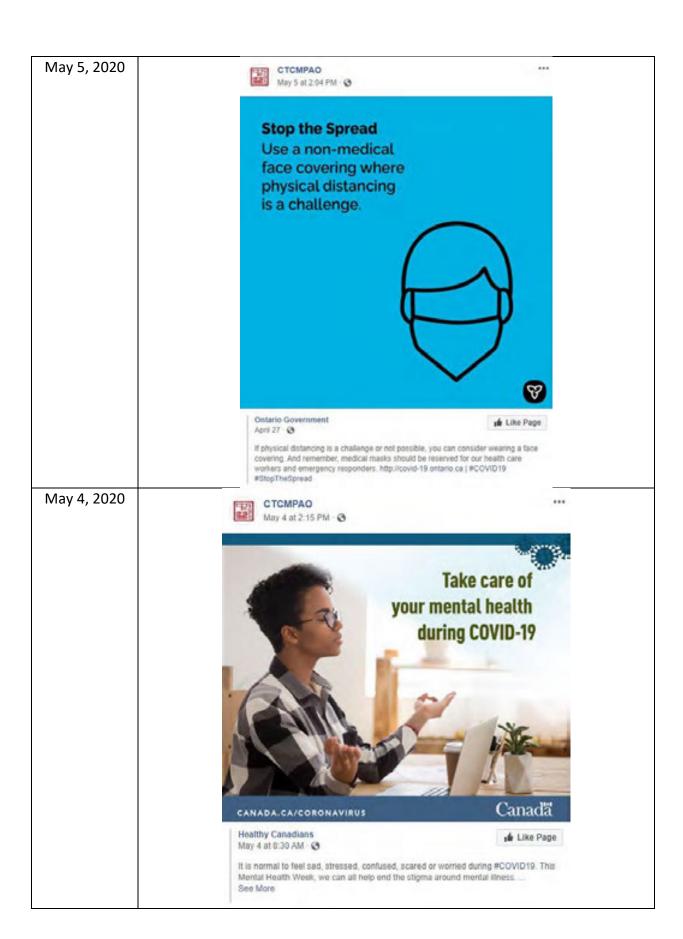
May 6 at 9:00 AM - 3

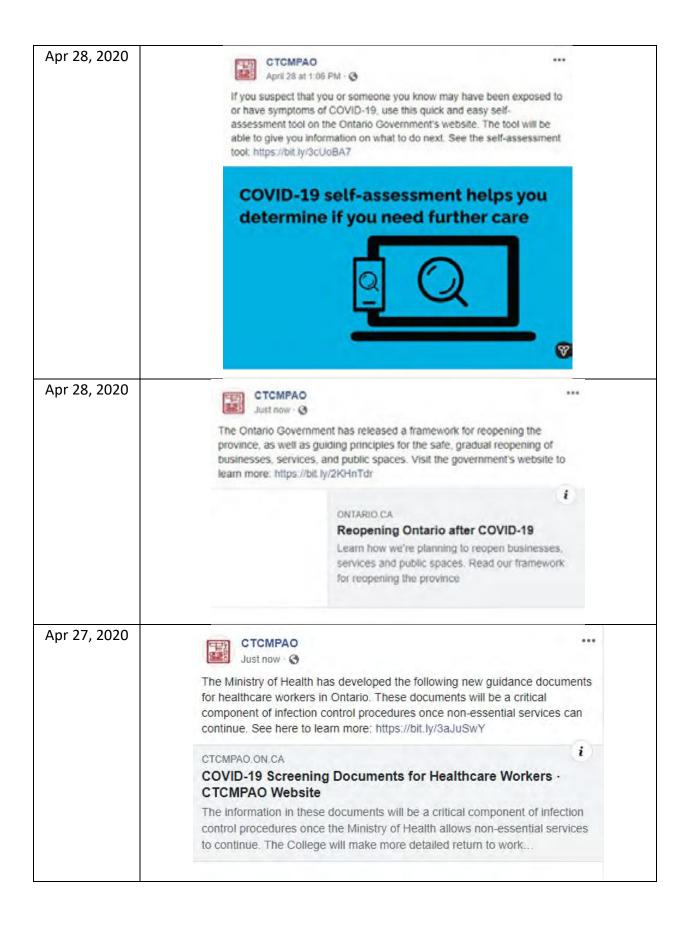
The Ministry of Health has published guidance for essential workplaces that may be useful in minimizing #COVID19 transmission in non-health care settings. See the guidance here to learn more: https://bit.ly/35G7nUU

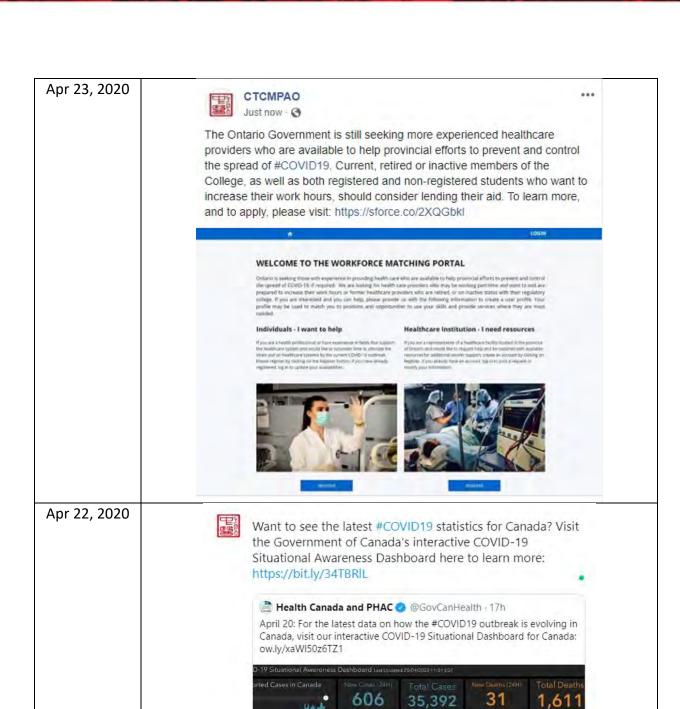
#### HEALTH.GOV.ON.CA

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www.health.gov.on.ca



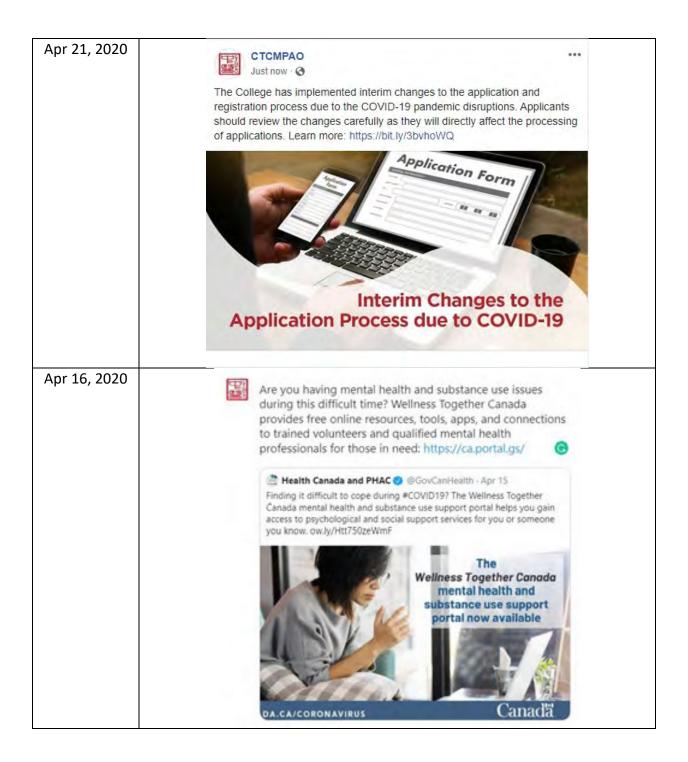




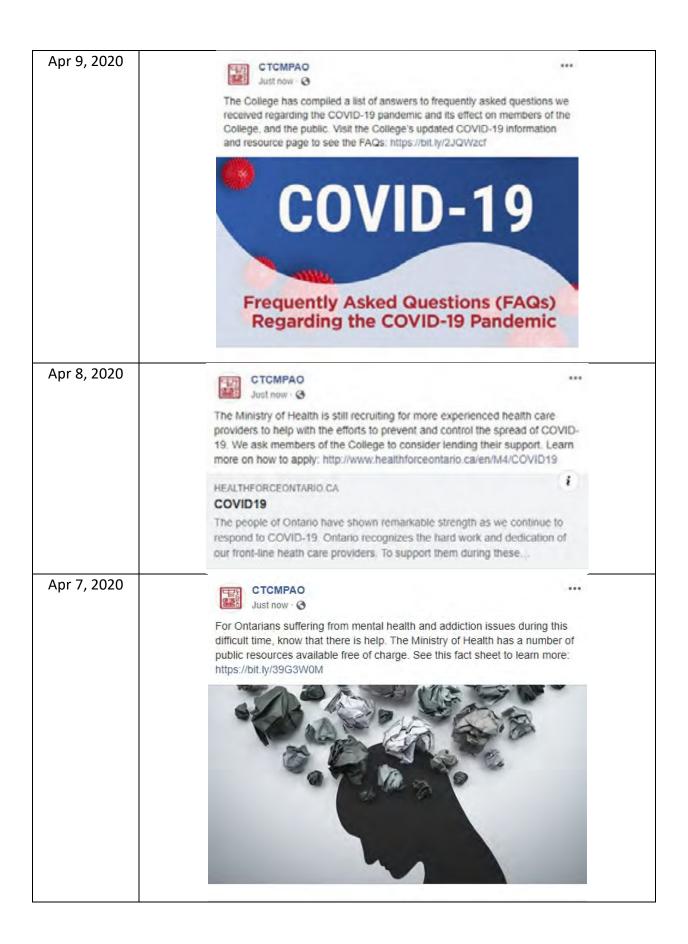
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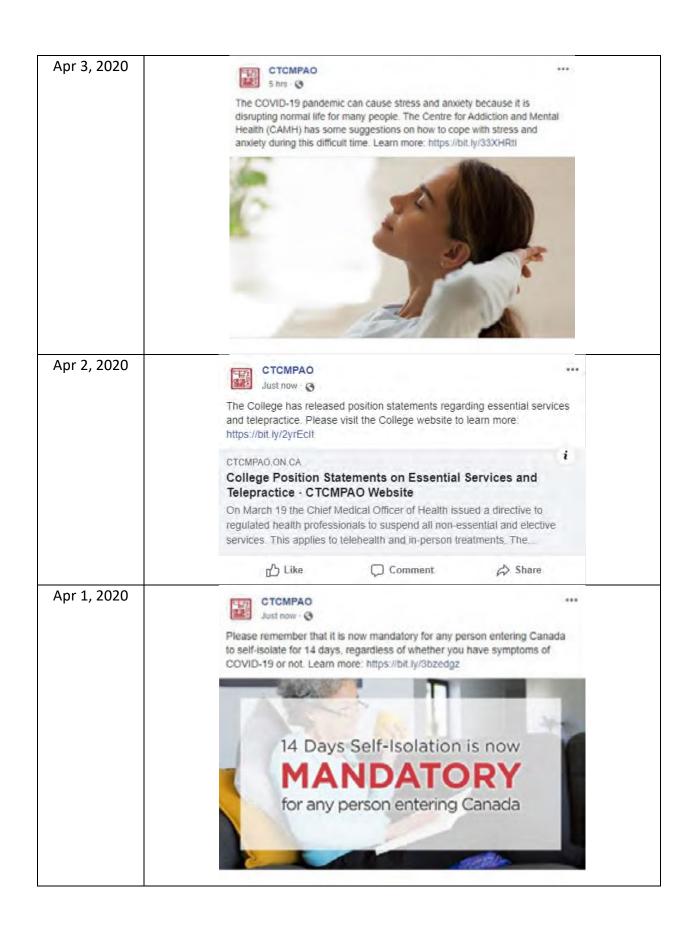
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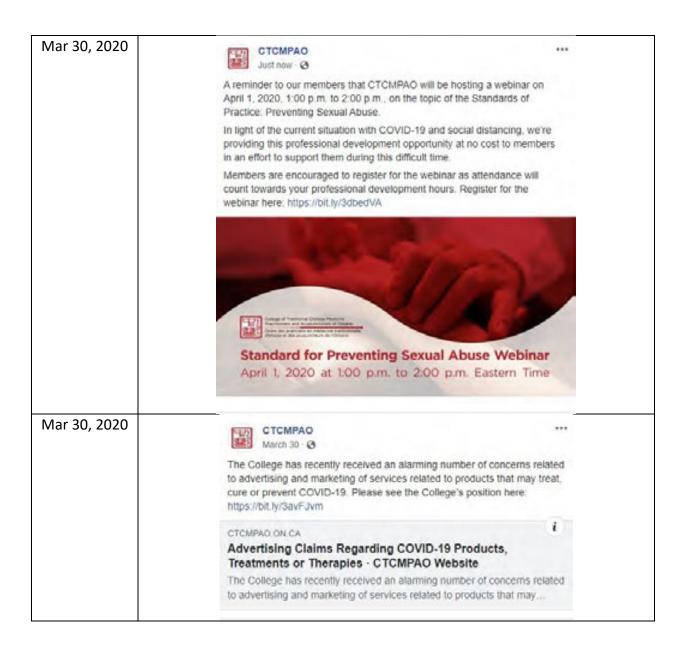
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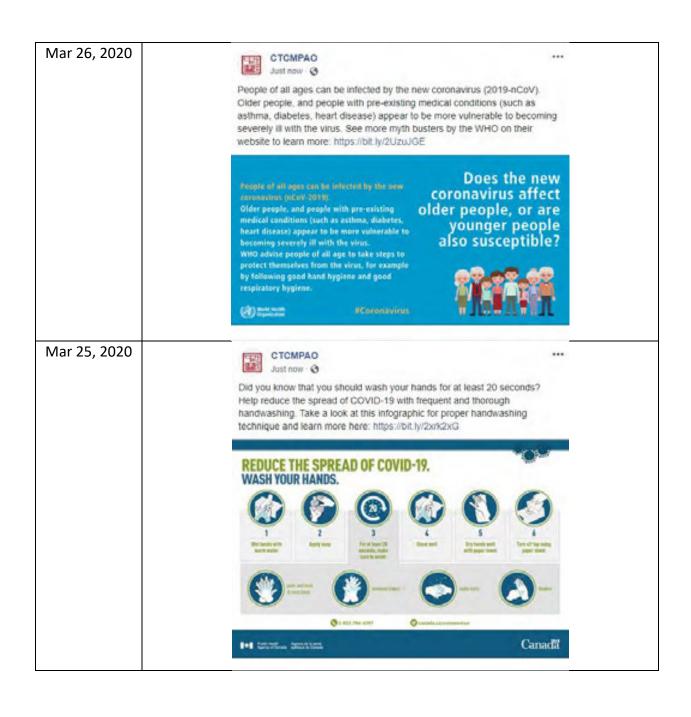


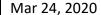














The Ontario Medical Association (OMA) is asking for personal protective equipment (PPE) donations. If you currently have personal protective equipment such as surgical/procedure masks, N95 masks, gloves, gowns, and eye protection that you are able to spare, follow this link to learn how you can help: https://bit.ly/39cRntH

Ontario Medical Association (OMA) Request for Personal Protective Equipment (PPE) Donations



### Mar 23, 2020



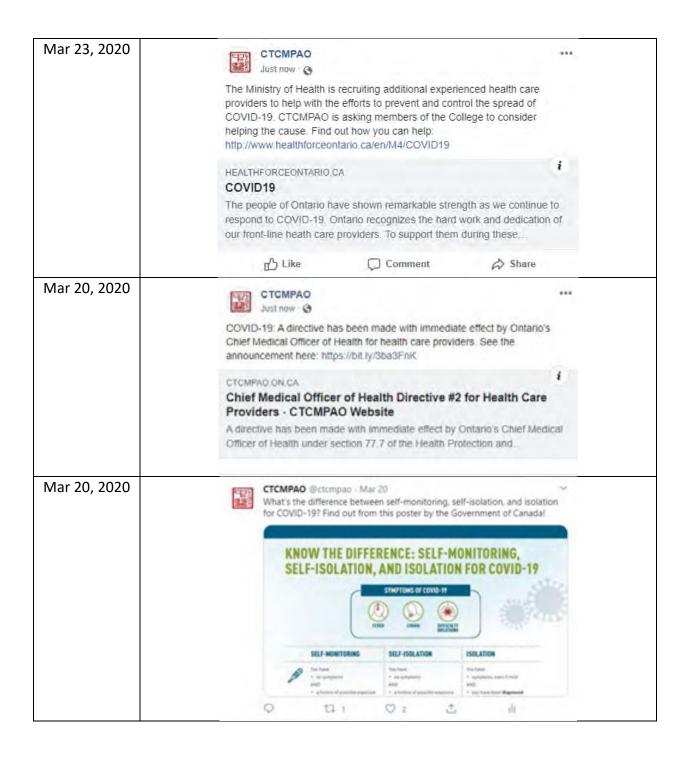
CTCMPAO will be hosting a webinar on April 1, 2020, 1:00 p.m. to 2:00 p.m., on the topic of the Standard of Practice: Preventing Sexual Abuse. With this new standard coming into effect on April 1, the College is providing this webinar as an opportunity to help members better understand the standard.

With the recent rapid development of COVID-19, the College has, unfortunately, had to postpone the CTCMPAO General Conference originally scheduled for March 25, 2020. However, in light of the current situation with COVID-19 and social distancing, we're providing this professional development opportunity at no cost to members in an effort to support them during this difficult time.

Members are encouraged to register for the webinar as soon as possible as attendance will count towards your professional development hours. Register for the webinar here: https://bit.ly/3dbedVA.

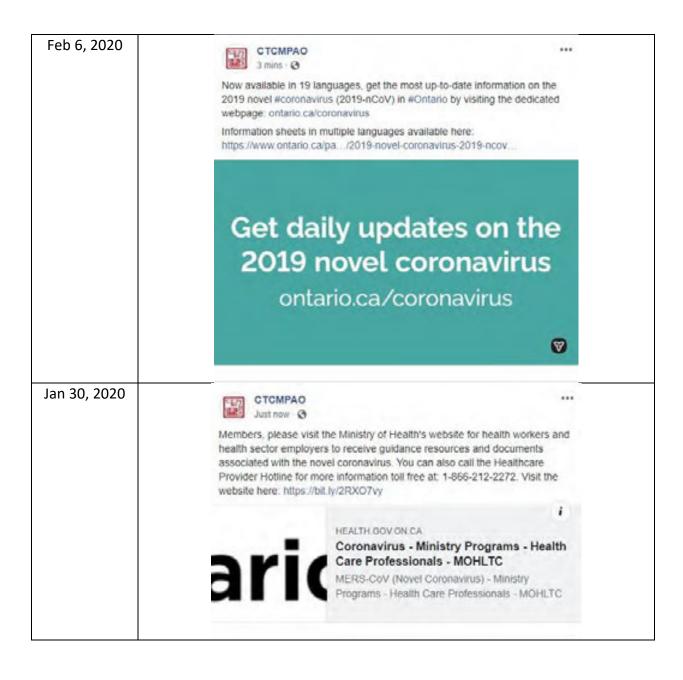


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### Mar 18, 2020 **CTCMPAO** Just now - 3 CTCMPAO is recommending that members suspend all non-essential services effective immediately. Acute and emergency services can continue if necessary. An extension for annual renewal payment until June 1 has been announced. Practice assessments have also been paused temporarily. See the full announcement here: https://bit.ly/2UlcSTu Announcement on the Suspension of Non-Essential Services CTCMPAO Website Over the past two days the College has received many questions seeking advice on what to do with their practice during these difficult times. The College has been in contact with the Ministry of Health regarding specific. Mar 16, 2020 CTCMPAO ... Just now 3 With consideration to the COVID-19 situation, the College will be temporarily operating remotely as of March 17, 2020, until further notice. The College will not be accepting external visits during this time. This is to minimize the exposure and spread of COVID-19. The health and safety of the public, our members, staff, and stakeholders are of utmost importance. The College staff will continue to provide updates on the situation and regular services to the public and members while working remotely. The College expects to operate with few disruptions, none of which will affect the College's mandate to protect the public interest. College staff can continue to be contacted via email and telephone as usual during this time. Please see the following announcement for full details: https://bit.ly/2IPFJKe CTCMPAO.ON.CA CTCMPAO Providing Services Remotely Due to COVID-19 · CTCMPAO Website With consideration to the Coronavirus COVID-19 situation, the College of Traditional Chinese Medicine Practitioners and Acupuncturists of Ontario will be temporarily operating remotely as of March 17, 2020, until further.

# Mar 13, 2020 CTCMPAO March 13 - 3 The College has now updated its Coronavirus (COVID-19) information and resources webpage with new information. We strongly encourage members to review this website regularly as any update from the Ministry of Health will be posted there. This update contains an updated case definition and procedures to follow if you determine that one of your patients is a Probable Case of COVID-19. There are also new documents from the Ministry posted online. See the webpage here: https://bit.ly/2xDjmWj Mar 6, 2020 CTCMPAO Just now 3 The College has received an increasing number of inquiries regarding the possibility of canceling the conference or holding a webinar in lieu of the conference due to Coronavirus (COVID-19) concerns. The College fully understands and acknowledge these concerns as the health and safety of our members and staff is our utmost priority. After thorough research and careful consideration of all factors of the current Coronavirus (COVID-19) situation, the College has decided to postpone the CTCMPAO General Conference on March 25, 2020 to a later date. We will continue to monitor the circumstances and will advise members when a new date is set. Mar 6, 2020 CTCMPAO The College has created a web page containing information and links of reliable sources of Coronavirus (COVID-19) information. This page will be updated as new information becomes available. Please check back regularly for updates. See the page here: https://bit.ly/2wyPCJo



Jan 28, 2020	CTCMPAO January 28 🔞		
	The Ministry of Health has created a dedicated web page where you can learn how the Ministry of Health is preparing for the novel coronavirus in Ontario. You can find out how to protect yourself, what to do if you're sick after you travel and how to recognize possible symptoms.		
	Every weekday at 10:30 a.m. ET, the Ministry's dedicated web page will be updated with the latest information on the status of cases in Ontario.		
	See the web page here: https://www.ontario.ca/pa/wuhan-novel- coronavirus-2019-ncov		
	ONTARIO.CA  Wuhan Novel Coronavirus (2019-nCoV)  Learn about how the Ministry of Health is preparing for the Wuhan novel coronavirus in Ontario. Find out how to protect yourself, what to do if you're sick after you travel and how to		
Jan 24, 2020	CTCMPAO 6 mins - 3  Members, please see the following update from the Ministry of Health regarding the Novel Coronavirus outbreak in China: https://www.ctcmpao.on.ca/annou/novel-coronavirus-advisory/		

## EXECUTIVE

Meeting Date:	June 22, 2021
Issue:	College Performance Measurement Framework – Competency Requirements
Reported By:	Ann Zeng and Sean Cassman
Action:	Discussion

#### Issue

The College Performance Measurement Framework (CPMF) includes a requirement that Council and Committee members meet a set of competencies to be eligible for the role. In addition to this, competency-based selection is a major recommendation of the governance consultant, and is something that has been implemented by other Colleges already. Because of this, it is strongly recommended that the Executive Committee considers how the College may implement a competency framework for its Council.

#### **Public Interest Rationale**

Council and Committee members are responsible for the decision making on policy matters of the College, and for ensuring that decisions are in the interest of public protection. It is because of this important function that there is increased pressure to have Council and Committee members meet a competency framework to be eligible.

### **Background**

The very first item of the CPMF asks colleges if they meet the following requirement:

- a. Professional members are eligible to stand for election to Council only after:
  - i. meeting pre-defined competency / suitability criteria, and
  - ii. attending an orientation training about the College's mandate and expectations pertaining to the member's role and responsibilities.

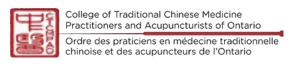
This is an item that the College has not met, and has not made any progress towards meeting. This poses a problem for the College as it is clear that the Ministry of Health has some expectation that colleges will begin to adopt a competency framework for their councils going forward.

Furthermore, the governance consultants that have been contracted to assist the College with its governance review have also identified the lack of competency framework as an issue for the College. Their recommendation is to adopt an approach where a committee is established to review nominated professional members against a competency framework.

The intent of this agenda item is to introduce the topic of council competencies to the Executive Committee by looking at some examples of existing competency frameworks.

#### **Existing Competency Framework**

College staff has begun initial research to help Committee members understand what a competency framework may look like for this College.



## **EXECUTIVE**

A good resource to start with is the Competency and Eligibility chart developed by HPRO for all colleges to use (see below). It includes the following main competencies, each with a number of indicators included. Each indicator further stipulates if it is a "must have" and if it can be learned.

- Leadership
- Professionalism/Good Character
- Emotional Intelligence
- Communicator
- Understanding of Governance/Fiduciary Duties
- Thinks Broadly
- Inclusiveness/ Respectful of Diversity
- Understanding of Systems and Organizations

If it wishes, the College could adopt this framework as is, but it also has the ability to not adopt some indicators, or add indicators as it feels appropriate. An example of a college that is adopting the HPRO chart is the College of Kinesiologist of Ontario. Their draft competency chart is attached below.

The College has also included competency requirements of the College of Nurses of Ontario and College of Physicians and Surgeons of Ontario. These colleges were early to adopt a competency framework and have implemented it in their current Council elections.

#### **Next Steps**

- The Executive Committee should begin having discussions a competency framework and become familiar with how it may look for this College
- Staff will conduct a full review of regulators for more information on existing frameworks.

#### **INTRODUCTION**

This document articulates broad Board/Council competencies for *RHPA* College consideration. Competencies are listed for both individuals and Boards/Councils as a whole, and they include definitions/descriptions of those competencies and indicators for competency measurement. Indications on whether the competency is a "must have" as the person assumes the role or if the competency can be learned are also provided. Note that competencies related to clinical knowledge and experience have not been included in the competencies for individual directors.

These competencies can also be applied to committees and committee members, although perhaps not as rigorously. It is recognized that committee service could assist in training/educating a person for a future position on the Board/Council.

A list of eligibility and disqualification criteria follows. This information was gathered from 14 RHPA Colleges' current by-laws, processes and/or procedures. Any application to a position on the Board/Council or Committee must be consistent with that criteria or the person applying would not proceed further in the application process.

Reference documents are also listed at the conclusion of this document. This material includes recent reports and promising practices in health profession regulation.

Colleges are encouraged to use this document and reference material, customizing the information to suit individual profession-/organizational culture-specific needs.

#### **WORDS OF APPRECIATION**

Sincere thanks are extended to the Governance Working Group who dedicated months of time and talent to create this resource:

- Deborah Adams, College of Registered Psychotherapists of Ontario (joined July 2019)
- Fazal Khan, College of Opticians of Ontario
- Brenda Kritzer, College of Kinesiologists of Ontario (through September 2019)
- Kevin McCarthy, College of Nurses of Ontario (Working Group resource)
- Andrew Parr, College of Naturopaths of Ontario (joined July 2019)
- Andrea Lowes, College of Dental Hygienists of Ontario (joined September 2019)
- Melisse Willems, College of Dietitians of Ontario
- Melanie Woodbeck, College of Opticians of Ontario

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#### **BOARD/COUNCIL COMPETENCIES**

To understand the competencies required of a member of the Board of Directors or Council of the College, it is imperative to understand the role of the Board. In his 2018 Report on the College of Dental Surgeons of British Columbia, Harry Cayton articulated the role of the Board as:

- to ensure the College complies with its mandate and the law
- to set strategy, to monitor performance
- and to hold the registrar and chief executive to account for delivery.

This approach to the role of the Board and competency-based Board appointments is consistent with most current literature regarding Boards of Directors and effective governance.

#### COMPETENCIES FOR INDIVIDUALS DIRECTORS ON THE BOARD

Section	Definition/Description	Competency – Indicator	Must	Can
			Have	Learn
Leadership	Demonstrates skills and ability	Vision – understands the importance of an organizational vision and	Yes	No
	to lead others to solve	the methods/processes for developing a collective vision		
	problems, adapt and manage	Team-Building – knowledge and understanding of team building	No	Yes
	change, innovate and achieve	techniques and dynamics		
	results	Facilitation – knowledge and understanding of consensus building	No	Yes
		and use of effective facilitations techniques		
		Change Management – knowledge of change management	Yes	Yes
		techniques for both projects/plans the College generally		
		Flexibility – knowledge of the importance of flexibility to the	Yes	No
		negotiation and decision-making processes		
Professionalism/	Acts transparently with	<b>Diplomacy</b> – is diplomatic in interactions with others	Yes	Yes
<b>Good Character</b>	integrity, discretion, and	Judgement – demonstrates good judgement in decisions and actions	Yes	No
	humility to consider a range of	Ethical Behaviour – knowledge and understanding of ethical	Yes	No
	perspectives and diverse ways	responsibilities and dilemmas and demonstrating ethical behaviour		
	of thinking to challenge the	Respectfulness – ability to respect others regardless of their	Yes	Yes
	status quo, reject	background, culture, or divergent opinions; able to effectively		
		locate/center issues considering the individuals and/or concerns		

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Section	Definition/Description	Competency – Indicator	Must	Can
			Have	Learn
	assumptions, and take nothing for granted	involved; able to weigh/discuss competing considerations in a manner that is appropriate and respectful		
	Attributes of integrity,	Honesty & Integrity – ability to commit to being honest in actions and to act with integrity	Yes	No
	accountability, and openness support Board/Council	<b>Transparency</b> – emanates openness; adheres to established rules on transparency	Yes	Yes
	members in exercising proper authority and good judgment	<b>Credibility</b> – perceived trustworthiness; delivers on commitments to others to build credibility	Yes	No
	in dealing with all stakeholders in a responsible, respectful, and professional manner	<b>Compliancy</b> – adheres to the code of conduct and acts in a way that exemplifies and reinforces culture and values	Yes	Yes
contr emoi inter	The capacity to be aware of, control, and express	<b>Collaboration</b> – inclusive and unifying; consensus-building; seeks stakeholder/partnerships as appropriate	Yes	Yes
	emotions, and to handle interpersonal relationships judiciously and empathetically	Self-Awareness/Recognition of Limits – understanding personal strengths, areas of development and potential biases and remaining open to self-reflection, feedback, continuous growth and improvement; open about mistakes and knows when to ask for help; recognizing how individual strengths can be leveraged to improve the performance of the board	Yes	Yes
		<b>Relationship-Building</b> – able to relate to others congenially and connect with others to support teamwork and cooperation; seeks appropriate relationships to further the work of the College	Yes	Yes
		<b>Tenacity</b> – demonstrates perseverance; works effectively under pressure, not giving up in spite of difficulties	Yes	No
		<b>Resiliency</b> – coping in spite of setbacks, barriers, or obstacles and demonstrates perseverance in the face of challenges and strong personalities	Yes	No
		Motivation – recognizes and celebrates success to reward and motivate others	Yes	Yes

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Section	Definition/Description	Competency – Indicator	Must	Can
			Have	Learn
		Tactfulness – understanding of the need to be tactful in individual	Yes	Yes
		and group discussions		
Communicator	Able to communicate clearly,	Articulate – has the ability to describe thinking to others in a manner	Yes	Yes
	concisely, and accurately,	that is clear and concise		
	orally and in writing	Participatory – actively interacting and continuing to participate	Yes	No
		when difficulties arise		
		Active Listening – has the ability to listen to others and articulate the	Yes	Yes
		views of others		
Understanding of	Understands the	Understanding of the Roles of the Board/Council – understands the	Yes –	Yes –
Governance/	Board/Council Member's role,	role of the Board/Council and the role of individual Board/Council	Basic	Adv
<b>Fiduciary Duties</b>	fiduciary duties, good	Members		
	governance principles, and the	Understanding of the Roles of Management – understands of the	Yes –	Yes –
	stewardship responsibilities of	distinction between the role of the Board/Council versus role of	Basic	Adv
	a Board/Council:	management, while being rigorous in asking the Registrar for		
	<ul> <li>Risk Management</li> </ul>	information to support the Board/Council in carrying out its fiduciary		
	<ul> <li>Business Acumen</li> </ul>	duty		
	<ul> <li>Human Resources</li> </ul>	Understanding of the Relationship with the Registrar –	Yes –	Yes –
	<ul> <li>Financial Literacy</li> </ul>	understanding responsibilities related to the sole employee	Basic	Adv
		Risk Management – understands the concept of risk management	Yes –	Yes –
	Governance competence	and commits to identification and mitigation of organizational risk	Basic	Adv
	supports the provision of	Loyalty – understands and commits to the duty of loyalty to the	Yes –	Yes –
	strategic direction and	organization and places this loyalty above all other obligations for self	Basic	Adv
	oversight for Boards/Colleges;	and corporate interests; identifies viable options and puts aside		
	it allows members to able to	vested interests to make decisions that are most likely to achieve the		
	carry out the stewardship	College's mandate of public protection; actively avoids conflicts of		
	responsibilities, creates robust	interest or otherwise declares and manages them		
	accountability for regulatory	Accountability – understands the concept of accountability, both	Yes –	Yes –
	and financial performance,	individually and organizationally, and is committed to ensuring	Basic	Adv
		Board/Council-based accountability; ensures decisions are in the		

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Section	Definition/Description	Competency – Indicator	Must	Can
			Have	Learn
	and enables Board/Council to	public interest and that appropriate information is available to the		
	set and achieve strategic goals	public		
		<b>Equality</b> – understands that all Board/Council Members are to be	No	Yes
	Board/Council members have	treated equally, including access to information and resources		
	a commitment to the public	Financial Literacy – an understanding of finance and generally	Yes –	Yes –
	and their right to safe, ethical	accepted accounting principles; can read, interpret, and ask questions	Basic	Adv
	care, demonstrated by an	about financial statements; applies a basic understanding of financial		
	understanding and	management to ensure the integrity of financial information received		
	appreciation of, and	by the Board/Council		
	commitment to, the public	Adherence to Confidentiality – understands and adheres to	Yes	No
	protection mandate and the	confidentiality obligations		
	time required to execute the role effectively	Preparedness – understands the need to be prepared for	Yes –	Yes –
		Board/Council meetings; commits to reading, understanding and	Basic	Adv
		questioning information presented; devotes the required time and		
		energy to the role, determined to achieve best possible outcomes in		
		public protection		
Thinks Broadly	Listening to others' views and	Analytical/Critical Thinking/Objectivity – ability to understand and	Yes –	Yes –
considering them; being	considering them; being	interpret information from different sources and system knowledge,	Basic	Adv
	openminded to information	process the information, connect ideas and concepts and draw logical		
	presented	connections and conclusions		
		Independence – free expression without considering personal	Yes	No
		interests or interests outside the College's interests		
		Strategic Thinking – ability to recognize the issues facing the	Yes –	Yes –
		organization; can think long term, set long term goals and identify a	Basic	Adv
		path to achieving long term objectives; ensures risks are assessed and monitored		
		Innovative – ability to step outside of perceived limitations, consider	No	Yes –
		new ideas, willing to experiment with new approaches to solutions		Adv

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Section	Definition/Description	Competency – Indicator	Must Have	Can Learn
		<b>Proactive</b> – has the ability and willingness to discuss and debate matters before they become organizational issues or crises; thinks ahead and beyond current day issues	No	Yes
		Commitment to Continuous Learning – determining mechanisms for enhancing knowledge and understanding and developing and monitoring learning plans to support personal improvement arising from self-awareness; setting goals and working on initiatives that improve Board/Council performance	Yes – Basic	Yes – Adv
		<b>Unbiased Attitudes and Behaviour</b> – recognizing personal biases and working to mitigate effects of those biases	Yes – Basic	Yes – Adv
Respectful of Diversity  differences in the values norms of others and have the ability to apply this knowledge of the experi	knowledge of the experience of diversity to deliberations	Appreciation of Different Perspectives — shifting personal cultural perspectives and incorporating varying perspectives into decision-making related to attributes such as differences in gender, ethnicity, religion, sexual orientation, disability, and socio-economic class, or profession-specific diversities such as region of practice, practice setting and context, specialization or modality; responding to inappropriate and non-inclusive behaviour to re-direct and build awareness	Yes – Basic	Yes – Adv
		Adaptability – adapting behavior to work effectively with others who have attributes different than their own and conducting self-assessments to understand how personal attitudes and values might create bias; adjusting and adapting communication behavior to reduce the impact of bias and to be effective across diverse contexts (e.g., not using ethnophaulisms or outdated terms; using preferred terms)	Yes – Basic	Yes – Adv
		Openness – contributes to an environment and culture that welcomes diverse perspectives, new partners, and ideas	Yes – Basic	Yes – Adv
	Awareness of the complex system in which the College	Commitment to Public Service – committed to serve the public and the people of the Province of Ontario	Yes	No

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Section	Definition/Description	Competency – Indicator	Must	Can
			Have	Learn
Understanding of	works, including the	Commitment to Serving in the Public Interest – knowledge of the	Yes –	Yes –
Systems and	stakeholders in the system,	concept of public interest and the ability to place the interests of the	Basic	Adv
Organizations	and the impact that the	broad public ahead of the interests of individuals and organizations		
	College's decisions have on	and to communicate this to others		
	the public	Understanding of Health Systems – knowledge of the health care	Yes –	Yes –
		system in Ontario and Canada, the roles played by different levels of	Basic	Adv
		government and institutions and the political, economic and social		
		context within which health systems operate; understands how these		
		systems intersect and impact the public		
		Understanding of Health Regulation – knowledge of the health	Yes –	Yes –
		regulatory system, its purpose and how it functions; analyzes the	Basic	Adv
		potential impact of decisions on the public; able to think strategically		
		about systemic issues and the role of the organization in the broader		
		regulatory and profession-specific sectors		

### ADDITIONAL COMPETENCIES FOR THE BOARD/COUNCIL AS A WHOLE

Competency	Definition/Description	Indicator	Board	One or
			as a	more
			Whole	individ
				-uals
Diversity	Deliberations are informed and decisions include and respect diverse perspectives, biases are identified and questioned, and the College's	Recruitment Strategies – adoption of recruitment strategy to ensure representation of a variety of cultural and historical perspectives, region of practice, practice setting and context, specialization or modality	Yes	
	collective work of public protection supports positive	<b>Performance Evaluation</b> – evaluating Board/Council performance using measures that assess inclusivity	Yes	

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Competency	Definition/Description	Indicator	Board as a Whole	One or more individ -uals
	systemic change in these and	Risk Mitigation – recognition of organizational risks that lack of	Yes	
	other areas:	diversity can present and identification of ways to mitigate risk		
	• Cultural – including	<b>Correction</b> – formalized processes to respond to inappropriate and	Yes	
	indigenous cultures	non-inclusive behavior		
	<ul> <li>Gender – representation</li> </ul>			
	from individuals with			
	differing gender identities			
	• Educational – including			
	individuals with and			
	without post-secondary			
	education and training			
	Regional – including rural			
	and urban as well as			
	northern communities			
	Background/Experience –			
	including work experience			
Experience	Previous experience in	<b>Spectrum of Experience</b> – identification of the various aspects of	Yes	
	governance work, either at a	experience needed		
	Board, committee or			
	community level			
Clinical Knowledge	Knowledge of the legislation	Training – has knowledge of the regulated health profession being		Yes
	and regulations governing the	governed and an in-depth knowledge of the written and unwritten		
	profession	standards of practise of the profession		

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### **ELIGIBILITY/DISQUALIFICATION CRITERIA**

In order to qualify for a position on the Council/Board or a Committee, a person must meet the following criteria.

Eligibility Criteria	Note
Current member	Holds certificate of registration
No default in anything owing to the College	Including fees, forms, or requested information, e.g., quality assurance program requirements
No proceedings/findings	Inside or outside of Ontario, including terms/conditions/limitations, professional misconduct, incompetence, discipline, incapacity, revocation or suspension, fitness to practice, informal disposition or resolution, or Registrar's investigation, SCERP
No finding of guilt of criminal offence	In any jurisdiction
No offence relevant to the registrant's ability to practice the profession	Such as bail conditions
No conflict of interest	
Not College employee/staff member	Of this College or any other
Not director, officer, or staff member of a professional advocacy organization	That relates to the College in any way
Not holding a responsible position with any organization/group whose mandate or interests conflict with the College	
Not a member of the Board/Council of any other RHPA College	
Has not initiated, joined, continued, or materially contributed to a legal proceeding against the College, its Committee, or its representative	

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# BOARD/COUNCIL COMPETENCIES AND ELIGIBILITY/DISQUALIFICATION CRITERIA

Not a consultant to third party provider	
No bankruptcy or subject of a consumer proposal	Within the last seven years
Not found to be mentally incompetent	
Computer literate	Able to send/receive emails, open and process pdfs, word processed documents, and spreadsheets
Available	Time to meet the needs of their roles at the College

Disqualification Criteria	Note
Fails to uphold any eligibility criteria	See list above
Misses no more than xx meetings	Consecutive, without reason
Fails to attend hearing or proceeding of a panel	Whether Chair or member
Fails to be in the class/specialty/faculty represented on the Board	
Breaches conflict of interest	
Breaches confidentiality	S26 of the <i>Act</i>
Misuse of social media	Any posting that would harm the reputation of the College
Fails to comply with Code of Conduct	
Resigns from Council	
Advocates or makes a public statement (other than at a Council meeting) against a position taken by Council or the College	

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#### BOARD/COUNCIL COMPETENCIES AND ELIGIBILITY/DISQUALIFICATION CRITERIA

#### **REFERENCE DOCUMENTS:**

- AGRE Committee Eligibility and Competency Framework
- BCCNP Board and Committee Composition Matrices
- CMO Governance Resources
- CNO Governance Resources
- FHRCO Governance WG Competency Comparison
- FHRCO Governance Survey
- Field Law Article "Professional Regulation: The Political Winds Are Blowing"
- Government of Ontario Member-Regulatory and Adjudicative Agencies
- NAPRA Board Competencies
- Ontario College of Teachers Governance Review Report
- PSA Good Practice in Making Council Appointments
- RCDSO Resources

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The Council and Committee Competency Profile defines the knowledge, skill, judgement, attitude, and experience (i.e., competencies) required of College Council and committee members for effective performance in these roles. This document was adapted from the Health Profession Regulators of Ontario's (HPRO) Boards/Councils Competencies and Eligibility/Disqualification Criteria document. The competencies are defined throughout and the profile distinguishes between competencies for Council members and those required of committee members. The College will provide ongoing development, training, and support to members throughout their terms to enable them to perform effectively in their roles. Individuals will complete an orientation program prior to commencing their roles.

While individual Council and / or committee members will have the competencies to varying degrees, overall, it is important that the Council and committees themselves have a set of competencies, through one or more Council or committee members, that enable them to govern the organization.

The Council and Committee Competency Profile will be used to:

- Articulate the requirements to be a member of Council or committees;
- Determine eligibility and suitability of those seeking to stand for election to Council and/or be appointed to committee;
- Review applications for committee appointments based on identified competency and diversity needs and recommend to Council slates for committee appointments;
- Inform learning and development initiatives by the College to enable development in role; and
- Evaluate Council and committee performance.

The College strives to create a diverse and inclusive setting that reflects the communities we serve. We welcome people of all ages, physical abilities, race, ethnic origin, religion, and sexual orientation to join Council, committees, and staff. Please let us know if you require any accommodation to participate in Council elections and committee appointments.

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## COMPETENCIES FOR INDIVIDUAL COUNCIL AND COMMITTEE MEMBERS

## **Understanding Governance Responsibilities and Fiduciary Duties**

Understands the role, fiduciary duties, effective governance principles, and the stewardship responsibilities of a Council/committee member including: risk management; business acumen; human resources; and financial literacy. Council/committee members have a commitment to the public and their right to safe, ethical services, demonstrated by an understanding and appreciation of, and commitment to, the public protection mandate and the time required to execute the role effectively.

Competency and Indicator	Council members	Committee members
Understanding of the Roles of the Council and Committees – understands the role of the Council and Committees and the role of individual Council and committee members	Х	Х
Understanding of the Roles of Management – understands the distinction between the role of the Council versus role of management, while being rigorous in asking the Registrar for information to support the Council in carrying out its fiduciary duties	х	х
<b>Legal and fiduciary responsibilities</b> - understands their legal and fiduciary responsibilities including good faith, trust, preparedness, participation	Х	Х
<b>Accountability</b> – understands the concept of accountability, both individually and organizationally; ensures decisions are in the public interest and that appropriate information is available to the public	Х	Х
<b>Equality</b> – understands that all Council Members are to be treated equally, including access to information and resources	Х	Х
Adherence to Confidentiality – understands and adheres to confidentiality obligations	Х	х
<b>Conflict of interest</b> - understands the meaning of conflict of interest and the importance of and process for declaring conflicts in advance and as they arise	Х	Х
<b>Ethical decision making -</b> understands the importance of ethics in decision-making, contemplating ethical components of decisions, including fairness, objectivity, impartiality, and openness.	Х	Х
Financial and Organizational Oversight		
Competency and Indicator	Council members	Committee members
Risk management/identification and mitigation - Understands the concept of risk management and commits to identification and mitigation of organizational risk. Understands risk-based regulation. Ability to think critically to ensure the effective management of potential risks and uncertainties	Х	х
Financial literacy / Assessing financial information - Understands finance and accounting, basic accounting terminology, and generally accepted accounting principles. Can read, interpret, and question financial statements. Has ability to understand conceptually the financial position of the College as presented in its financial statement, how to read and interpret financial statements to make informed decisions	Х	

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Financial management - Understanding of the financial planning process and short- and long-term financing.	Х	
Familiarity with budget development process, how to review and analyze a budget and forecasting policy.		
Understands adequate financial controls.		
Leadership		
Demonstrates skills and ability to lead others to solve problems, adapt and manage change, innovate and achi	eve results	
Competency and Indicator	Council members	Committee members
<b>Vision</b> – understands the importance of an organizational vision and the methods/processes for developing a collective vision. Ability to inspire, motivate and offer direction to others.	X	
<b>Team-Building</b> – Has knowledge and understanding of team building techniques and dynamics. Ability to create strong morale and spirit in team. Demonstrates a positive attitude, energy, resilience, stamina and the courage to take risks. Ability to recognize and value the contributions of Council members, staff, and stakeholders.	X	
Succession planning - Understands the importance of planning for the succession of the leadership of the organization in the Board, Committee and Management streams	х	
Professionalism/Good character  Acts transparently with integrity, discretion, and humility to consider a range of perspectives and diverse ways of the constant of the consta		
	ess support Co spectful, and p	uncil professional Committee
Acts transparently with integrity, discretion, and humility to consider a range of perspectives and diverse ways of status quo, reject assumptions, and take nothing for granted. Attributes of integrity, accountability, and openneous members in exercising proper authority and good judgment in dealing with all stakeholders in a responsible, remanner.  Competency and Indicator	Council members	uncil professional Committee members
Acts transparently with integrity, discretion, and humility to consider a range of perspectives and diverse ways of status quo, reject assumptions, and take nothing for granted. Attributes of integrity, accountability, and openned members in exercising proper authority and good judgment in dealing with all stakeholders in a responsible, remanner.  Competency and Indicator  Diplomacy – is diplomatic in interactions with others	Council members	committee members
Acts transparently with integrity, discretion, and humility to consider a range of perspectives and diverse ways of status quo, reject assumptions, and take nothing for granted. Attributes of integrity, accountability, and openned members in exercising proper authority and good judgment in dealing with all stakeholders in a responsible, remanner.  Competency and Indicator  Diplomacy – is diplomatic in interactions with others  Judgement – demonstrates good judgement in decisions and actions	Council members	uncil professional Committee members
Acts transparently with integrity, discretion, and humility to consider a range of perspectives and diverse ways of status quo, reject assumptions, and take nothing for granted. Attributes of integrity, accountability, and openned members in exercising proper authority and good judgment in dealing with all stakeholders in a responsible, remanner.  Competency and Indicator  Diplomacy – is diplomatic in interactions with others  Judgement – demonstrates good judgement in decisions and actions  Ethical – Has knowledge and understanding of ethical responsibilities and dilemmas and demonstrating ethical behaviour. Takes action based on values even when individual cost or risk is at stake.	Council members	committee members
Acts transparently with integrity, discretion, and humility to consider a range of perspectives and diverse ways of status quo, reject assumptions, and take nothing for granted. Attributes of integrity, accountability, and openned members in exercising proper authority and good judgment in dealing with all stakeholders in a responsible, remanner.  Competency and Indicator  Diplomacy – is diplomatic in interactions with others  Judgement – demonstrates good judgement in decisions and actions  Ethical – Has knowledge and understanding of ethical responsibilities and dilemmas and demonstrating	Council members	Committee members
Acts transparently with integrity, discretion, and humility to consider a range of perspectives and diverse ways of status quo, reject assumptions, and take nothing for granted. Attributes of integrity, accountability, and openned members in exercising proper authority and good judgment in dealing with all stakeholders in a responsible, remanner.  Competency and Indicator  Diplomacy – is diplomatic in interactions with others  Judgement – demonstrates good judgement in decisions and actions  Ethical – Has knowledge and understanding of ethical responsibilities and dilemmas and demonstrating ethical behaviour. Takes action based on values even when individual cost or risk is at stake.  Respectful – ability to respect others regardless of their background, culture, or divergent opinions; able to effectively locate/center issues considering the individuals and/or concerns involved; able to weigh/discuss	Council members  X  X  X	Committee members  X  X
Acts transparently with integrity, discretion, and humility to consider a range of perspectives and diverse ways of status quo, reject assumptions, and take nothing for granted. Attributes of integrity, accountability, and open of members in exercising proper authority and good judgment in dealing with all stakeholders in a responsible, remanner.  Competency and Indicator  Diplomacy – is diplomatic in interactions with others  Judgement – demonstrates good judgement in decisions and actions  Ethical – Has knowledge and understanding of ethical responsibilities and dilemmas and demonstrating ethical behaviour. Takes action based on values even when individual cost or risk is at stake.  Respectful – ability to respect others regardless of their background, culture, or divergent opinions; able to effectively locate/center issues considering the individuals and/or concerns involved; able to weigh/discuss competing considerations in a manner that is appropriate and respectful. Welcomes diversity of thought.  Honesty & Integrity – Acts with honesty and integrity at all times. Stands by and accounts for competency-based or evidence-informed decisions and actions even if they are unpopular or controversial. Conducts affairs	Council members  X  X  X	Committee members  X  X
Acts transparently with integrity, discretion, and humility to consider a range of perspectives and diverse ways of status quo, reject assumptions, and take nothing for granted. Attributes of integrity, accountability, and open members in exercising proper authority and good judgment in dealing with all stakeholders in a responsible, remanner.  Competency and Indicator  Diplomacy – is diplomatic in interactions with others  Judgement – demonstrates good judgement in decisions and actions  Ethical – Has knowledge and understanding of ethical responsibilities and dilemmas and demonstrating ethical behaviour. Takes action based on values even when individual cost or risk is at stake.  Respectful – ability to respect others regardless of their background, culture, or divergent opinions; able to effectively locate/center issues considering the individuals and/or concerns involved; able to weigh/discuss competing considerations in a manner that is appropriate and respectful. Welcomes diversity of thought.  Honesty & Integrity – Acts with honesty and integrity at all times. Stands by and accounts for competency-based or evidence-informed decisions and actions even if they are unpopular or controversial. Conducts affairs with professional integrity.	Council members  X  X  X	Committee members  X  X
Acts transparently with integrity, discretion, and humility to consider a range of perspectives and diverse ways of status quo, reject assumptions, and take nothing for granted. Attributes of integrity, accountability, and open of members in exercising proper authority and good judgment in dealing with all stakeholders in a responsible, remanner.  Competency and Indicator  Diplomacy – is diplomatic in interactions with others  Judgement – demonstrates good judgement in decisions and actions  Ethical – Has knowledge and understanding of ethical responsibilities and dilemmas and demonstrating ethical behaviour. Takes action based on values even when individual cost or risk is at stake.  Respectful – ability to respect others regardless of their background, culture, or divergent opinions; able to effectively locate/center issues considering the individuals and/or concerns involved; able to weigh/discuss competing considerations in a manner that is appropriate and respectful. Welcomes diversity of thought.  Honesty & Integrity – Acts with honesty and integrity at all times. Stands by and accounts for competency-based or evidence-informed decisions and actions even if they are unpopular or controversial. Conducts affairs with professional integrity.  Code of Conduct – Adherence to the Code of Conduct.	Council members  X  X  X	Committee members  X  X  X

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Collaboration – inclusive and unifying; consensus-building; seeks stakeholder/partnerships as appropriate	X	Х
Self-Awareness/Recognition of Limits – understanding personal strengths, areas of development and potential	х	х
biases and remaining open to self-reflection, feedback, continuous growth and improvement.		
Relationship-Building – able to relate to others congenially and connect with others to support teamwork and	Х	Х
cooperation; seeks appropriate relationships to further the work of the College		
Communicator/Communication Skills		
Able to communicate clearly, concisely, and accurately, orally and in writing		
Competency and Indicator	Council	Committee
	members	members
Participatory – actively interacting and continuing to participate when difficulties arise	X	х
Active Listening – able to listen to others and articulate the views of others and appreciate diverse	x	x
perspectives. Has ability to encourage others to elaborate on matters and interests. Employs effective		
questioning to elicit further information or gain greater understanding or clarity.		
Thinks Broadly/Thought Processes		
Listening to others' views and considering them; being openminded to information presented		
Competency and Indicator	Council	Committee
	members	members
Analytical/Critical Thinking/Objectivity – ability to understand and interpret information from different sources	X	Х
and system knowledge, process the information, connect ideas and concepts, and draw logical connections		
and conclusions. Ability to discern and propose responses or approaches to issues that are different from		
those already identified.		
Strategic Thinking – ability to recognize the issues facing the organization; ability to apply broad knowledge	X	
and experience to discussions and decisions. Can think long term, set long term goals and identify a path to		
achieving long term objectives; ensures risks are assessed and monitored; ability to engage in short, medium		
and long-range planning to provide high-level guidance and direction for the College.		
Inclusiveness/ Respectful of Diversity		
Understanding and valuing differences in the values and norms of others and having the ability to apply this known	owledge of the	experience
of diversity to deliberations and decision-making		
Competency and Indicator	Council	Committee
	members	members
Appreciation of Different Perspectives – shifting personal cultural perspectives and incorporating varying	x	х
perspectives into decision-making related to attributes such as differences in gender, ethnicity, religion, sexual		
orientation, (dis)ability, and socio-economic status, or profession-specific diversities such as region of practice,		
practice setting and context, specialization or modality; responding to inappropriate and non-inclusive		

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Adaptability – adapting behaviour to work effectively with others who have attributes different than their own	х	Х
and conducting self-assessments to understand how personal attitudes and values might create bias;		
adjusting and adapting communication and behaviour to reduce the impact of bias and to be effective across		
diverse contexts (e.g., not using racist or outdated terms; using preferred terms)		
Openness - contributes to an environment and culture that welcomes diverse perspectives, new partners, and	Х	Х
ideas		

#### **Understanding of Public Sector and Health Systems**

Awareness of the complex system in which the College works, including the stakeholders in the system, and the impact that the College's decisions have on the public

Competency and Indicator	Council members	Committee members
Commitment to Public Service and Serving in the Public Interest – committed to serve the public and has knowledge of the concept of public interest and the ability to place the interests of the broad public ahead of	Х	Х
the interests of individuals and organizations and to communicate this to others		
Understanding of Health Systems – has knowledge of the health care system in Ontario and Canada; understands how these systems intersect and impact the public. Familiar with recent reforms and specific strategies to improve health services delivery, access to care and health outcomes. Understanding of trends, challenges, opportunities, and unique dynamics within health sector that are relevant to the College.	X	
Understanding of Health Regulation – has knowledge of the health regulatory system, its purpose and how it functions; has knowledge of the legislative framework and procedures relevant to the health regulatory processes; understands the accountability relationship of the College to the government through the Ministry of Health; analyzes the potential impact of decisions on the public.	X	X

# ADDITIONAL COMPETENCIES FOR THE COUNCIL AND COMMITTEES AS A WHOLE/OVERALL

While individual Council and / or committee members will have the competencies, to varying degrees as set out above, overall, it is important that the Council and committees themselves have a set of competencies, through one or more Council or committee members, that enable them to govern the organization.

## Diversity on Council/Committee

Deliberations are informed and decisions include and respect diverse perspectives, biases are identified and questioned, and the College's collective work of public protection supports positive systemic change in these and other areas:

Cultural – representation from various cultures

Gender – representation from individuals with differing gender identities

Educational – diversity of educational training, including individuals with and without post-secondary education and training Geographic/regional – regional diversity including rural and urban as well as northern communities

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Background/Experience –diverse set of background including work experience	147	<b>.</b>	10 "
Competency and Indicator	Whole Council/committee	Council	Committee
	vs. some members		
Recruitment Strategies – adoption of recruitment strategy to ensure representation of a	some members	Х	
variety of cultural and historical perspectives, region of practice, practice setting and			
context, specialization or modality			
Performance Evaluation – evaluating Council/committee performance using measures	some members	Х	
that assess inclusivity			
Risk Mitigation – recognition of organizational risks that lack of diversity can present	some members	Х	
and identification of ways to mitigate risk			
Experience			
Competency and Indicator	Whole	Council	Committee
	Council/committee		
	vs. some members		
Governance work - Previous experience in governance work, either at a board,	some members	X	
committee or community level.			
Leadership experience - Has experience as part of a leadership team of an	some members	X	
organization and has been in a leadership position for			
an organization or a board.			
Knowledge Comments and to display to	14//	0	0
Competency and Indicator	Whole	Council	Committee
	Council/committee		
Legislative framework and standards - Knowledge of the legislation and regulations	whole	V	
governing the profession and Essential Competencies of Practice for Kinesiologists in	wildle	X	X
Ontario.			
Organization justice - Understands organizational justice and understands the	some members	X	
importance of workplace behaviour, including treatment of staff, pay, access to	Sollie Illellineis	^	
training, and equality in the workplace and at the board room table.			
Strategic planning – Understands the importance of and the process for strategic	whole	X	
oratogic planning officerstatios the importance of and the process for strategic	WITOIC	^	

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# **Board Profile**

#### **ROLE**

Governs the regulation of Ontario's nursing profession in the public interest.

# PROFILE PRINCIPLES

#### **COMPETENCY AND ATTRIBUTE DIMENSIONS**

#### INTRODUCTION

There are four dimensions of competencies and attributes:

- I. career knowledge and experience
- II. functional skills
- III. affinity attributes
- IV. character attributes

## I. Career Knowledge and Experience Competencies (Where have you been?)

Professional nursing experience (RN, RPN or NP)

Has experience in, and understanding of, nursing practice and conduct, especially related to public concerns, standards of practice and client safety.<sup>2</sup>

#### Regulatory experience

Has experience in the oversight of self-regulated professions, and the ability to understand and oversee regulations and standards setting and certification. Should have awareness/knowledge of the regulatory climate and evolving regulatory issues, regulated industries and their oversight regimes. May be, but not necessarily, a lawyer.

#### **Patient rights**

Is well versed in matters related to patient rights, including but not limited to the abuse of patients and boundary violations.

#### **Cross-cultural experience**

Has credibility based on experience working with diverse teams and marginalized or vulnerable client groups, e.g. working cross-culturally, internationally, experience with social, humanitarian, anti-oppression and LGBTQ- positive principles, sensitivity and knowledge dealing with victims, boundary issues, sexual abuse.

#### Broad health sector leadership

Has experience in a senior leadership position in a health care administrative setting.

#### Financial/accounting expertise

Has experience preparing, auditing, analyzing or evaluating financial statements. Has a strong understanding of generally accepted accounting principles and financial statements and their application. May have accounting credentials (e.g. CPA).

#### **Education system**

Understands the post-secondary educational system and its relationship to the College.

#### Information technology

Is familiar with the use of technology for working on Board or committee matters and operations.

#### **Human resources leadership**

Has experience as a human resource professional with a strong understanding of occupational health and safety, organizational structure and human resources oversight, including: compensation, recruiting, assessing and succession planning. Is well versed in assessing the competence and character of individuals based on a set of specific requirements.

<sup>1</sup> The College's future governance model calls for six nurses, including at least one RN, one RPN and one NP to serve on the Board.

# II. Functional Skills Competencies (What do you know?)

#### **Public interest**

Has experience and understanding protecting and acting in the public interest.

#### **Evidence-based decision-making**

Demonstrates ability and advanced skills in locating, critically appraising, interpreting, synthesizing, weighing, evaluating and using evidence from qualitative and quantitative paradigms.

#### **Decision-maker**

Is a proven decision-maker using different decision-making methods beyond evidence-based

#### Stakeholder relations

Demonstrates understanding and ability to provide effective oversight of engagement and communications with the public, government and other key stakeholders.

#### Leadership

Demonstrates skills and ability to lead others to solve problems, adapt and manage change, innovate and achieve results.

#### Strategic planning

Demonstrates the ability to think strategically and has experience participating in, or leading, an organization in planning for its future, such as: conducting S.W.O.T. analysis, environmental scans, strategy design, planning, implementation and evaluation.

#### Risk management/oversight

Demonstrates a proactive, continuous and systemic approach to identifying, understanding and communicating hazard, operational, financial and strategic risks from an integrated oversight perspective.

#### **Governance and boards**

Has a strong familiarity and understanding of governance roles and responsibilities, current governance policy, issues and trends gained through prior board or committee experience in an organization of similar size, scope and complexity as the College, and/or governance education, such as ICD.D (Institute of Corporate Directors), Pro.Dir. (Professional Director), C.Dir (Director's College).

#### Quality management/ oversight

Demonstrates skills related to relationship management, engagement, socialization of ideas, consultation and negotiation.

#### Change management

Has a functional understanding of systems, as well as some understanding of quality frameworks and how they support client safety and quality care.

#### **Financial literacy**

Is able to read and understand financial statements, preferably for organizations of similar size, scope and complexity of the College.

#### Chairing boards and/or committees

Has served as Chair and/or Committee Chair on at least one other board of an organization of comparable size and complexity.

#### **Business and commerce**

Understands modern, general business with a high degree of technological sophistication.

# III. Affinity Attributes (What informs your thinking, your perspective?)<sup>2</sup>

#### **Attributes**

- Patient safety and broader social needs and safety
- Gender diversity
- Urban-rural diversity
- Cultural/heritage diversity (including Francophone, Indigenous; seeking demonstrated commitment to equity and anti-racial bias)
- Geographic diversity across Ontario
- Age diversity
- Diverse patient population needs (e.g. geriatric, paediatric, mental health, care settings)

<sup>2</sup>None of the affinity attributes were developed or validated with descriptors.

# IV. Character Attributes<sup>3</sup> (Who are you?)

#### Communicator

Able to communicate clearly, concisely and accurately, orally and in writing.

#### Constructive

Able to build relationships, being constructive and helpful.

#### **Emotionally mature**

Able to understand and skillfully manage emotions, especially when faced with conflict and confrontation; be self-aware and professional.

#### **Ethical**

Able to meet the expectations set out in the Conduct by-law, with an unquestioned level of ethical integrity.

#### **Fiduciary**

Able to put others' interests first (servant leadership); has a passion for the public interest, commitment and drive.

#### **Inclusive**

Able to create a place for everyone's voice; understands the concept of equity; is aware of and respects diversity such as social and cultural differences; is empathetic.

#### Independent

Able to think independently, while knowing when and how to consult others.

#### Learner

Able to apply learning to the public interest; demonstrates a willingness to learn and develop.

#### Listener

Able to listen and question to achieve understanding; is an effective and active listener.

#### **Proactive**

Able to think proactively and to anticipate.

#### Strategic

Able to move beyond the details to envision the grander future; is a strategic thinker.

#### **Adaptable**

Able to adapt easily and quickly to changing evidence and environments; demonstrates cognitive flexibility.

#### **Forthright**

Able to present an unpopular or controversial position in the face of opposition or opposing views.

#### **Professional judgement**

Able to think critically.

#### **Astute**

Able to apply their knowledge in the context of Board-level decision-making and leadership.

#### **Problem solver**

Able to evaluate complex issues and to make effective decisions (find solutions).

#### Unifier

Able to encourage divergent thinking and dissent from others, and to build consensus; stands behind the collective decisions of the Board in unity.

#### Systems-level thinker

Able to conceptualize on a systems level and communicate this understanding to others.

<sup>3</sup>These character attributes were developed from Governance Solutions' meta-research, supplemented and customized with the Ontario Government Regulatory and Adjudicative Agencies' core competencies. These are available at: <a href="https://www.ontario.ca/document/member-regulatory-and-adjudicative-agencies-core-">https://www.ontario.ca/document/member-regulatory-and-adjudicative-agencies-core-</a>

<u>competencies</u>. These competencies are not mandated for regulatory colleges, but they are a helpful and relevant source. In general, all Board and committee members should possess these core character attributes.

Page last reviewed October 16, 2018

# College of Nurses of Ontario

101 Davenport Road Toronto, ON Canada M5R 3P1

Leading in regulatory excellence. Regulating nursing in the public interest.

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# **COUNCIL ELECTIONS**

# **Key Dates**

June 1, 2021 at 7 a.m. EST

Online voting begins

June 22, 2021 at 4 p.m. EST

· Polls close

Week of June 28, 2021

· Results announced

# 2021 Council Elections

Thank you to all those who submitted nominations for the 2021 District Elections. Nominations are now closed.

The following candidates put their names forward to serve on CPSO Council, with links to their nomination statements and an election to follow:

## District 6: 1 position open

Counties: Frontenac, Haliburton, Hastings, Leeds and Grenville, Lennox and Addington, Northumberland, Peterborough, Prince Edward and Victoria

- Andrew Hamilton
- Rupa Patel
- John Rapin

# District 7: 2 positions open

Counties: Dundas, Glengarry, Lanark, Prescott, Renfrew, Russell and Stormont

Regional Municipality: Ottawa-Carleton

- Judith Plante
- Sarah Reid
- Stephen Whittaker

The following candidates put their names forward to serve on CPSO Council and have been acclaimed in their Districts:

# **District 8: 1 position open**

Territorial Districts: Algoma, Cochrane, Manitoulin, Nipissing, Parry Sound, Sudbury, Timiskaming

<u>Lionel Marks de Chabris</u>

# District 9: 1 position open

Territorial Districts: Kenora, Rainy River, Thunder Bay

Andrew Turner

View the district map

# **Eligibility Requirements**

In addition to your passion for making a positive difference in how the medical profession is regulated, we are looking for individuals who:

Show a commitment to diversity, equity and inclusion to help shape the future of medical regulation;

6/14/2021 CPSO - Council Elections

· Demonstrate leadership skills and a solid understanding of the health care system; and

Are committed to acting in the best interest of the public.

Please find the full list of eligibility requirements in Section 13 of our General By-Laws.

# **Council Member Skills and Competencies**

CPSO is seeking nominations from physicians who can bring a broad range of skills and perspectives to the Council's work. To ensure that the Council can successfully execute its strategic objectives, Council members are expected to have a number of skills, attributes and behavioural competencies which are outlined below.

# **Diversity Attributes**

- Race/Ethnicity
- Indigenous
- Gender
- LGBTQ2S+
- Age
- Disability
- Practice Setting
- Practice Specialty

## **Technical Skills**

- Financial Literacy
- Governance
- Knowledge of Anti-racism and Anti-oppression
- · Legal and Fiduciary Knowledge
- Technological Proficiency
- French
- Health Systems Knowledge
- Human Resources
- Leadership
- Policy Development

# **Behavioural Competencies**

- · Continuous Learning
- Creativity
- Effective Communication
- Planning & Initiative
- · Relationship Building
- Results Oriented
- · Stakeholder Focused
- Strategic Thinking
- Teamwork

# **Additional Information**

- Learn about CPSO Council.
- Familiarize yourself with the CPSO's Strategic Plan.
- Review the Declaration of Adherence and Nominee Information Guide.

Questions? Contact us at CouncilElections@cpso.on.ca.

# **EXECUTIVE**

Meeting Date:	June 22, 2021
Issue:	Legislative Update – Governance Reform
Reported By:	Ann Zeng and Sean Cassman
Action:	Decision, Discussion

#### Issue

The Ministry of Health is requesting feedback from the 26 health profession regulators on possible governance reforms.

#### **Public Interest Rationale**

The Provincial Government has the ability to make regulatory changes whenever it feels these changes will improve the way health professions are regulated. The Ministry has given Colleges an opportunity to provide input on which changes are necessary. The College should take this opportunity to provide some recommendations to enhance our ability to protect the public interest and fulfill our mandate.

#### **Background**

#### a) Governance Reform

The Ministry of Health is exploring opportunities for governance reforms under the Regulated Health Professions Act, 1991 and the 26 health profession Acts that would increase the colleges' efficiency and ability to respond quickly to emerging needs. Given the ongoing pandemic and introduction of Bill 283, the Ministry acknowledges the colleges' interest in governance changes and discussion on governance reform.

The Ministry of Health is now seeking input from colleges on whether previous advice to the ministry on governance reform has changed in light of the time that has passed since, the COVID-19 pandemic, and the introduction of the new framework for oversight. They are requesting feedback by June 30, 2021. Attached is the letter from Sean Court, Assistant Deputy Minister of the Strategic Policy, Planning & French Language Services Division.

The College will provide the Executive Committee with a draft response for their approval.

#### b) Bill 283

On April 27, 2021, the government introduced Bill 283, the Advancing Oversight and Planning in Ontario' Health Systems Act. The bill will enact the Health and Supportive Care Providers Oversight Authority Act, 2021, which would create a new oversight authority that would oversee personal support workers, and in the future, possibly other professions as well.



**EXECUTIVE** 

The bill will also amend the Medicine Act, 1991, to regulate physician assistants. Physician assistants will be regulated by the College of Physicians and Surgeons of Ontario.

The bill will replace the Psychology Act, 1991, with the Psychology and Applied Behaviour Analysis Act, 2021. This will change the name of the College of Psychologists of Ontario to the College of Psychologists and Behaviour Analysists of Ontario, and regulate the profession of behaviour analysis under that college.

#### Ministry of Health Ministry of Long-Term Care

Assistant Deputy Minister
Strategic Policy, Planning & French Language
Services Division

438 University Avenue, 10<sup>th</sup> floor Toronto ON M7A 2A5

#### Ministère de la Santé Ministère des Soins de longue durée

Sous-ministre adjoint Division des politiques et de la planification stratégiques, et des services en français

438 avenue University, 10e étage Toronto ON M7A 2A5



June 8, 2021

158-2021-46

## **Dear College Presidents and Registrars/ Executive Directors**

Over the past several months, we have seen the ongoing diligent and tireless contributions of all our health system partners in response to the COVID-19 pandemic.

As we prepare for a potential burden reduction Bill this Fall, the ministry is exploring opportunities for governance reforms under the *Regulated Health Professions Act, 1991* and your respective 26 health profession Acts that would increase your efficiency and your ability to respond swiftly to emerging needs.

I am aware that many colleges have expressed interest in governance changes since 2017. Since that time, there have been developments, namely, the ongoing pandemic and the introduction of Bill 283, which have added to the discussion on governance reform.

As I have noted in previous conversations, I would like to seek your input on whether previous advice to the ministry on governance reform has changed in light of the progress of time and recent experience with the COVID-19 pandemic, as well as, the government's introduction of legislation establishing a new framework for oversight.

I am requesting your feedback on possible governance reforms by June 30th.

I look forward to our continued partnership as we explore opportunities to improve and strengthen the oversight system for health professions in Ontario.

Sincerely,

Sean Court

**Assistant Deputy Minister** 

Encl.

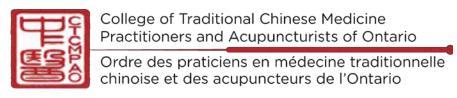
c. Allison Henry, Director

# UNAUDITED College of Traditional Chinese Medicine Practitioners and Acupuncturists of Ontario Statement of Operations

# 4th Quarter

		Actuals of Q4 2020-2021	Annual Budget 2020-2021	Actual to Budget %		dget Remaining alance of Year)
GL Code	Revenue					
4101000	Registration Fees	\$ 162,875.00	\$ 140,900.00	115.60%	\$	(21,975.00
4102000	Renewal Fees	\$ 3,051,850.00	\$ 3,081,000.00	99.05%	\$	29,150.00
4200000	Administration Fees	\$ 64,750.00	\$ 42,675.00	151.73%	\$	(22,075.00
4300000	Pan Can Examination Fees	\$ 269,700.00	\$ 280,250.00	96.24%	\$	10,550.00
4500000	Other Fees	\$ 38,000.00	\$ 24,000.00	158.33%	\$	(14,000.00
4600000	Other Income	\$ 72,600.82	80,000.00	90.75%	\$	7,399.18
	Total Income	\$ 3,659,775.82	\$ 3,648,825.00	100.30%	\$	(10,950.82
GL Code	Expenses					
	Council & Committees	\$ 529,234.16	\$ 950,500.00	55.68%	\$	421,265.84
6100000	Council	\$ 63,295.36	\$ 87,000.00	72.75%	\$	23,704.64
6201000	Executive Committee	\$ 22,116.99	\$ 31,000.00	71.35%	\$	8,883.01
6202000	Registration Committee and Panel	\$ 47,755.06	\$ 48,500.00	98.46%	\$	744.94
6203000	ICRC Committee	\$ 155,467.91	\$ 192,250.00	80.87%	\$	36,782.09
6204000	Quality Assurance Committee	\$ 79,137.85	128,000.00	61.83%	\$	48,862.15
6205000	Patient Relations Committee	\$ 12,999.34	\$ 49,500.00	26.26%	\$	36,500.66
6206000	Discipline Committee	\$ 148,461.65	\$ 403,250.00	36.82%	\$	254,788.35
6207000	Fitness to Practice Committee	\$ · -	\$ 5,000.00	0.00%	\$	5,000.00
6208000	Examination Appeals Committee	\$ -	\$ 6,000.00	0.00%	\$	6,000.00
6300000	Professional Services	\$ 77,727.75	\$ 102,000.00	76.20%	\$	24,272.2
6301000	General Legal Fees	\$ 31,836.08	55,000.00	57.88%	\$	23,163.92
6302000	Auditors and Accounting	\$ 29,713.75	26,000.00	114.28%	, \$	(3,713.75
6303000	Other Fees	\$ 16,177.92	21,000.00	77.04%	\$	4,822.08
6400000	Special Programs/Projects	\$ 331,664.76	\$ 330,000.00	100.50%	\$	(1,664.76
6401000	Pan-Canadian Examinations	\$ 306,275.16	\$ 180,000.00	170.15%	\$	(126,275.16
6402000	Doctor Title	\$ -	\$ 82,000.00	0.00%	\$	82,000.00
6403000	Strategic Planning	\$ -	\$ 11,000.00	0.00%	\$	11,000.00
6404000	School Program Approval	\$ 25,389.60	\$ 57,000.00	44.54%	\$	31,610.40
6500000	Salaries and Benefits	\$ 1,370,515.82	\$ 1,371,585.00	99.92%	\$	1,069.18
6500000	Salaries and Benefits	\$ 1,370,515.82	\$ 1,371,585.00	99.92%	\$	1,069.18
6600000	Information Technology	\$ 272,945.77	\$ 371,200.00	73.53%	\$	98,254.23
6602000	Equipment Expenses	\$ 55,330.45	55,000.00	100.60%	\$	(330.45
6603000	Software Development	\$ 110,112.14	\$ 191,000.00	57.65%	\$	80,887.86
6604000	Maintenance and Support Contracts	\$ 56,137.58	\$ 68,000.00	82.56%	\$	11,862.42
6605000	Online Services	\$ 43,427.35	\$ 49,200.00	88.27%	\$	5,772.65
6606000	Network Security	\$ 7,938.25	\$ 8,000.00	99.23%	\$	61.75
6700000	Operating Expenses	\$ 370,396.36	548,650.00	67.51%	\$	178,253.64
6701000	General Operating Costs	\$ 218,027.74	258,650.00	84.29%	\$	40,622.26
6702000	Payment Gateway	\$ 104,471.77	110,000.00	94.97%	\$	5,528.23
6703000	Subscriptions and Conferences	\$ 29,926.79	90,000.00	33.25%	\$	60,073.23
6704000	Communications and Publications	\$ 17,970.06	\$ 90,000.00	19.97%	\$	72,029.94
45	Total Expenses	\$ 2,952,484.62	\$ 3,673,935.00	80.36%		

The 2020-2021 fiscal year reports a substantial net income. Due to the pandemic, several projects were put on hold. Furthermore, working remotely for the entire fiscal year allowed the College considerable cost savings in Per Diem expenses (travel, meals ,etc.). These costs savings coupled with the revenue closing on target produced a significant net income.



# CTCMPAO Work Plan 2018 –2021

The purpose of this **Work Plan** is to set the targets of the College for the period of 2018 –2021. The work plan is a high-level document that outlines what is needed to accomplish each goal (collaboration, resources, target dates, anticipated obstacles and solutions).

\*Please note due to the COVID-19 pandemic and Council being unconstituted, the College has had to shift its priorities and resources. The work plan timeline will have to be extended and certain projects are temporarily delayed or put on hold.

Strategic Direction	Key Activities	Accountability/A nticipated Resources	Budget	Timelines	Current Status
Good Governance	<ul> <li>a) Regulatory Modernization</li> <li>Participate in consultations, working groups</li> <li>Implement policies resulting from legislation changes</li> </ul>	<ul><li>Council</li><li>Registrar</li><li>Policy Analyst</li><li>Legal Counsel</li></ul>	• \$5000 annually for legal counsel to review policies	Current     Ongoing	The President, Registrar had attended a session hosted by HPRO that discussed Governance Frameworks for regulatory bodies in Ontario, Nationally and internationally.
	b) Governance Enhancement	Council     Registrar     Legal Counsel     Presenters	• \$15,000 annually for presenters and training sessions	Council Evaluation Process every quarter Training conducted annually December 2018 December 2018/March 2019 December 18, 2019	<ul> <li>Updated the Council Evaluation form for the Sept 2018 Council meeting. District 3 elections held in Sept-Oct 2018</li> <li>Council training for new and current members scheduled for Dec 2018</li> <li>By-Election to fill a vacant seat in District 1 being held Oct 2019.</li> <li>Districts 4 &amp; 5 Elections held with 2 members in District 4 and one in District 5 being acclaimed to Council beginning Dec. 2019</li> <li>Full day Council training on Dec 18, 2019, discussed College Roles and responsibilities, invited President of Dundee Consulting Group and legal counsel to present on good governance, conflict of interest.</li> <li>Governance manual being drafted</li> <li>Initiate governance review</li> <li>Reached out to consultants. Ms. Shenda Tanchak to be lead consultant, Ms. Deanna Williams to act as advisor.</li> <li>Elections for District 1 &amp; 2 held on Oct 29 2020 with two members reelected onto Council from District 1 and 1 member acclaimed to Council from District 2.</li> <li>Researched different possible consultants for governance review.</li> <li>Invited Andrew Parr, CEO of CONO to speak to EC on their recently completed governance review.</li> </ul>

c) Annual By-Law Review to ensure currency	• Council • Registrar	• \$5000 annually for	Conducted annually	<ul> <li>Invited Richard Steinecke to present on governance at Dec 9, 2020 Council orientation/training session.</li> <li>On September 17, 2020 open meeting of the EC, the EC agreed to conduct the College governance review and directed staff to do more research on possible candidates for the governance review.</li> <li>Received proposals from different consultants.</li> <li>The EC decided to proceed with Governance Solutions Inc. for the College's governance review.</li> <li>Governance Solutions Inc. held kick-off meeting with EC at Feb 18, 2021 EC meeting.</li> <li>Submitted College governance documents to GSI for review.</li> <li>Scheduled interviews between GSI and Council members and senior staff.</li> <li>GSI has provided the College with the completed report.</li> <li>Report will be reviewed with Council members at the June 22, 2021 open meeting of the EC.</li> <li>Began research on competency requirements.</li> <li>Revised By-Laws are in effect with the new fee schedule.</li> </ul>
	<ul> <li>Policy Analyst</li> <li>Legal Counsel</li> </ul>	legal counsel to review and make by-law amendments	January	<ul> <li>Revised By-Laws and fees schedule were sent out for circulation in 2018-2019. Back at Council for discussion and approval</li> <li>Completed a full review of the By-Laws and in effect since April 1, 2018</li> <li>Worked with legal counsel to review by-laws in Jan 2020. Pending approval by Council.</li> <li>More proposed changes based on governance report.</li> </ul>

	d) Evaluation planning	<ul> <li>Registrar</li> <li>Director of IT</li> <li>Program Managers</li> <li>IT consultant</li> </ul>	• \$15,000 to develop CRM reports	<ul> <li>December 2018 <ul><li>Evaluation</li><li>Plan</li></ul></li> <li>March 2019 - <ul><li>CRM Reports</li></ul></li> <li>April 2019 - <ul><li>Membership</li><li>surveys</li></ul></li> </ul>	<ul> <li>Ministry is creating a working group to develop a framework on performance management.</li> <li>Registrar is actively attending working groups to provide feedback.</li> <li>CPMF has been launched and College is working on the report.</li> <li>Registrar and College staff attended weekly meetings with HPRO working group and collaborated with other colleges on the CPMF project.</li> <li>An initial draft of the CMPF report has been completed.</li> <li>The EC approved the initial draft of the CPMF report in principle.</li> <li>CPMF report was submitted to the Ministry.</li> <li>Published report on College website.</li> </ul>
Practitioner Competence	<ul> <li>a) Develop Standards of Practice</li> <li>Standards addressing         prevention of sexual abuse         (consent, communication,         boundaries, etc.)</li> <li>Standards for TCM modalities         (acupuncture, tuina, cupping,         etc)</li> </ul>	<ul> <li>Registrar</li> <li>Policy Analyst</li> <li>SMEs (practitioners)</li> <li>Legal Counsel</li> </ul>	<ul> <li>\$5,000     annual for per diems</li> <li>\$5,000     annually for legal counsel</li> </ul>	January 2019 -     Standards for     Prevention of     Sexual Abuse     Jan 2021 -     Profession     specific     standards	<ul> <li>Standards have been presented to QA and Patient Relations. Will be reviewed by legal counsel and sent out for consultation.</li> <li>4 standards of practice have been approved by Council. 2 standards require public consultation.</li> <li>The Standard for Record-Keeping was sent out for public consultation</li> <li>Standard for Preventing Sexual Abuse and Standard for Consent came into effect on April 1, 2020.</li> <li>Public consultation for Standard for Record-Keeping complete.</li> <li>Standard for Record Keeping approved to come into effect on January 1, 2021.</li> <li>Public consultation for Standard for Fees and Billing is complete.</li> <li>Standard for Fees and Billing approved to come into effect on April 1, 2021.</li> </ul>
	Professional Development	<ul><li>Registrar</li><li>Director of IT</li></ul>	• \$40,000 annually for	<ul> <li>Semi-Annual Webinars</li> </ul>	A webinar on record keeping was offered on Oct 10 <sup>th</sup> , 2018 to the

Produce webinars and videos for Standards of Practice and mandatory courses.	Managers     Communications     Coordinator     Communications     Firm	video production/we binar costs		<ul> <li>membership. Over 800 members attended.</li> <li>The webinar was recorded and will be posted on the College website.</li> <li>Produced first video and in progress of selecting third party company.</li> <li>Webinar on Standard for Preventing Sexual Abuse was held on April 1, 2020. Over 700 members attended.</li> <li>Webinar on the Standard for Advertising was held on July 17, 2020.</li> <li>Webinar on Standard for Infection Control held on Nov 27, 2020.</li> <li>Webinar on Standard for Record-Keeping was held on March 26, 2021.</li> <li>Webinar on Standard for Fees and Billing scheduled for June 25.</li> </ul>
b) Enhance Entry to Practice Examination  • New exam format to increase security and consistency • More examination offerings • Further develop the examination item bank.	<ul> <li>Registrar</li> <li>Manager of Registration</li> <li>Project Manager</li> <li>SMEs (practitioners)</li> </ul>	Cost recoverable through Candidate Fees \$120,000 annually	• October 2020	<ul> <li>Transition timelines have been approved to offer the current PCE for one more attempt. Will be moving to CBT in the Fall of 2020.</li> <li>Candidate handbook is being reviewed and updated</li> <li>Master list of Performance Indicators was shared with schools</li> <li>Practical assessment blueprint being developed</li> <li>April 6, 2020 Practical Assessment Blueprint Development session changed to remote meeting due to COVID-19.</li> <li>SME activity meeting dates for May-Nov will be held remotely due to COVID-19.</li> <li>Opening of Pan-Can exam applications scheduled for first week of May.</li> <li>Applications for Pan-Can exam closed on July 15, 2020.</li> <li>CARB-TCMPA Candidate Handbook was revised and updated on College website.</li> </ul>

					<ul> <li>CARB-TCMPA has decided to deliver the October 2020 sitting using an online proctored format.</li> <li>CARB will be offering two sittings of the Pan-Can exam next year.</li> <li>Work to assume the development and administration of Pan-Can Exams has been transferred from the Consortium of TCM Regulators to CARB-TCMPA.</li> <li>Applications for April 2021 Pan-Can exam closed on January 14, 2021.</li> <li>The results of the Dec 2020 clinical case study retake exam were released on Feb 17, 2021.</li> </ul>
revar  • Deve for ar	A Program a consultant to np a new QA program lop an online QA tool nnual self- ssments	<ul> <li>Registrar</li> <li>Manager of Registration and QA</li> <li>Director of IT</li> <li>QA Coordinator</li> <li>QA Consultant</li> </ul>	<ul> <li>\$180,000 for the first year</li> <li>\$56,000 annually after year one</li> </ul>	• July 2020 • April 2021	<ul> <li>Dr. David Cane presented to the QA committee on Career Span Competencies and explore a new model for professional development. A similar presentation was provided to the College Council on March 26.</li> <li>Dr. David Cane presented to the QA committee in Aug 2019 and discussion is on-going.</li> <li>Invited 3 colleges to present on their QA program. Staff has drafted and sent out RFP for Consulting Services for the Quality Assurance Program Enhancement Project.</li> <li>Received two proposals in response to the RFP. In the process of scheduling an interview with both consultants.</li> <li>Scheduled two interviews with consultants and QA Committee.</li> <li>QA Committee has selected the consultant.</li> <li>Finalized project service agreement with consultant.</li> <li>Met with QA Committee for project update.</li> <li>College staff met with consultant to discuss the first deliverable of</li> </ul>

Stakeholder	Outreach with	• President	• \$5000	• Ongoing	the project and stakeholder interviews.  Stakeholder Interview Script and Guide was received and reviewed by the QA Committee.  The Summary Report for Quality Assurance (QA) in the Context of Regulated Health Professions: Policy Review, Best Practices, and Emerging Trends was received and reviewed by the QA Committee.  Draft of the Summary Report for CTCMPAO QA Program Review was received and is currently undergoing revision.  College staff and Mr. Gontcharov met to discuss a draft of the second deliverable of the project.  The Program Review Summary Report was received and reviewed by the QA Committee.  The stakeholder survey was sent to the membership.  A draft of the Summary Report for the Stakeholder Interviews was received.  College staff and Mr. Gontcharov met to discuss the survey results and draft Summary Report for the Stakeholder Interviews.  QA Committee reviewed updated Stakeholder Interviews Summary Report and member survey results.  College staff and consultant met to discuss the draft final report.  Consultant presented draft final report to QAC
Communicati ons	stakeholders including, schools, associations, and other organizations	<ul> <li>Vice President</li> <li>Registrar</li> <li>Manager of Registration</li> <li>Communications Coordinator</li> </ul>	annually for travel costs and printing costs	communication s • Annual meetings • School visits	<ul> <li>Held a meeting with TCM schools on August 21 to discuss the new Exam format and provide updates to current College activities.</li> <li>Presented and provided remarks at Humber College TCM conference and OCTCM conference.</li> </ul>

Develop a communication	• Registrar	• N/A	• March 2019	<ul> <li>Held a meeting with TCM schools on August 30, 2019 re updates on CBE and current scoring.</li> <li>Qi newsletter sent out Dec 13, 2019.</li> <li>Qi newsletter sent out April 30, 2020.</li> <li>Regular communications with associations, schools and other regulators.</li> <li>Held virtual meeting with TCM schools on May 15, 2020 regarding interim changes to registration and application process for Pan-Can Exams due to COVID-19.</li> <li>Virtual annual meeting with schools scheduled for August 26, 2020.</li> <li>To plan a meeting with associations.</li> <li>Sent support letter to the 8th International Conference of Western Studies on Chinese Medicine and 2020 Canadian Acupuncture and TCM Continuing Education Conference.</li> <li>Sent initial email to associations for a meeting.</li> <li>Qi Newsletter sent out on Oct 9, 2020.</li> <li>Held virtual meeting with TCMO on Oct 26, 2020 to exchange updates.</li> <li>Qi Newsletter sent out Dec 18, 2020.</li> <li>Qi Newsletter sent out March 10, 2021.</li> <li>Semi-annual meeting with schools washeld on May 26, 2021.</li> <li>Staff presented to students on registration process and Pan-Can exams</li> <li>Draft Communication Plan</li> </ul>
plan	Communications     Firm			presented to Council on March 26, 2019  Communication Plan was adopted by Council on March 26, 2019.

Public Confidence Sch	hool Program Approval	Registrar	• \$50,000 first	• April 2019 –	Exploring various options for a
	Phool Program Approval  RFP for a third Party to develop a process	Registrar     CARB-TCMPA     Consultant	\$50,000 first year     \$20,000 annually after year one	April 2019 – RFP     December 2019 - RFP	<ul> <li>Exploring various options for a school program approval process.</li> <li>Met with a vendor to discuss program approval and accreditation options.</li> <li>Discussions are on-going and timelines will be adjusted to account for changes in leadership.</li> <li>Drafted RFP. CARB-TCMPA Board approved RFP to be sent out from CARB. RFP will be reviewed by legal counsel.</li> <li>Sent out finalized RFP. Submission deadline is April 6, 2020.</li> <li>RFP submission deadline extended to May 15, 2020.</li> <li>Proposals have been reviewed by directors of CARB. Interviews to be scheduled for consultants to present their proposals to CARB.</li> <li>TOR for an Education Accreditation Working Group have been finalized. A working group to be appointed.</li> <li>Consultant has been selected.</li> <li>TOR Accreditation Requirements Advisory Committee Traditional Chinese Medicine and Acupuncture Education Accreditation was drafted.</li> <li>Call for members for the TCM Education Accreditation Requirements Advisory Committee (ARAC).</li> <li>ARAC finalized. Kick off meeting was held on Oct 23, 2020.</li> <li>FICS provided a virtual presentation to all educators on the Education Accreditation Project on Nov 3, 2020.</li> <li>First draft of the national accreditation standards has been completed and is being reviewed by APAC</li> </ul>
					<ul><li>by ARAC.</li><li>Draft standards were sent out for national consultation in late</li></ul>

				<ul> <li>CARB-TCMPA and FICS held virtual meeting with Ontario educators to discuss draft standards on Feb 2, 2021.</li> <li>Deadline for the national consultation has been extended to March 26, 2021.</li> <li>The RC reviewed the survey and provided comments to the draft Standards and the College response to survey.</li> </ul>
Further communication about differences between TCM and other acupuncture     Video platform/Resources     Communications plan	Registrar     Communications     Coordinator     SMEs	• \$40,000 for video production	March 2019—     Communication s Plan     Dec 2019 — Public communication     Dec 2019 — Video platform/resour ces	<ul> <li>Communication plan presented at the Council meeting on Mar 2019</li> <li>Educational newsletters have been sent.</li> <li>A new format for by-weekly Educational Bits effect September 20, 2019.</li> <li>General educational conference has been scheduled for March 25, 2020.</li> <li>Produced first video and in progress of selecting third party company.</li> <li>the College received direction from the Executive Committee to move forward with the two recommended video production companies, Fifth Story and Maltese Media.</li> <li>General Conference postponed due to COVID-19.</li> <li>Received first draft of Introduction to Standards of Practice video from Maltese Media.</li> <li>Completed Standards of Practice video</li> <li>Two videos have been published: <ol> <li>Standards of Practice and 2</li> <li>What is CTCMPAO?</li> <li>Two videos are currently being produced with the help of a third-party video production company: <ol> <li>What is the Public Register? and</li> <li>How to File a Complaint</li> </ol> </li> </ol></li></ul>

				<ul> <li>Video production of "What is Traditional Chinese Medicine from a Public Safety Perspective" is currently postponed due to COVID-19.</li> <li>Completed two videos on "What is the Public Register?" and "How to File a Complaint"</li> <li>Began work with third-party video production company on video for "How to Become a Registered Traditional Chinese Medicine Practitioner and/or Acupuncturist in Ontario?"</li> </ul>
Doctor Title  • Complete Phase environmental so environme	<ul> <li>Registrar</li> <li>Manager of Registration &amp; QA</li> <li>Policy Analyst</li> </ul>	• \$100,000 annually	<ul> <li>July 2019 – Phase 1</li> <li>Ongoing – Phase 2</li> </ul>	<ul> <li>Worked with practitioners and schools to finalize the survey. It was sent out to all stakeholders. Deadline to complete survey is June 14.</li> <li>Focus groups with the public and members in July</li> <li>Will be sending out a survey to the membership in August. Draft final report has been delivered by Malatest for review.</li> <li>New terms of reference was approved.</li> <li>In progress of drafting RFP for phase 2.</li> <li>Call for interest for Dr. Title Group has been posted and College has received applications.</li> <li>New members to be appointed to the working group when Council is constituted.</li> </ul>



# **2021 Council Meeting Dates**

\*All dates are tentative and are subject to change.

2021 Council Meeting Dates					
March 25, 2021	June 22, 2021				
*September 22, 2021	*December 8-9, 2021 (Including orientation)				